

# Duality for partial algebras, bunched implication algebras and GBL-algebras

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In Memoriam Franco Montagna

# Outline

- Partial algebras
- Effect algebras
- Generalized orthoalgebras
- Concrete generalized orthoalgebras
- Natural duality
- Bunched implication algebras
- Residuated Heyting algebras
- Generalized basic logic algebras



# Introduction

A **groupoid** is a set  $A$  with a binary operation  $\cdot : A \times A \rightarrow A$

If  $A$  is finite, say  $A = \{a_1, \dots, a_n\}$ , then a groupoid can be defined by its operation table

| $\cdot$  | $a_1$ | $a_2$ | $\dots$ | $a_n$ |
|----------|-------|-------|---------|-------|
| $a_1$    |       |       |         |       |
| $a_2$    |       |       |         |       |
| $\vdots$ |       |       |         |       |
| $a_n$    |       |       |         |       |

← fill out with (some of)  $a_1, \dots, a_n$  any way you like

# Introduction

- What do we know about 2-element groupoids?
- How many are there? 16 Up to isomorphism? 10

•

|         |   |   |     |   |   |         |   |   |              |   |   |         |   |   |               |   |
|---------|---|---|-----|---|---|---------|---|---|--------------|---|---|---------|---|---|---------------|---|
| $\cdot$ | 0 | 1 | $C$ | 0 | 1 | $\cdot$ | 0 | 1 | $\leftarrow$ | 0 | 1 | $\pi_1$ | 0 | 1 | $\rightarrow$ | 0 |
| 0       |   |   | 0   | 0 | 0 | 0       | 0 | 0 | 0            | 0 | 0 | 0       | 0 | 0 | 0             | 0 |
| 1       |   |   | 1   | 0 | 0 | 1       | 0 | 1 | 1            | 1 | 0 | 1       | 1 | 1 | 1             | 0 |

•  $xy = zw$

assoc.  
comm.  
idem.

implic.  
reduct  
of BA

$xy = x$   
(Lz sg)

implic.  
reduct  
of BA

•

|         |   |   |
|---------|---|---|
| $\pi_2$ | 0 | 1 |
| 0       | 0 | 1 |
| 1       | 0 | 1 |

|     |   |   |
|-----|---|---|
| $+$ | 0 | 1 |
| 0   | 0 | 1 |
| 1   | 1 | 0 |

|     |   |   |
|-----|---|---|
| $ $ | 0 | 1 |
| 0   | 1 | 0 |
| 1   | 0 | 0 |

|               |   |   |
|---------------|---|---|
| $\bar{\pi}_2$ | 0 | 1 |
| 0             | 1 | 0 |
| 1             | 1 | 0 |

|               |   |   |
|---------------|---|---|
| $\bar{\pi}_1$ | 0 | 1 |
| 0             | 1 | 1 |
| 1             | 0 | 0 |

•  $xy = y$   
(Rz sg)

assoc.  
 $x + x = 0$   
 $x + 0 = x$

BA  
axioms

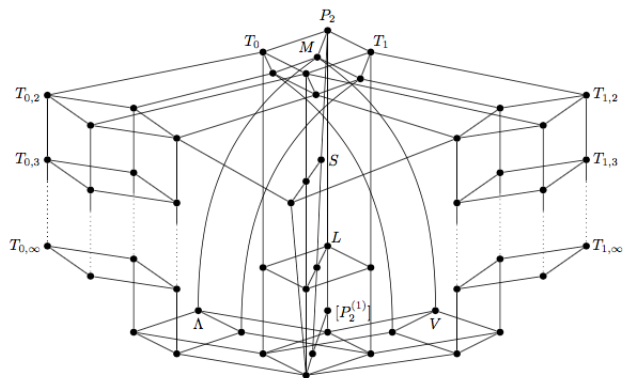
$xy = yy$   
 $x^2x^2 = x$

$xy = xx$   
 $x^2x^2 = x$

- Give axioms for the varieties they generate

# Introduction

In fact, quite a bit is known about 2-element algebras



Post lattice (from Schölzel 2010)

# Introduction

A **partial groupoid** is a set  $A$  with a **partial** binary operation  $\cdot : A \times A \rightsquigarrow A$

If  $A = \{a_1, \dots, a_n\}$ , then a partial groupoid can be defined by a **partially filled out** operation table

| $\cdot$  | $a_1$ | $a_2$ | $\dots$ | $a_n$ |
|----------|-------|-------|---------|-------|
| $a_1$    |       |       |         |       |
| $a_2$    |       |       |         |       |
| $\vdots$ |       |       |         |       |
| $a_n$    |       |       |         |       |

