

SMALL CONGRUENCE LATTICES

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joint work with

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THE PROBLEM

CHARACTERIZE CONGRUENCE LATTICES OF FINITE ALGEBRAS.

For an arbitrary algebra, there is essentially no restriction on the shape of its congruence lattice.

THEOREM (GRÄTZER-SCHMIDT, 1963)

Every algebraic lattice is isomorphic to the congruence lattice of an algebra.

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If an algebra is finite, then its congruence lattice is... finite.

Problem: Given an arbitrary finite lattice L , does there exist finite algebra A such that $\text{Con } A \cong L$?

DEFINITION

We call a finite lattice **representable** if it is (isomorphic to) the congruence lattice of a finite algebra.

A FEW IMPORTANT THEOREMS

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Every finite lattice can be embedded in $Eq(X)$, with X finite.

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- (I) *Every finite lattice is representable.*
- (II) *Every finite lattice is isomorphic to an interval in the subgroup lattice of a finite group.*

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THEOREM (BERMAN, QUACKENBUSH & WOLK, 1970)

Every finite distributive lattice is representable.

PART 1: METHODS

Given a finite lattice \mathbf{L} , find a finite algebra \mathbf{A} with $\text{Con } \mathbf{A} \cong \mathbf{L}$.

HOW TO FIND A FINITE ALGEBRA WITH A GIVEN CONGRUENCE LATTICE?

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1. USE CLOSURE PROPERTIES

Relate the given lattice to other lattices known to be representable.

- If L is representable, so is
 - A. the dual of L (Kurzweil 1985, Netter)
 - B. any interval sublattice of L (follows from A.)
 - C. any sublattice that is the union of a principal filter and principal idea of L (Snow, 2000)
- If L_1 and L_2 are representable, so is
 - 1. the direct product of L_1 and L_2 (Tuma 1989)
 - 2. the ordinal sum of L_1 and L_2 (McKenzie 1984, Snow 2000)
 - 3. the parallel sum of L_1 and L_2 (Snow 2000)

HOW TO FIND A FINITE ALGEBRA WITH A GIVEN CONGRUENCE LATTICE?

2. THE CLOSURE METHOD

Find a “closed” representation of L in $\text{Eq}(X)$.

YAGC

For $L \leq \text{Eq}(X)$ define

$$\lambda(L) = \{f \in X^X : (\forall \theta \in L) f(\theta) \subseteq \theta\}$$

For $F \subseteq X^X$ define

$$\rho(F) = \{\theta \in \text{Eq}(X) : (\forall f \in F) f(\theta) \subseteq \theta\}$$

The map $\rho\lambda$ is a *closure operator* on $\text{Sub}[\text{Eq}(X)]$.

(idempotent, extensive, order preserving)

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THEOREM

A lattice $L \leq \text{Eq}(X)$ is a congruence lattice if and only if it is closed, i.e. $\rho\lambda(L) = L$, in which case $L = \text{Con} \langle X, \lambda(L) \rangle$.

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Example: $M_3 \cong L \leq \text{Eq}(5)$

HOW TO FIND A FINITE ALGEBRA WITH A GIVEN CONGRUENCE LATTICE?

3. THE G-SET METHOD

Find L as an interval in a subgroup lattice of a finite group.

If $H \leq G$ are finite groups, then the filter above H in $\text{Sub}(G)$,

$$[[H, G]] := \{K : H \leq K \leq G\},$$

is isomorphic to $\text{Con} \langle G/H, \bar{G} \rangle$.

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4. THE RABBIT EARS METHOD (AKA OVERALGEBRAS, AKA EXPANSION-EXTENSION)

Build the required algebra by gluing together isomorphic copies of an algebra and adding new operations.

THE G-SET METHOD: DETAILS

For groups $H \leq G$, let $\mathbf{A} = \langle H \backslash G, \bar{G} \rangle$ denote the algebra with

- universe: the right cosets $H \backslash G = \{Hx : x \in G\}$
- operations: $\bar{G} = \{g^{\mathbf{A}} : g \in G\}$, where $g^{\mathbf{A}}(Hx) = Hxg$.

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THEOREM

$$\text{Con } \mathbf{A} \cong \llbracket H, G \rrbracket := \{K : H \leq K \leq G\}.$$

The isomorphism $\llbracket H, G \rrbracket \ni K \mapsto \theta_K \in \text{Con } \mathbf{A}$ is given by

$$\theta_K = \{(Hx, Hy) : xy^{-1} \in K\}.$$

The inverse isomorphism $\text{Con } \mathbf{A} \ni \theta \mapsto K_\theta \in \llbracket H, G \rrbracket$ is

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Aside: properties of such congruence lattices correspond to properties of subgroup lattices. For example,

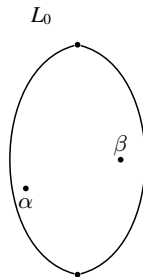
LEMMA

In $\text{Con } \langle H \backslash G, \bar{G} \rangle$, two congruences, θ_{K_1} and θ_{K_2} , n -permute if and only if the corresponding subgroups, K_1 and K_2 , n -permute.

FILTER+IDEAL METHOD: DETAILS

LEMMA

Suppose $L_0 \cong \text{Con } \langle A, F \rangle$, and $\alpha, \beta \in L_0 \setminus \{0, 1\}$.

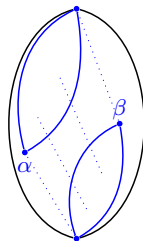


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Suppose $L_0 \cong \text{Con } \langle A, F \rangle$, and $\alpha, \beta \in L_0 \setminus \{0, 1\}$. Consider $L = \alpha^\uparrow \cup \beta^\downarrow$.

$$L \leqslant L_0$$



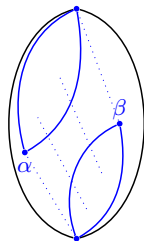
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There exists a set $F' \subset A^A$ such that $L \cong \text{Con} \langle A, F \cup F' \rangle$.

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Suppose $L_0 \cong \text{Con} \langle A, F \rangle$, and $\alpha, \beta \in L_0 \setminus \{0, 1\}$. Consider $L = \alpha^\uparrow \cup \beta^\downarrow$.