**Convention:** every (total) algebra is a partial algebra

# What do we know about 2-element partial groupoids?

- How many are there?  $81 - 16 = 65$  Up to isomorphism?  $45 - 10 = 35$

|    |   |   |
|----|---|---|
| ·1 | 0 | 1 |
| 0  | 0 | 0 |
| 1  | 0 |   |

|    |   |   |
|----|---|---|
| ·2 | 0 | 1 |
| 0  | 0 | 0 |
| 1  | 1 |   |

|    |   |   |
|----|---|---|
| ·3 | 0 | 1 |
| 0  | 0 | 0 |
| 1  |   | 0 |

|    |   |   |
|----|---|---|
| ·4 | 0 | 1 |
| 0  | 0 | 0 |
| 1  |   | 1 |

|    |   |   |
|----|---|---|
| ·5 | 0 | 1 |
| 0  | 0 | 0 |
| 1  |   |   |

|    |   |   |
|----|---|---|
| ·6 | 0 | 1 |
| 0  | 0 | 1 |
| 1  | 0 |   |

|    |   |   |
|----|---|---|
| ·7 | 0 | 1 |
| 0  | 0 | 1 |
| 1  | 1 |   |

|    |   |   |
|----|---|---|
| ·8 | 0 | 1 |
| 0  | 0 | 1 |
| 1  |   | 0 |

|    |   |   |
|----|---|---|
| ·9 | 0 | 1 |
| 0  | 0 | 1 |
| 1  |   | 1 |

|     |   |   |
|-----|---|---|
| ·10 | 0 | 1 |
| 0   | 0 | 1 |
| 1   |   |   |

|     |   |   |
|-----|---|---|
| ·11 | 0 | 1 |
| 0   | 0 |   |
| 1   | 0 | 0 |

|     |   |   |
|-----|---|---|
| ·12 | 0 | 1 |
| 0   | 0 |   |
| 1   | 0 |   |

|     |   |   |
|-----|---|---|
| ·13 | 0 | 1 |
| 0   | 0 |   |
| 1   | 1 | 0 |

|     |   |   |
|-----|---|---|
| ·14 | 0 | 1 |
| 0   | 0 |   |
| 1   | 1 |   |

|     |   |   |
|-----|---|---|
| ·15 | 0 | 1 |
| 0   | 0 |   |
| 1   |   | 0 |

|     |   |   |
|-----|---|---|
| ·16 | 0 | 1 |
| 0   | 0 |   |
| 1   |   | 1 |

|     |   |   |
|-----|---|---|
| ·17 | 0 | 1 |
| 0   | 0 |   |
| 1   |   |   |

|     |   |   |
|-----|---|---|
| ·18 | 0 | 1 |
| 0   | 1 | 0 |
| 1   | 0 |   |

|     |   |   |
|-----|---|---|
| ·19 | 0 | 1 |
| 0   | 1 | 0 |
| 1   | 1 |   |

|     |   |   |
|-----|---|---|
| ·20 | 0 | 1 |
| 0   | 1 | 0 |
| 1   |   | 0 |

|     |   |   |
|-----|---|---|
| ·21 | 0 | 1 |
| 0   | 1 | 0 |
| 1   |   |   |

|     |   |   |
|-----|---|---|
| ·22 | 0 | 1 |
| 0   | 1 | 1 |
| 1   | 0 |   |

|     |   |   |
|-----|---|---|
| ·23 | 0 | 1 |
| 0   | 1 | 1 |
| 1   | 1 |   |

|     |   |   |
|-----|---|---|
| ·24 | 0 | 1 |
| 0   | 1 | 1 |
| 1   |   | 0 |

|     |   |   |
|-----|---|---|
| ·25 | 0 | 1 |
| 0   | 1 | 1 |
| 1   |   |   |

|     |   |   |
|-----|---|---|
| ·26 | 0 | 1 |
| 0   | 1 |   |
| 1   | 0 |   |

|     |   |   |
|-----|---|---|
| ·27 | 0 | 1 |
| 0   | 1 |   |
| 1   | 1 |   |

|     |   |   |
|-----|---|---|
| ·28 | 0 | 1 |
| 0   | 1 |   |
| 1   |   | 0 |

|     |   |   |
|-----|---|---|
| ·29 | 0 | 1 |
| 0   | 1 |   |
| 1   |   |   |

|     |   |   |
|-----|---|---|
| ·30 | 0 | 1 |
| 0   |   | 0 |
| 1   | 0 |   |

|     |   |   |
|-----|---|---|
| ·31 | 0 | 1 |
| 0   |   | 0 |
| 1   | 1 |   |

|     |   |   |
|-----|---|---|
| ·32 | 0 | 1 |
| 0   |   | 0 |
| 1   |   |   |

|     |   |   |
|-----|---|---|
| ·33 | 0 | 1 |
| 0   |   | 1 |
| 1   | 0 |   |

|     |   |   |
|-----|---|---|
| ·34 | 0 | 1 |
| 0   |   | 1 |
| 1   |   |   |

|     |   |   |
|-----|---|---|
| ·35 | 0 | 1 |
| 0   |   |   |
| 1   |   |   |

# Remove all partial projection groupoids

- Leaves 21 non-relational partial groupoids

|    |   |   |
|----|---|---|
| ·1 | 0 | 1 |
| 0  | 0 | 0 |
| 1  | 0 |   |

|    |   |   |
|----|---|---|
| ·3 | 0 | 1 |
| 0  | 0 | 0 |
| 1  |   | 0 |

|    |   |   |
|----|---|---|
| ·7 | 0 | 1 |
| 0  | 0 | 1 |
| 1  | 1 |   |

|    |   |   |
|----|---|---|
| ·8 | 0 | 1 |
| 0  | 0 | 1 |
| 1  |   | 0 |

|     |   |   |
|-----|---|---|
| ·11 | 0 | 1 |
| 0   | 0 |   |
| 1   | 0 | 0 |

|     |   |   |
|-----|---|---|
| ·13 | 0 | 1 |
| 0   | 0 |   |
| 1   | 1 | 0 |

|     |   |   |
|-----|---|---|
| ·15 | 0 | 1 |
| 0   | 0 |   |
| 1   |   | 0 |

|     |   |   |
|-----|---|---|
| ·18 | 0 | 1 |
| 0   | 1 | 0 |
| 1   | 0 |   |

|     |   |   |
|-----|---|---|
| ·19 | 0 | 1 |
| 0   | 1 | 0 |
| 1   | 1 |   |

|     |   |   |
|-----|---|---|
| ·20 | 0 | 1 |
| 0   | 1 | 0 |
| 1   |   | 0 |

|     |   |   |
|-----|---|---|
| ·21 | 0 | 1 |
| 0   | 1 | 0 |
| 1   |   |   |

|     |   |   |
|-----|---|---|
| ·22 | 0 | 1 |
| 0   | 1 | 1 |
| 1   | 0 |   |

|     |   |   |
|-----|---|---|
| ·23 | 0 | 1 |
| 0   | 1 | 1 |
| 1   | 1 |   |

|     |   |   |
|-----|---|---|
| ·24 | 0 | 1 |
| 0   | 1 | 1 |
| 1   |   | 0 |

|     |   |   |
|-----|---|---|
| ·25 | 0 | 1 |
| 0   | 1 | 1 |
| 1   |   |   |

|     |   |   |
|-----|---|---|
| ·26 | 0 | 1 |
| 0   | 1 |   |
| 1   | 0 |   |

|     |   |   |
|-----|---|---|
| ·27 | 0 | 1 |
| 0   | 1 |   |
| 1   | 1 |   |

|     |   |   |
|-----|---|---|
| ·28 | 0 | 1 |
| 0   | 1 |   |
| 1   |   | 0 |

|     |   |   |
|-----|---|---|
| ·29 | 0 | 1 |
| 0   | 1 |   |
| 1   |   |   |

|     |   |   |
|-----|---|---|
| ·30 | 0 | 1 |
| 0   |   | 0 |
| 1   | 0 |   |

|     |   |   |
|-----|---|---|
| ·31 | 0 | 1 |
| 0   |   | 0 |
| 1   | 1 |   |



# Remove partial groupoids that are isom. to opposite

- Leaves 16 non-dually-isomorphic partial groupoids

|    |   |   |
|----|---|---|
| ·1 | 0 | 1 |
| 0  | 0 | 0 |
| 1  | 0 |   |

|    |   |   |
|----|---|---|
| ·3 | 0 | 1 |
| 0  | 0 | 0 |
| 1  |   | 0 |

|    |   |   |
|----|---|---|
| ·7 | 0 | 1 |
| 0  | 0 | 1 |
| 1  | 1 |   |

|    |   |   |
|----|---|---|
| ·8 | 0 | 1 |
| 0  | 0 | 1 |
| 1  |   | 0 |

|     |   |   |
|-----|---|---|
| ·15 | 0 | 1 |
| 0   | 0 |   |
| 1   |   | 0 |

|     |   |   |
|-----|---|---|
| ·18 | 0 | 1 |
| 0   | 1 | 0 |
| 1   | 0 |   |

|     |   |   |
|-----|---|---|
| ·19 | 0 | 1 |
| 0   | 1 | 0 |
| 1   | 1 |   |

|     |   |   |
|-----|---|---|
| ·20 | 0 | 1 |
| 0   | 1 | 0 |
| 1   |   | 0 |

|     |   |   |
|-----|---|---|
| ·21 | 0 | 1 |
| 0   | 1 | 0 |
| 1   |   |   |

|     |   |   |
|-----|---|---|
| ·23 | 0 | 1 |
| 0   | 1 | 1 |
| 1   | 1 |   |

|     |   |   |
|-----|---|---|
| ·25 | 0 | 1 |
| 0   | 1 | 1 |
| 1   |   |   |

|     |   |   |
|-----|---|---|
| ·27 | 0 | 1 |
| 0   | 1 |   |
| 1   | 1 |   |

|     |   |   |
|-----|---|---|
| ·28 | 0 | 1 |
| 0   | 1 |   |
| 1   |   | 0 |

|     |   |   |
|-----|---|---|
| ·29 | 0 | 1 |
| 0   | 1 |   |
| 1   |   |   |

|     |   |   |
|-----|---|---|
| ·30 | 0 | 1 |
| 0   |   | 0 |
| 1   | 0 |   |

|     |   |   |
|-----|---|---|
| ·31 | 0 | 1 |
| 0   |   | 0 |
| 1   | 1 |   |

## Axioms for the ISP classes they generate?

- Which of these generate a **finitely axiomatizable** ISP class?
- **Natural duality theory** applies to partial algebras (Davey [2006])
- Which of these partial groupoids are **dualizable**?
- For this talk: want the operation to have an **identity element** 0
- Two **total** groupoids: 2-element semilattice and 2-element group

$$\begin{array}{c|cc} \vee & 0 & 1 \\ \hline 0 & 0 & 1 \\ 1 & 1 & 1 \end{array}$$
$$\begin{array}{c|cc} +_2 & 0 & 1 \\ \hline 0 & 0 & 1 \\ 1 & 1 & 0 \end{array}$$

- How many **partial groupoids with an identity element** are there?

- $P_1 = \begin{array}{c|cc} + & 0 & 1 \\ \hline 0 & 0 & 1 \\ 1 & 1 & 1 \end{array}$  Is  $\text{ISP}(P_1)$  **finitely axiomatizable**? **Dualizable**?