There exists a set $F' \subset A^A$ such that $L \cong \text{Con} \langle A, F \cup F' \rangle$.

Proof:

Fix $\theta \in L_0 \setminus L$. Then $\alpha \not\leq \theta \not\leq \beta$, so

- $\exists (a, b) \in \alpha \setminus \theta$,
- $\exists (u, v) \in \theta \setminus \beta$.

Define $f_\theta : A \rightarrow A$ by

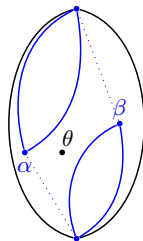
$$f_\theta(x) = \begin{cases} a & x \in u/\beta, \\ b & x \notin u/\beta. \end{cases}$$

Then

- $(f_\theta(u), f_\theta(v)) = (a, b) \notin \theta$, so $f_\theta(\theta) \not\leq \theta$,
- $\ker f_\theta \geq \beta$, so $f_\theta(\gamma) \subseteq \gamma$ for all $\gamma \leq \beta$,
- $f_\theta(A) \subseteq \{a, b\}$, so $f_\theta(\gamma) \subseteq \gamma$ for all $\gamma \geq \alpha$.

Let $F' = \{f_\theta : \theta \in L_0 \setminus L\}$.

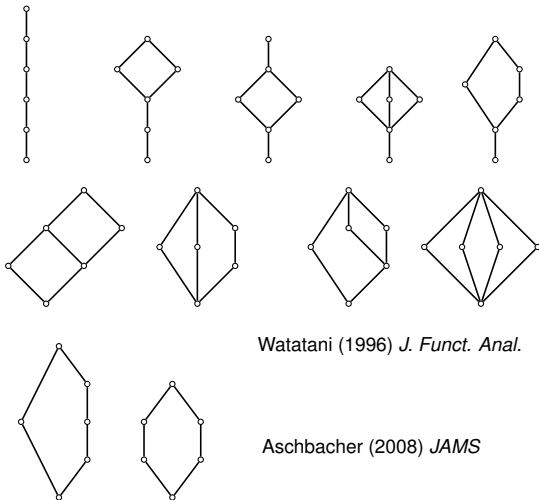
$L \leq L_0$



PART 2: ATLAS

Which finite lattices are known to be representable?

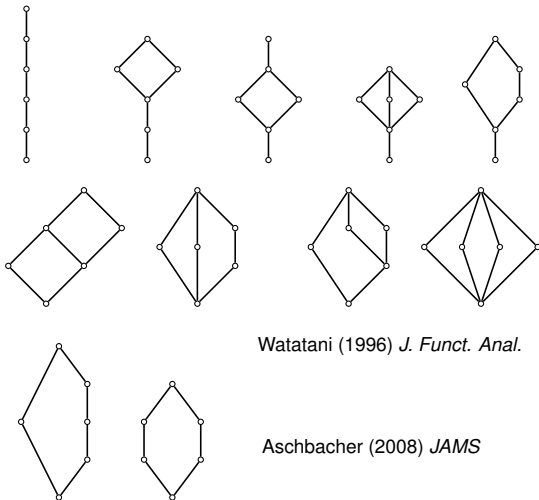
LATTICES WITH AT MOST 6 ELEMENTS ARE REPRESENTABLE.



Watatani (1996) *J. Funct. Anal.*

Aschbacher (2008) *JAMS*

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Theorem: *Lattices with at most 6 elements are intervals in subgroup lattices of finite groups.*

ARE ALL LATTICES WITH AT MOST 7 ELEMENTS REPRESENTABLE?

As of Spring 2011...

**LATTICES OF SIZE ≤ 7 NOT YET KNOWN TO BE CONGRUENCE
LATTICES OF FINITE ALGEBRAS**

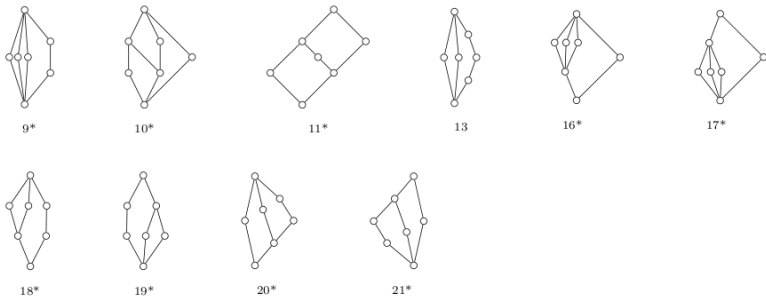
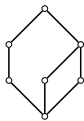


Figure courtesy of Peter Jipsen.

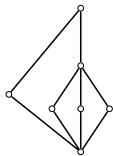
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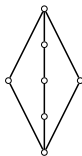
L_{19}



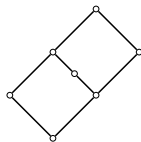
L_{20}



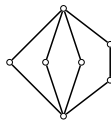
L_{17}



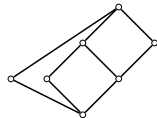
L_{13}



L_{11}

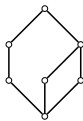


L_9

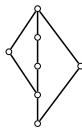


L_{10}

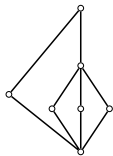
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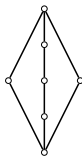
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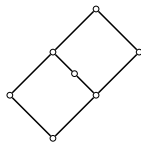
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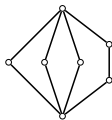
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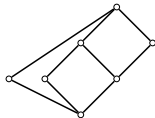
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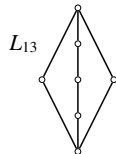
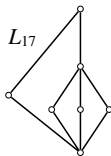
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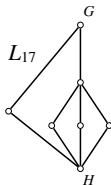
FINDING REPRESENTATIONS...

...AS INTERVALS IN SUBGROUP LATTICES



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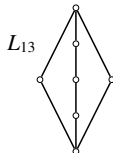
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`SmallGroup(288,1025)`

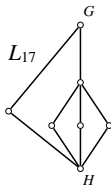
$$|G : H| = 48$$

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- ...so the dual L_{16} is also representable.