## Subalgebras, products, homomorphisms

- A **partial algebra**  $\mathbf{A} = \langle A, F^{\mathbf{A}} \rangle$  is a set  $A$  and a collection of partial operations  $F^{\mathbf{A}}$  on  $A$
- Every partial algebra can be extended to a total algebra  $\tilde{\mathbf{A}}$  by adding one element  $\infty \notin A$
- $$\tilde{f}(x_1, \dots, x_n) = \begin{cases} f(x_1, \dots, x_n) & \text{if } f(x_1, \dots, x_n) \text{ is defined (i.e., exists)} \\ \infty & \text{otherwise} \end{cases}$$
- $\text{dom}(f) = \{(x_1, \dots, x_n) \mid f(x_1, \dots, x_n) \text{ exists}\}$
- $\mathbf{B} \subseteq \mathbf{A}$  is a **(partial) subalgebra** if  $\mathbf{B}$  is closed under the partial operations of  $\mathbf{A}$
- $\prod_{i \in I} \mathbf{A}_i$  is the **product**; operations are defined pointwise; exist **iff** they exist in all coords
- Note that  $\tilde{\mathbf{A}} \times \tilde{\mathbf{B}} \neq \widetilde{\mathbf{A} \times \mathbf{B}}$
- $h : \mathbf{A} \rightarrow \mathbf{B}$  is a **homomorphism** if 
$$h(f(x_1, \dots, x_n)) = f(h(x_1), \dots, h(x_n)) \text{ for all } (x_1, \dots, x_n) \in \text{dom}(f)$$
- **HSP** is defined using these operations

## Identities and quasiidentities

The **signature** of a partial algebra is a set  $F$  of (partial) **function symbols**, each with an associated finite arity

The **interpretation** of  $f$  in a partial algebra  $\mathbf{A}$  is denoted  $f^{\mathbf{A}}$

**Terms** and **formulas** are defined as usual

A term  $t(a_1, \dots, a_n)$  is defined iff **all subterms are defined**

An identity  $s(x_1, \dots, x_n) = t(x_1, \dots, x_n)$  holds in a partial algebra  $\mathbf{A}$  if for all  $x_1, \dots, x_n \in A$  either **both sides are undefined**, or they are **defined and equal**

A **quasiidentity**  $s_1 = t_1 \& \dots \& s_n = t_n \implies s = t$  holds in  $\mathbf{A}$  if for all assignments that make **the premises defined and equal**,  $s, t$  are **defined and equal**

## Why bother with partial operations?

- **Boole** originally considered **union** undefined for **overlapping sets**
- In a **field**, the multiplicative **inverse** is a partial operation
- In quantum logic, **effect algebras** are partial algebras
- **Kripke frames** of **ordered** algebras are partial algebras
- **Products** of partial algebras are **cartesian** (not true with  $\tilde{\mathbf{A}}$ )
- **Natural duality** now allows **partial operations** and relations on both sides
- Partial algebras **include all relational structures** in an algebraic way
- $R \subseteq A^n$  corresponds to  $f_R(x_1, \dots, x_n) = \begin{cases} x_1 & \text{if } (x_1, \dots, x_n) \in R \\ \text{undef} & \text{otherwise} \end{cases}$
- Note:  $f_R$  is the **restricted projection**  $\pi_1 \upharpoonright_R$

## Why bother with partial operations?

- The main reason: **Computer Science**
- Consider the memory of a computer: a list of **cells** with **values** in them
- $(m_0, m_1, \dots, m_i, \dots)$  or more generally:
- a function  $m : L \rightarrow V$  from a set  $L$  of **locations** to  $V$  of **values**
- As a program runs, it is allocated some of these cells
- The part of memory used is called a **heap**  $h$ , where  $h : L \rightsquigarrow V$  is a **partial** function
- If several programs run **concurrently**, they use **separate** heaps

## Cancellative commutative partial monoids

- A **cancellative commutative partial monoid** is of the form  $(A, +, 0)$  where  $+: A \times A \rightsquigarrow A$  is
  - $x + y = y + x$  (commutative)
  - $(x + y) + z = x + (y + z)$  (associative)
  - $x + 0 = x$  (0 is the identity)
  - $x + z = y + z \implies x = y$  (cancellative)
- *Calcagno, O'Hearn, Yang* [2007] call them **separation algebras**
- Typical model:  $P_{L,V} = \{ \text{all heaps (= partial functions } L \text{ to } V) \}$  and
$$h + k = \begin{cases} h \cup k & \text{if } \text{dom}(h) \cap \text{dom}(k) = \emptyset \\ \text{undef.} & \text{otherwise} \end{cases}$$
- E.g., if  $L = \{0, 1\}$  and  $V = \{0, 1\}$  we have  $A = \{uu, u0, u1, 0u, 1u, 00, 01, 10, 11\}$  where  $h = ab$  is the heap that satisfies  $h(0) = a, h(1) = b$ ;  $h(x) = u$  means undefined
- Define **heap algebras** =  $S(\{P_{L,V} \mid L, V \text{ are sets}\})$ . Note that  $0 = uu$





## Heap algebras = $\text{ISP}(P_1)$

Products of  $P_1$  are Boolean lattice reducts with  $x + y = x \vee y$  if  $x \wedge y = 0$

What do the (partial) subalgebras of products of  $P_1$  look like?