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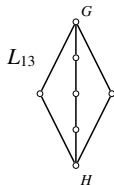
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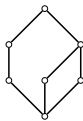


SmallGroup(960,11358)

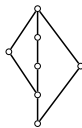
$$|G : H| = 80$$

- The group $G = (C_2 \times C_2 \times C_2 \times C_2) \rtimes A_5$ has a subgroup $H \cong A_4$ such that $\llbracket H, G \rrbracket \cong L_{13}$.

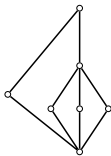
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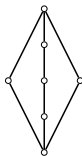
L_{19} ✓



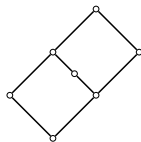
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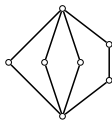
L_{17} ✓



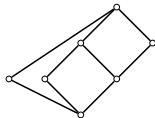
L_{13} ✓



L_{11}



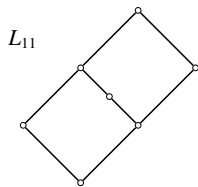
L_9



L_{10}

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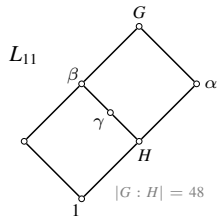
...USING SUBGROUP LATTICE INTERVALS AND THE FILTER+IDEAL LEMMA.



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SmallGroup(288,1025)

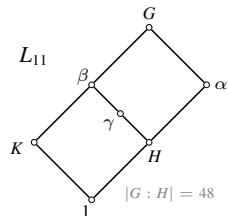


- Let $G = (A_4 \times A_4) \rtimes C_2$.
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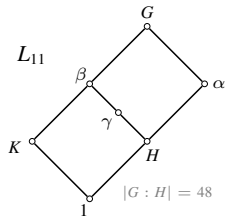
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- $\text{Sub}(G)$ is a congruence lattice, so if there exists a subgroup $K \succ 1$, below β and not below γ , then

$$L_{11} \cong K^\downarrow \cup H^\uparrow.$$

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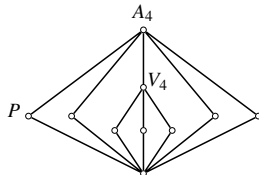
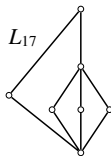
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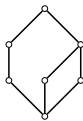


- $\text{Sub}(A_4)$ is a congruence lattice (of A_4 acting regularly on itself).
- Therefore,

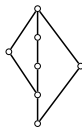
$$L_{17} \cong V_4^\downarrow \cup P^\uparrow$$

is a congruence lattice.

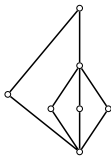
ARE ALL LATTICES WITH AT MOST 7 ELEMENTS REPRESENTABLE?



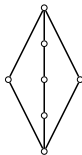
L_{19} ✓



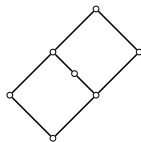
L_{20} ✓



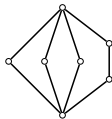
L_{17} ✓



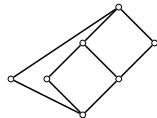
L_{13} ✓



L_{11} ✓

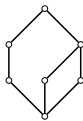


L_9

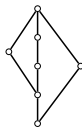


L_{10}

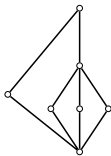
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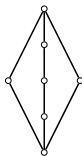
L_{19} ✓



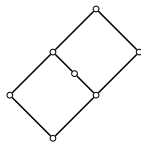
L_{20} ✓



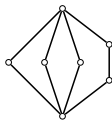
L_{17} ✓



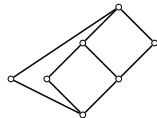
L_{13} ✓



L_{11} ✓

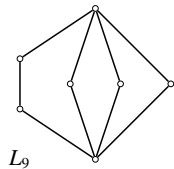
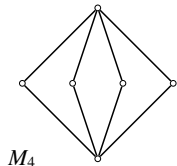


L_9 ✓



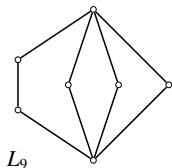
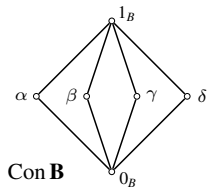
L_{10}

CONSTRUCTION OF AN ALGEBRA \mathbf{A} WITH $\text{Con } \mathbf{A} \cong L_9$.



CONSTRUCTION OF AN ALGEBRA \mathbf{A} WITH $\text{Con } \mathbf{A} \cong L_9$.

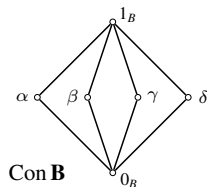
STEP 1 Take a permutational algebra $\mathbf{B} = \langle B, F \rangle$ with congruence lattice $\text{Con } \mathbf{B} \cong M_4$.



CONSTRUCTION OF AN ALGEBRA \mathbf{A} WITH $\text{Con } \mathbf{A} \cong L_9$.

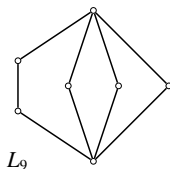
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Example:



- Let $B = \{0, 1, \dots, 5\}$ index the elements of S_3 and consider the right regular action of S_3 on itself.
- $g_0 = (0, 4)(1, 3)(2, 5)$ and $g_1 = (0, 1, 2)(3, 4, 5)$ generate this action group, the image of $S_3 \hookrightarrow S_6$.
- $\text{Con } \langle B, \{g_0, g_1\} \rangle \cong M_4$ with congruences

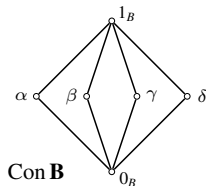
$$\alpha = |012|345|, \beta = |03|14|25|, \gamma = |04|15|23|, \delta = |05|13|24|.$$



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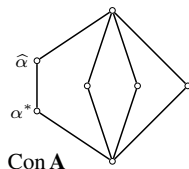
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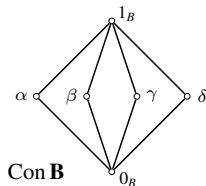
Goal: expand \mathbf{B} to an algebra \mathbf{A} that has α “doubled” in $\text{Con } \mathbf{A}$.