### Theorem

*The class of heap algebras is  $\text{ISP}(P_1)$*

### Proof.

$P_{L,V} \cong (V \cup \{u\})^L$  where  $u \notin V$

- 1  $P_{1,V}$  is a subalgebra of  $(P_1)^V$
- 2 Observe that  $P_{L,V} = (P_{1,V})^L$



## Subquasivarieties of cancellative commutative partial monoids

Let  $\text{CCpM}$  = quasivariety of **cancellative commutative partial monoids**

$\text{CCpM}$  is larger than  $\text{ISP}(P_1)$  since  $\mathbb{Z}_2$  is a  $\text{CCpM}$

$P_1$  is **positive**, i.e., satisfies  $x + y = 0 \implies x = 0$ , which fails in  $\mathbb{Z}_2$

A **generalized effect algebra** is a positive  $\text{CCpM}$

### Fact

$x \leq y$  is a **partial order** in generalized effect algebras

**Effect algebras** come from quantum logic, *Foulis and Bennett* [1994]

Effect algebras are generalized EA that have unary  $'$  such that  $x + x' = 0'$

## Generalized Orthoalgebras

Addition in  $P_1$  is **orthogonal**, i.e.,  $x + x = x + x \implies x = 0$

Unit interval with truncated  $+$  is a **non-orthogonal** pos. CCpM:  $\frac{1}{2} + \frac{1}{2} = 1$

**Lemma:** Orthogonal CCpMs are positive.

Proof: If  $x + y = 0$  then  $x + (x + y)$  is defined, so  $(x + x) + y$  is defined, hence  $x + x = x + x$  so we get  $x = 0$

Orthogonal CCpMs are also known as **generalized orthoalgebras**

$P_1$  is **coherent**, i.e., if  $x + y$ ,  $x + z$  and  $y + z$  are defined, so is  $(x + y) + z$

Example of a **non-coherent** generalized orthoalgebra: Take an 8-element BA and remove the top element

Coherent orthoalgebras are first-order equivalent to **orthomodular posets**

## Concrete generalized orthoalgebras

Let  $U$  be any set and define  $+$  on  $\mathcal{P}(U)$  by

$$X + Y = \begin{cases} X \cup Y & \text{if } X \cap Y = \emptyset \\ \text{undef.} & \text{otherwise} \end{cases}$$

Then  $(\mathcal{P}(U), +, \emptyset)$  is a **coherent generalized orthoalgebra**  $\cong P_1^{|U|}$

A **concrete generalized orthoalgebra** is any partial algebra embedded in this powerset algebra

Hence the class of **concrete generalized orthoalgebras** is  $\text{ISP}(P_1)$

But this is **smaller** than the class of coherent generalized orthoalgebras

Is it **finitely axiomatizable**?

## More quasiidentities

**Lemma:** The following quasiequations hold in  $P_1$  and are not consequences of previous axioms:

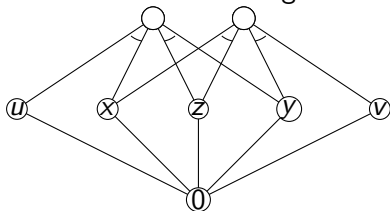
- 1  $x \leq y + z \ \& \ y \leq x + z \implies x = y$
- 2  $w + x = y + z \ \& \ w + y = u \ \& \ x + z = v \implies x = y$

**Proof** that 1. holds in  $P_1$ : Suppose  $x \leq y + z \ \& \ y \leq x + z$  but  $x \neq y$ .  
By symmetry can assume  $x = 0, y = 1$ .

Then  $x \leq y + z$  implies  $z = 0$  (since  $y + z$  must be defined).

But now  $1 = y \leq x + z = 0 + 0 = 0$  is a contradiction.

1. fails in this coherent generalized orthoalgebra:



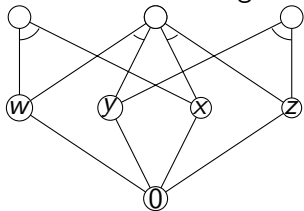
where only  $u + x, y + z, x + z, y + v$  are defined

Similarly 2.  $w + x = y + z$  &  $w + y = u$  &  $x + z = v \implies x = y$  holds in  $P_1$ : Suppose  $x = 0, y = 1$ .

$w + x = y + z$  implies  $z = 0$  and  $w = 1$ .

But now  $w + y = 1 + 1$  is undefined, contradicting  $w + y = u$ .

Below is a coherent generalized orthoalgebra that satisfies 1. but fails 2.



# Concrete GOAs are not finitely axiomatized

## Theorem

**ISP**( $P_1$ ) is not finitely axiomatizable.

**Proof.** Consider the following quasiidentities  $q_n$ :

$$\&_{i=0}^{n-1}(x_{2i} + x_{2i+1} = x_{2i+2} + x_{2i+3}) \& \&_{i=0}^{n-1}(x_{2i+1} + x_{2i+2} = y_i) \implies x_0 = x_2$$

where index addition is modulo  $2n$ .

We also define an algebra  $Q_n = \{0, a_0, a_1, \dots, a_{2n-1}, b_0, b_1, \dots, b_n\}$

by  $0 + x = 0 = x + 0$ ,  $a_{2i} + a_{2i+1} = b_n$ , and  $a_{2i+1} + a_{2i+2} = b_i$  (index addition mod  $2n$ )

It is not difficult to check that this algebra is a coherent orthogonal CCpM

**Claim 1.** For all  $n > 1$  the formula  $q_n$  holds in  $\mathbf{ISP}(P_1)$  but fails in  $Q_n$ .

**Proof.**

Suppose the premises hold in  $P_1$  but  $x_0 \neq x_2$ .

If  $x_0 = 0$  then  $x_2 = 1$ , and since  $x_1 + x_2$  is defined, it follows that  $x_1 = 0$ .

However, this contradicts  $x_0 + x_1 = x_2 + x_3$ .

If  $x_0 = 1$  then  $x_1 = 0$  since  $x_0 + x_1$  is defined, and  $x_2 = 0$  since we are assuming  $x_0 \neq x_2$ .

Now  $x_0 + x_1 = x_2 + x_3$  implies  $x_3 = 1$ , and since  $x_3 + x_4$  is defined, we have  $x_4 = 0$ .

If  $n = 2$  then  $x_4 = x_0$  since indices are calculated modulo 4, but this contradicts  $x_0 = 1$ .

Assume we have shown  $x_{2i-1} = 1$  and  $x_{2i} = 0$ .

Then  $x_{2i-2} + x_{2i-1} = x_{2i} + x_{2i+1}$  implies  $x_{2i+1} = 1$ , hence  $x_{2i+2} = 0$ .

By induction we have  $x_{2n} = 0$ , which again contradicts  $x_0 = 1$ .

To see that  $q_n$  fails in  $Q_n$ , take  $a_i$  to be the value of the variable  $x_1$ . □



**Claim 2.** The ultraproduct  $(\prod_{n \in \omega} Q_n) / \mathcal{U}$  is in **ISP**( $P_1$ ) for any nonprincipal ultrafilter  $\mathcal{U}$  on  $\omega$ , hence **ISP**( $P_1$ ) is not finitely axiomatizable.

**Proof.**

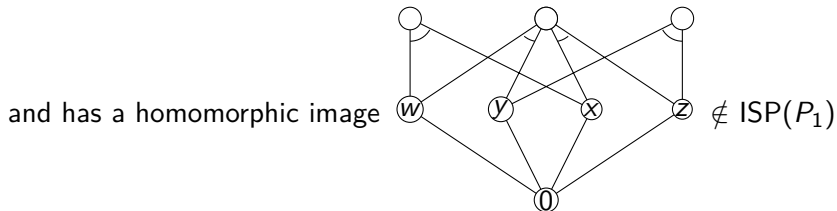
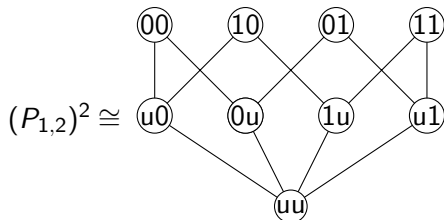
(outline) In each  $Q_n$ , the term  $a_i + a_j$  is defined iff  $j = i \pm 1 \pmod{2n}$ , and the terms  $a_{2i} + a_{2i+1}$  are all equal to  $b_n$ .

This same structure holds in the ultraproduct, except that the addition is now done in  $\mathbb{Z}$ .

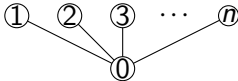
To see that the ultraproduct is in **ISP**( $P_1$ ), it suffices to embed this algebra in the powerset algebra  $\mathcal{P}(\omega)$  with disjoint union as partial operation and the empty set as identity.

Let  $a_0 = 2\mathbb{Z}$  and  $a_1 = \omega - a_0$ . In general, let  $a_k = 2k\mathbb{Z}$  and  $a_{k+1} = \omega - a_k$ , and check that this map is an embedding. □

ISP( $P_1$ ) is not closed under H



# Heap algebras satisfy no congruence equations

- Consider the heap algebra  $P_{1,n} =$   

- Can identify any two maximal elements without collapsing any others
- Can identify any maximal element with 0 without collapsing any others
- Therefore  $\text{Con}(P_{1,n}) = \text{Eq}(n)$  = the lattice of equivalence relations on an  $n$  element set
- Any lattice equation fails in  $\text{Eq}(n)$  for some  $n$

## Natural duality (briefly)

- Duality theory aims to find **categorical (dual) equivalences** between two categories
- **Natural dualities** provide a framework using homomorphisms into a generating object
- E.g. **Stone duality**  $D : \mathbf{BA} \rightarrow \mathbf{Stone}$ ,  $E : \mathbf{Stone} \rightarrow \mathbf{BA}$  given by

$D(\mathbf{A}) = \text{Hom}(\mathbf{A}, \underline{\mathbf{2}})$  with product topology from  $\underline{\mathbf{2}}^{\mathbf{A}}$ ,  $D(h)(x) = x \circ h$   
 $E(\mathbf{X}) = \text{Hom}(\mathbf{X}, \underline{\mathbf{2}})$  with operations inherited from  $\mathbf{2}^{\mathbf{X}}$ ,  $E(k)(a) = a \circ k$

- Or **Priestley duality**  $D : \mathbf{BDL} \rightarrow \mathbf{Pri}$ ,  $E : \mathbf{Pri} \rightarrow \mathbf{BDL}$  given by