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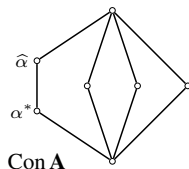
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STEP 2 Since $\alpha = \text{Cg}^{\mathbf{B}}(0, 2)$, we let $A = B_0 \cup B_1 \cup B_2$ where

$$B_0 = \{0, 1, 2, 3, 4, 5\} = B$$

$$B_1 = \{0, 6, 7, 8, 9, 10\}$$

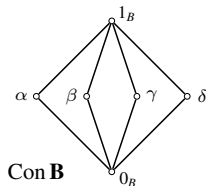
$$B_2 = \{11, 12, 13, 14, 15\}.$$



CONSTRUCTION OF AN ALGEBRA \mathbf{A} WITH $\text{Con } \mathbf{A} \cong L_9$.

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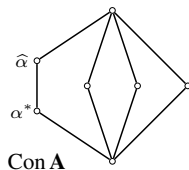
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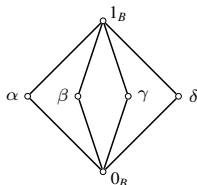
$$B_1 = \{0, 6, 7, 8, 9, 10\}$$

$$B_2 = \{11, 12, 13, 14, 15\}.$$



STEP 3 Define unary operations $e_0, e_1, e_2, s, g_0 e_0$, and $g_1 e_0$.

CONSTRUCTION OF AN ALGEBRA \mathbf{A} WITH $\text{Con } \mathbf{A} \cong L_9$.



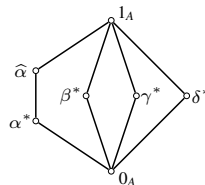
$\text{Con } \langle B, \{g_0, g_1\} \rangle$

$$\alpha = |0, 1, 2|3, 4, 5|$$

$$\beta = |0, 3|1, 4|2, 5|$$

$$\gamma = |0, 4|1, 5|2, 3|$$

$$\delta = |0, 5|1, 3|2, 4|$$



$\text{Con } \langle A, F_A \rangle$

$$\hat{\alpha} = |0, 1, 2, 6, 7, 11, 12|3, 4, 5|8, 9, 10, 13, 14, 15|$$

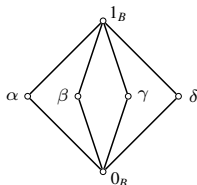
$$\alpha^* = |0, 1, 2, 6, 7, 11, 12|3, 4, 5|8, 9, 10|13, 14, 15|$$

$$\beta^* = |0, 3, 8|1, 4|2, 5, 15|6, 9|7, 10|11, 13|12, 14|$$

$$\gamma^* = |0, 4, 9|1, 5|2, 3, 13|6, 10|7, 8|11, 14|12, 15|$$

$$\delta^* = |0, 5, 10|1, 3|2, 4, 14|6, 8|7, 9, 11, 15|12, 13|$$

CONSTRUCTION OF AN ALGEBRA \mathbf{A} WITH $\text{Con } \mathbf{A} \cong L_9$.



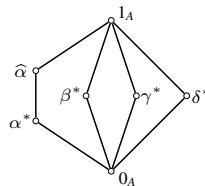
$\text{Con } \langle B, \{g_0, g_1\} \rangle$

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$$\alpha^* = |0, 1, 2, 6, 7, 11, 12|3, 4, 5|8, 9, 10|13, 14, 15|$$

$$\beta^* = |0, 3, 8|1, 4|2, 5, 15|6, 9|7, 10|11, 13|12, 14|$$

$$\gamma^* = |0, 4, 9|1, 5|2, 3, 13|6, 10|7, 8|11, 14|12, 15|$$

$$\delta^* = |0, 5, 10|1, 3|2, 4, 14|6, 8|7, 9, 11, 15|12, 13|$$

$$\alpha = \alpha^* \cap B^2 = \hat{\alpha} \cap B^2, \quad \beta = \beta^* \cap B^2, \quad \dots$$

WHY DOES IT WORK?

$$\text{Con} \langle B, \{g_0, g_1\} \rangle$$

$$\alpha = |0, 1, 2|3, 4, 5|$$

$$\beta = |0, 3|1, 4|2, 5|$$

$$\gamma = |0, 4|1, 5|2, 3|$$

$$\delta = |0, 5|1, 3|2, 4|$$

0	1	2
3	4	5

B_0

WHY DOES IT WORK?

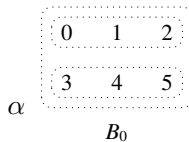
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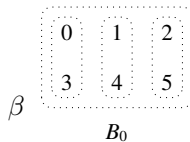
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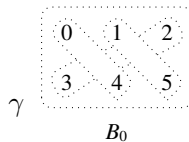
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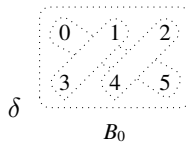
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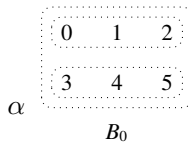
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$$B_0 = \{ \ 0 \quad 1 \quad 2 \quad 3 \quad 4 \quad 5 \ }$$

WHY DOES IT WORK?