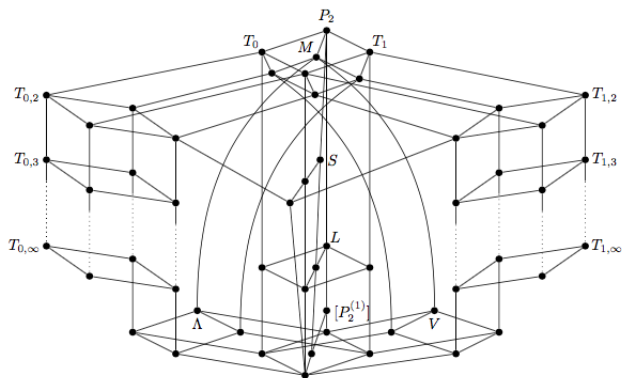
$D(\mathbf{A}) = \text{Hom}(\mathbf{A}, \underline{\mathbf{C}}_2)$  with product topology from  $\underline{\mathbf{C}}_2^{\mathbf{A}}$ ,  $D(h)(x) = x \circ h$   
 $E(\mathbf{X}) = \text{Hom}(\mathbf{X}, \underline{\mathbf{C}}_2)$  with operations inherited from  $\mathbf{2}^{\mathbf{X}}$ ,  $E(k)(a) = a \circ k$

- Then  $E(D(\mathbf{A})) \cong \mathbf{A}$  and  $D(E(\mathbf{X})) \cong \mathbf{X}$  via a **natural** isomorphism

# Dualizability of 2-element algebras

## Theorem

*[Clark, Davey 1998] All 2-element (total) algebras are dualizable, except for the 8 that are limits of descending chains*



## Natural duality for partial algebras

- Davey [2006] extends natural dualities to **categories of partial algebras** and **relational structures**
- Davey, Pitkethly and Willard [2012] give a **symmetric** formulation:
- Let  $f$  be  $m$ -ary,  $g$  be  $n$ -ary partial functions on a set  $A$
- $f, g$  are **compatible** ( $f \sim g$ ) if for all  $(a_{ij}) \in A^{m \times n}$  with  $f(a_{*j}), g(a_{i*})$  defined we have  $f(g(a_{1*}), \dots, g(a_{m*})) = g(f(a_{*1}), \dots, f(a_{*n}))$
- $F^\diamond = \{g : f \sim g \text{ for all } f \in F\}$
- **Lemma** [DPW'12]. (i)  $SP(\langle A, F \rangle)$  and  $SP(\langle A, F' \rangle)$  are **isomorphic categories** if  $F^{\diamond\diamond} = F'^{\diamond\diamond}$
- (ii)  $g \in F^{\diamond\diamond}$  iff  $g$  has an extension in  $\text{Clo}_p(F)$  and the domain of  $g$  is **conjunct-atomic** definable from  $F$
- Here  $\text{Clo}_p(F)$  is the clone of partial functions generated by  $F$
- A  $k$ -ary relation  $R$  is **conjunct-atomic definable** from  $F$  if  $R = \{\mathbf{a} \in A^k : \psi(\mathbf{a}) \text{ is true in } \langle A, F \rangle\}$  for some formula  $\psi$  that is a conjunction of atomic formulas

## An algorithm for computing $F^{\diamond\diamond}$

Let  $\mathbf{A} = \langle A, F \rangle$  be a finite partial algebra with  $F$  finite

To compute all  $k$ -ary partial functions in  $F^{\diamond\diamond}$ , compute the  $k$ -ary **partial clone**  $G_k = \text{subalgebra of } \mathbf{A}^{A^k}$  generated by the  $k$ -ary projections  $\pi_1, \dots, \pi_k$   
Next, close  $G_k$  under **equalizers of partial functions**, i.e.,  $f, g \in G_k$

implies  $E(f, g) \in G_k$  where  $E(f, g)(\mathbf{a}) = \begin{cases} f(\mathbf{a}) & \text{if } f(\mathbf{a}) = g(\mathbf{a}) \\ \text{undef.} & \text{otherwise} \end{cases}$

Finally, close  $G_k$  under the **restriction of  $f$  to the domain of  $g$** , for all  $f, g \in G_k$

Sets of the form  $F^{\diamond\diamond}$  are called **structural clones**

There are 17 unary and 1693 binary structural clones on  $\{0, 1\}$   
compared to 6 unary and 26 binary (total) clones

# Computing the structural clone of $\mathbf{P}_1$

| $x$ | $y$ | 0 | $x + y$ | $2x$ | $2y$ | $2x + y$ | $x + 2y$ | $2x + 2y$ | $E(x, y)$ | $x \upharpoonright_{x+y}$ | $y \upharpoonright_{x+y}$ | $0 \upharpoonright_{x+y}$ | $0 \upharpoonright_{E(x,y)}$ |
|-----|-----|---|---------|------|------|----------|----------|-----------|-----------|---------------------------|---------------------------|---------------------------|------------------------------|
| 0   | 0   | 0 | 0       | 0    | 0    | 0        | 0        | 0         | 0         | 0                         | 0                         | 0                         | 0                            |
| 0   | 1   | 0 | 1       | 0    | -    | 1        | -        | -         | -         | 0                         | 1                         | 0                         | -                            |
| 1   | 0   | 0 | 1       | -    | 0    | -        | 1        | -         | -         | 1                         | 0                         | 0                         | -                            |
| 1   | 1   | 0 | -       | -    | -    | -        | -        | -         | 1         | -                         | -                         | -                         | 0                            |