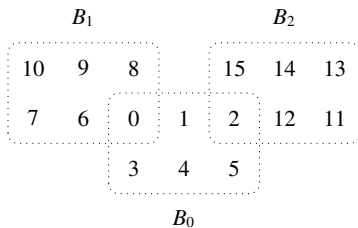
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- $A = B_0 \cup B_1 \cup B_2$

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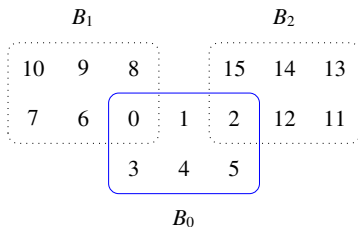
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- $A = B_0 \cup B_1 \cup B_2$
- Unary operations

$$e_0: A \twoheadrightarrow B_0$$

$$e_1: A \twoheadrightarrow B_1$$

$$e_2: A \twoheadrightarrow B_2$$

$$s: A \twoheadrightarrow B_0$$

$$\begin{array}{cccccc}
 B_1 = \{ & 0 & 6 & 7 & 8 & 9 & 10 \} \\
 & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow \\
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 & \uparrow & \uparrow & \uparrow & \uparrow & \uparrow & \uparrow \\
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 \end{array}$$

$$ge_0: A \xrightarrow{e_0} B_0 \xrightarrow{g} B_0$$

WHY DOES IT WORK?

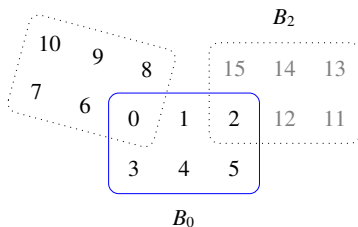
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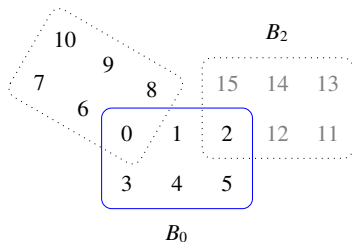
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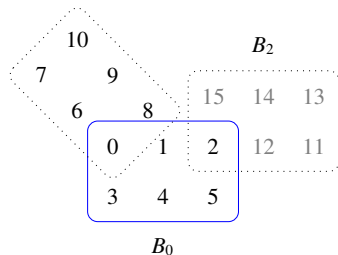
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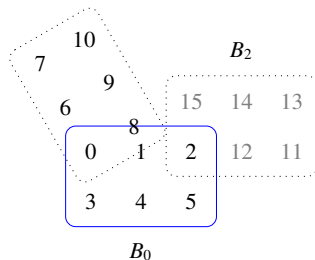
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WHY DOES IT WORK?

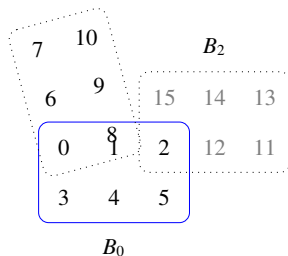
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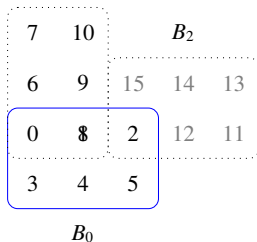
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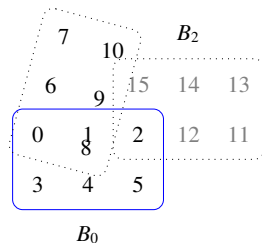
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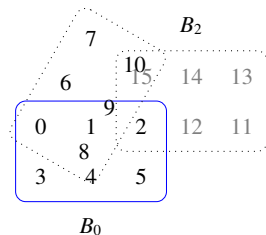
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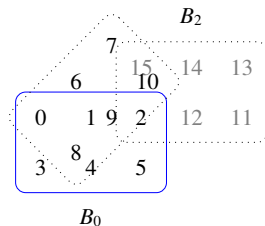
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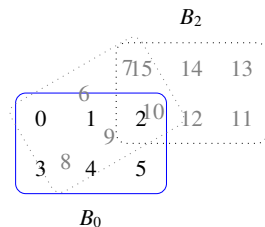
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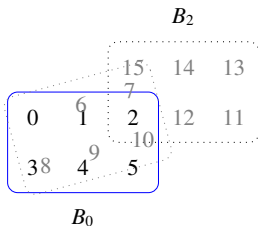
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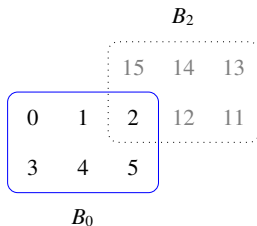
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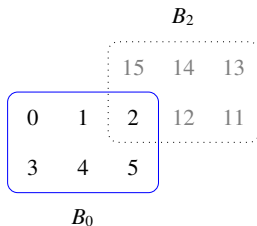
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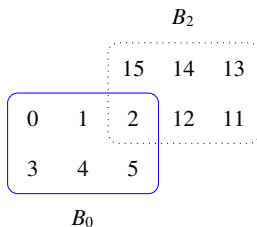
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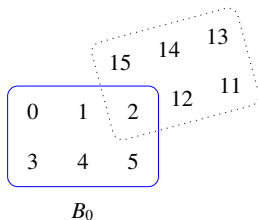
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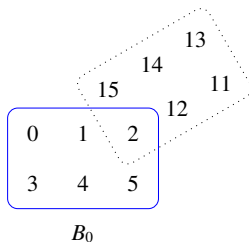
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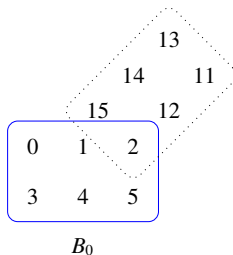
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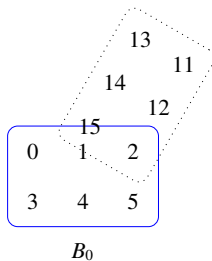
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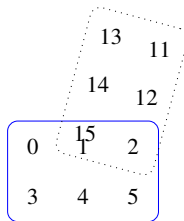
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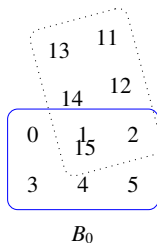
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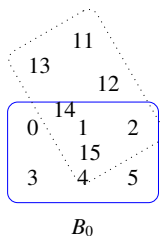
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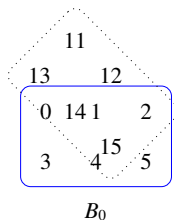
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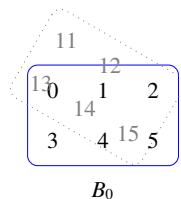
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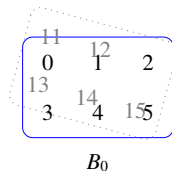
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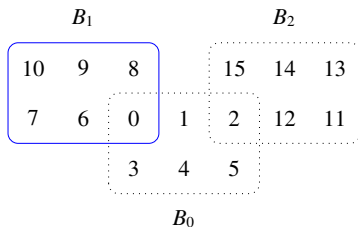
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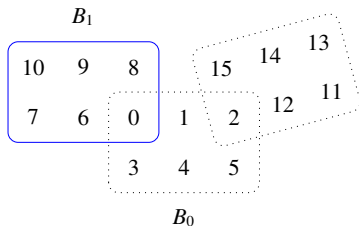
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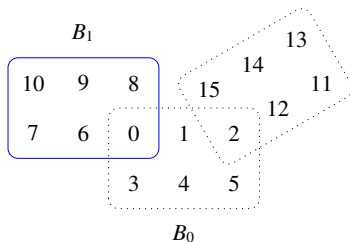
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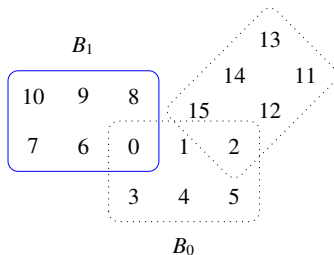
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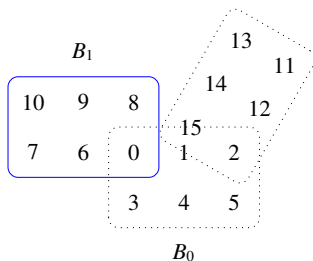
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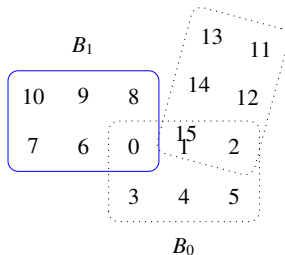
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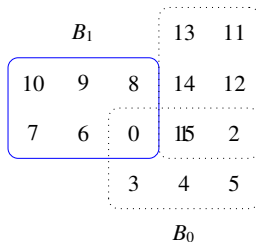
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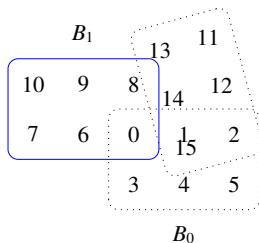
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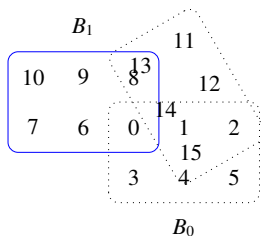
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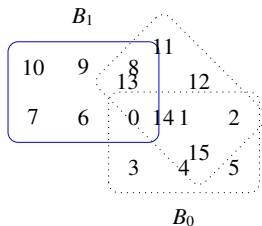
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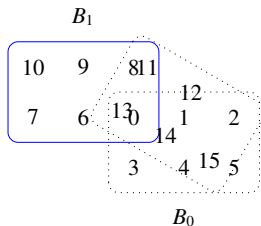
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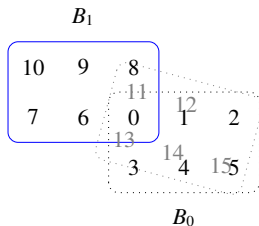
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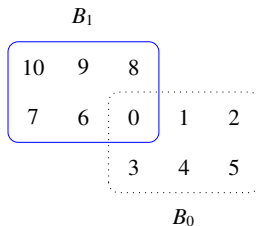
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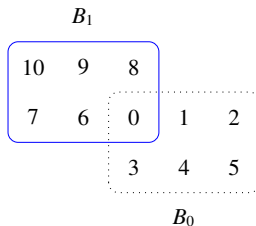
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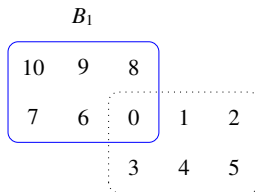
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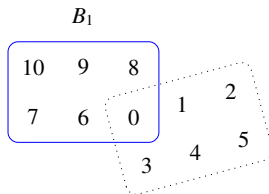
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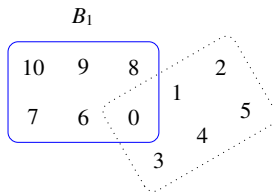
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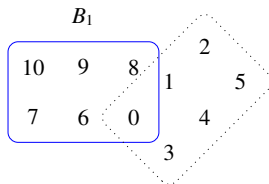
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- $A = B_0 \cup B_1 \cup B_2$
- Unary operations

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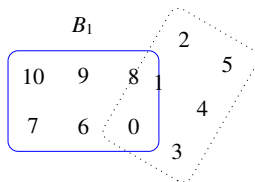
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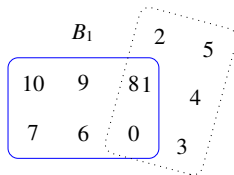
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	B_1	2	5
10	9	8	4
7	6	0	3

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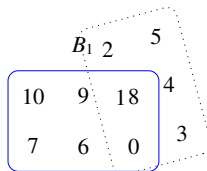
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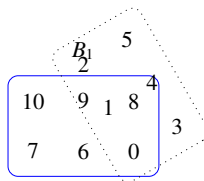
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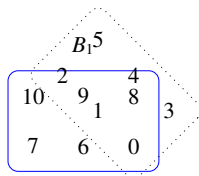
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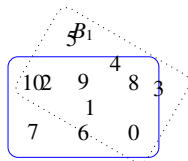
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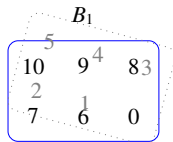
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B_1