# $P_1$ is dualizable at the finite level

## Theorem

(Joint with M. A. Moshier)  $\mathbf{P}_1 = \frac{\begin{array}{c|cc} + & 0 & 1 \\ \hline 0 & 0 & 1 \\ 1 & 1 & 1 \end{array}}{\quad}$  is dualizable at the finite level

Let  $\underline{\mathbf{P}}_1 = \langle \{0, 1\}, G \rangle$  where  $G = \{+, 0\}^\diamond$ , so  $g \in G$  if  $g(0, \dots, 0) = 0$  and if  $g(x_1, \dots, x_k), g(y_1, \dots, y_k)$ , and  $x_i + y_i$  **defined** for  $i = 1, \dots, k$  then  $g(x_1 + y_1, \dots, x_k + y_k) = g(x_1, \dots, x_k) + g(y_1, \dots, y_k)$  (both defined)

Show for all finite  $\mathbf{A} \in SP(\mathbf{P}_1)$  we have  $E(D(\mathbf{A})) \cong \mathbf{A}$

## Bunched implication algebras

A **bunched implication algebra** (BI-algebra) is of the form

$(A, \vee, \wedge, \rightarrow, \top, \perp, *, \backslash, /, 1)$  where  $(A, \vee, \wedge, \rightarrow, \top, \perp)$  is a **Heyting algebra**

(i.e. a bounded distributive lattice with  $x \wedge y \leq z$  iff  $y \leq x \rightarrow z$ ) and

$(A, \vee, \wedge, *, \backslash, /, 1)$  is a **commutative residuated lattice**

(i.e. a commutative monoid with  $x * y \leq z$  iff  $y \leq x \backslash z$  iff  $x \leq z / y$ )

If  $(x \rightarrow \perp) \rightarrow \perp = x$  we get **classical** BI-algebras

CBI-algebras = commutative residuated Boolean monoids

= *crm*-algebras of Jónsson-Tsinakis [1993]

BI-algebras come from **Separation Logic**, a **Hoare programming logic** for reasoning about pointers and concurrent resources

## BI-algebras from generalized effect algebras

Let  $(P, \oplus, 0)$  be a **generalized effect algebra** (GEA)

Recall the **natural order**  $x \leq y$  iff  $\exists z x \oplus z = y$

$Up(P)$  is the set of upward closed subsets of  $P$

= a completely distributive complete lattice under intersection and union

Hence  $(Up(P), \cup, \cap, \rightarrow, P, \emptyset)$  is a **Heyting algebra**

Define  $X * Y = \{x \oplus y \mid x \in X, y \in Y\}$ ,

$X \setminus Y = \{z \mid x \oplus z \in Y \text{ for all } x \in X\}$ ,  $X / Y = Y \setminus X$  and  $1 = \{0\}$

Then  $(Up(P), \cup, \cap, \rightarrow, P, \emptyset, *, \setminus, /, 1)$  is a bunched implication algebra

Let  $Up(\mathbf{GEA}) = \{Up(P) \mid P \in \mathbf{GEA}\}$

**Problem:** Axiomatize the class  $HSP(Up(\mathbf{GEA}))$  or  $HSP(Up(SP(\mathbf{P}_1)))$

## Residuated Heyting algebras

BI-algebras are residuated lattices with a Heyting algebra order

A **residuated Heyting algebra** (RHA) is of the form

$(A, \vee, \wedge, \rightarrow, \top, \perp, *, \backslash, /, 1)$  where  $(A, \vee, \wedge, \rightarrow, \top, \perp)$  is a **Heyting algebra** and  $(A, \vee, \wedge, *, \backslash, /, 1)$  is a **residuated lattice**

For example **every finite distributive residuated lattice** can be expanded to a residuated Heyting algebra

|         |     |     |     |     |
|---------|-----|-----|-----|-----|
| $\cdot$ | $0$ | $a$ | $b$ | $1$ |
| $0$     | $0$ | $0$ | $0$ | $0$ |
| $a$     | $0$ | $0$ | $0$ | $a$ |
| $b$     | $0$ | $0$ | $b$ | $b$ |
| $1$     | $0$ | $a$ | $b$ | $1$ |

But RL congruences need not be RHA congruences:

with  $0 < a < b < 1$  has an RL congruence  $\theta$  with blocks  $\{0, a\}, \{b, 1\}$  but in a HA  $0\theta a \Rightarrow 0\theta 1$

## Duality for residuated Heyting algebras

Since RHAs have distributive RLs as reducts, many techniques from DRL can be adapted

The duality for HA is given by **Esakia spaces**, i.e. Priestley spaces for which  $\downarrow U$  is open for every open set  $U$

The elements of the space are the **prime lattice filters** of the Heyting algebra

The **monoid operation** of a RHA is captured by a **ternary Kripke relation** on the prime filters

For **finite** algebras, this reduces to **Birkhoff's duality** for distributive lattices, with a **ternary relation**

## Adding divisibility: HGBL-algebras

A HGBL-algebra is a **divisible residuated Heyting algebra**

i.e., satisfies  $x \leq y \implies y(y \setminus x) = (x / y)y = x$

Most of the results of J-Montagna [2006, 2009, 2010] can be lifted to HGBL-algebras

In particular, poset products completely describe the structure theory of finite HGBL-algebras

The equational decidability of GBL-algebras is still open

Problem: Do HGBL-algebras have a decidable equational theory?

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Thank you Franco!

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