10	9	8
7	6	0

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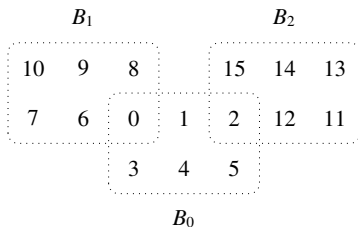
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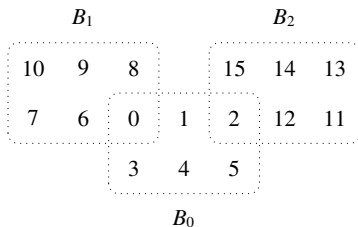
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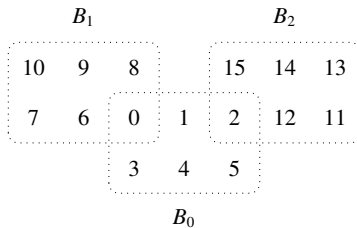
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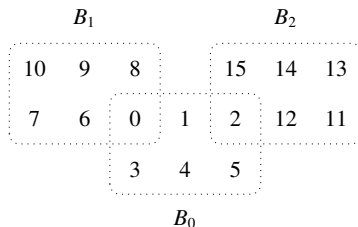
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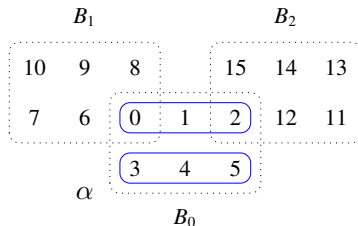
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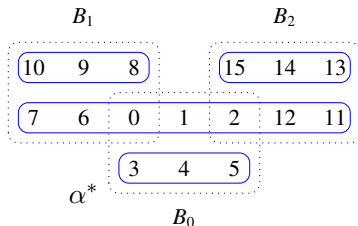
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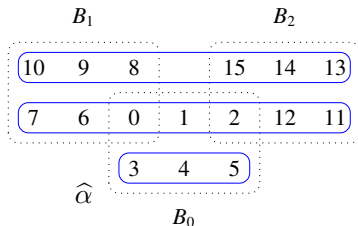
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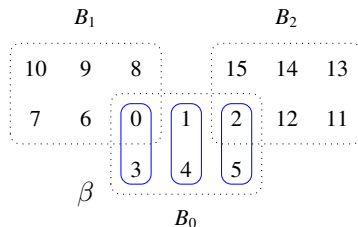
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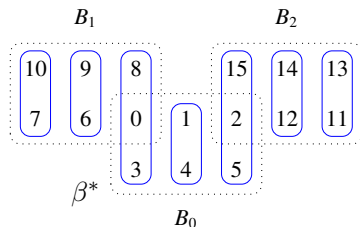
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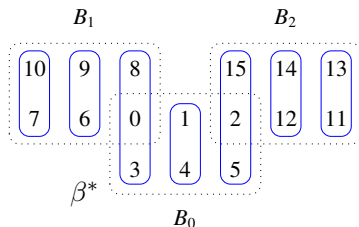
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Why don't the β classes of B_1 and B_2 mix?

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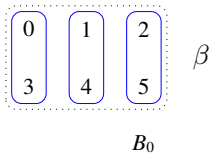
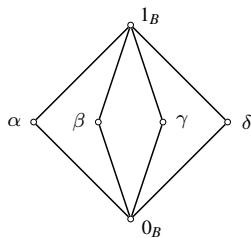
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$$\delta^* = |0, 5, 10|1, 3|2, 4, 14|6, 8|7, 9, 11, 15|12, 13|$$

VARIATIONS ON THE SAME EXAMPLE...

- Suppose we want $\beta = \text{Cg}^{\mathbf{B}}(0, 3) = |0, 3|2, 5|1, 4|$ to have non-trivial inverse image $\beta|_B^{-1} = [\beta^*, \widehat{\beta}]$.



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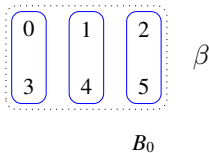
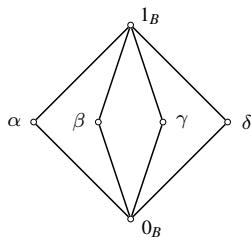
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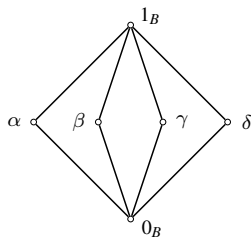
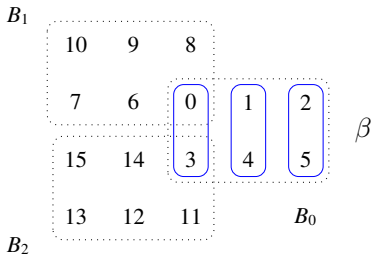
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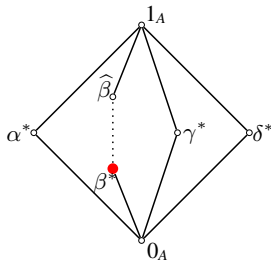
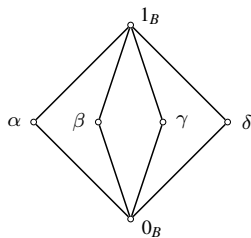
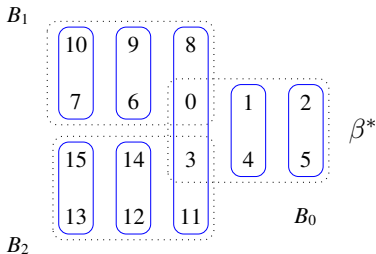
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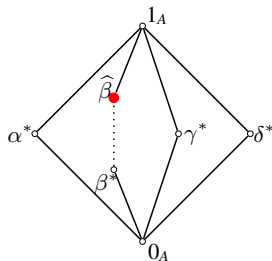
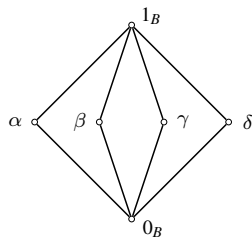
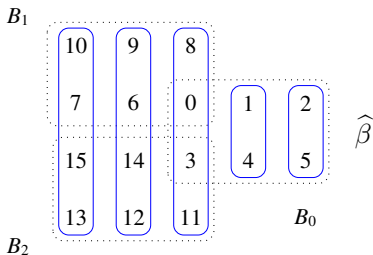
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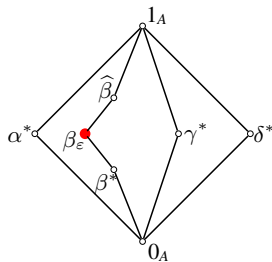
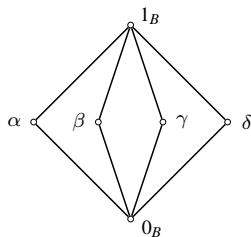
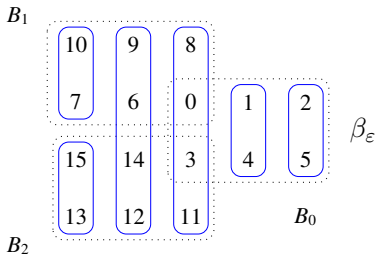
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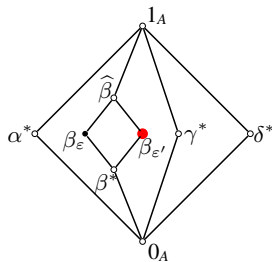
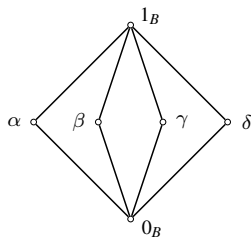
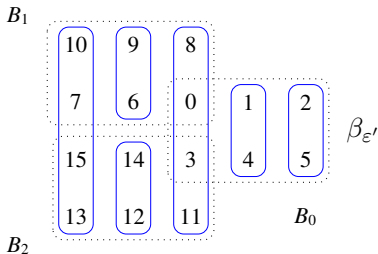
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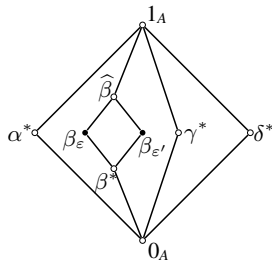
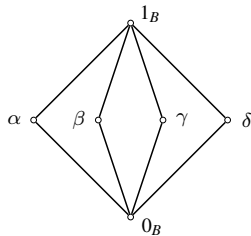
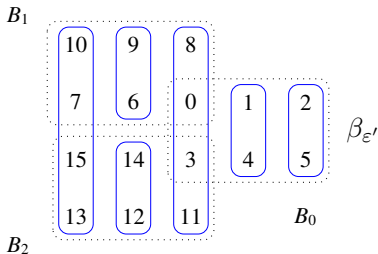
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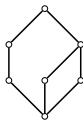
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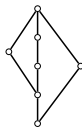
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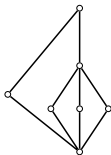
SEVEN ELEMENT LATTICES: SUMMARY



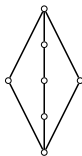
L_{19} ✓



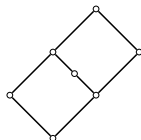
L_{20} ✓



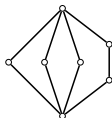
L_{17} ✓



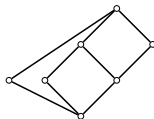
L_{13} ✓



L_{11} ✓

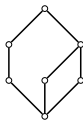


L_9 ✓

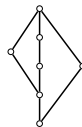


L_7

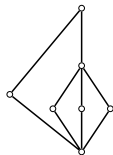
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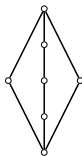
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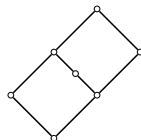
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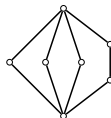
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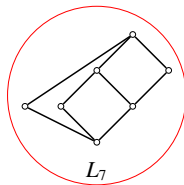
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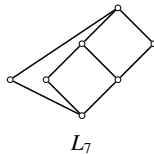
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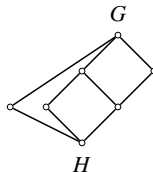
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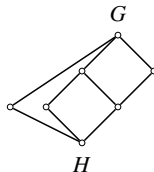


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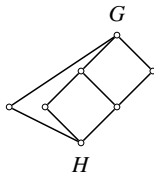
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PROPOSITION

Suppose $H < G$, $\text{core}_G(H) = 1$, $L_7 \cong \llbracket H, G \rrbracket$.

- (I) G is a primitive permutation group.
- (II) If $N \triangleleft G$, then $C_G(N) = 1$.
- (III) G contains no non-trivial abelian normal subgroup.
- (IV) G is not solvable.
- (V) G is subdirectly irreducible.
- (VI) With the possible exception of at most one maximal subgroup, all proper subgroups in the interval $\llbracket H, G \rrbracket$ are core-free.

OPEN PROBLEMS

1. Are homomorphic images of a representable lattices representable?
2. Are subdirect products of representable lattices representable?
3. Does representable imply “group representable?”
i.e., is the congruence lattice of a finite algebra isomorphic to an interval in the subgroup lattice of a finite group?
4. Is the class of representable lattices recursive?

REMARKS ON THE PROBLEMS

- Are homomorphic images of representable lattices representable?

If $L = \text{Con } \langle A, F \rangle$ and \tilde{L} is the homomorphic image $\{\theta \cap B^2 \mid \theta \in L\}$, where $B = e(A)$ for some operation $e^2 = e \in F$, then $\tilde{L} = \text{Con } \langle B, F|_B \rangle$.

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This question asks whether it's possible to write a program that, when given a finite lattice L , halts with output True if L is representable and False otherwise.

A negative answer would solve the finite lattice representation problem.

OPEN PROBLEMS

One approach: try to find a computable function f such that, if L is a representable lattice of size n , then L is representable as the congruence lattice of an algebra of cardinality $f(n)$ or smaller.

This would answer the decidability question, as follows:

```
IsRepresentable( L ) {  
  
    n:= Size( L )  
    N:= f( n )  
  
    for each L' in Sub[Eq(N)] {  
  
        if ( L' isomorphic to L ) and ( L' is closed ):  
            return True  
  
    }  
  
    return False  
  
}
```

Workshop on Computational Universal Algebra

Friday, October 4, 2013

University of Louisville, KY

`universalalgebra.wordpress.com`