

# Generating all modular lattices of a given size

## BLAST 2013

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August 8, 2013

- Modular Lattices: Definitions
- The Objective: Generating and Counting Modular Lattices
- The Original Algorithm: Generating All Finite Lattices
- Improving the Algorithm
- Generating Modular and Semimodular Lattices
- Results
- Lower Bound on Modular Lattices
- Conclusion

# Modular Lattices

- A **modular lattice**  $M$  is a lattice that satisfies the modular law for all  $x, y, z \in M$ :

$$x \geq z \text{ implies } x \wedge (y \vee z) = (x \wedge y) \vee z$$

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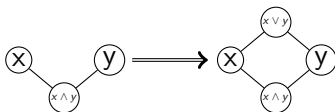
- Examples of modular lattices are:
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  - Lattices of ideals of a ring.
  - Lattices of normal subgroups of a group.



# Semimodular Lattices

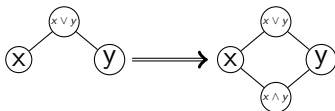
- A lattice  $L$  is **semimodular** if for all  $x, y \in L$

$x \wedge y \prec x, y$  implies that  $x, y \prec x \vee y$ .



- A lattice  $L$  is **lower semimodular** if for all  $x, y \in L$

$x, y \prec x \vee y$  implies that  $x \wedge y \prec x, y$ .



- Theorem:** A finite lattice  $L$  is modular if and only if it is semimodular and lower semimodular.

# Our Objective

We wish to come up with an algorithm which can efficiently generate all possible finite modular lattices of a given size  $n$  up to isomorphism. We further want to apply it to other types of lattices.

## Why is this important?

- 1 Being used for generation of modular lattices and related structures.
- 2 Providing a tool to verify conjectures and/or find counterexamples.
- 3 Better understanding of modular lattices.
- 4 Discovering new structural properties of modular lattices.

# Generating Finite Lattices

Heitzig and Reinhold [2000] developed an **orderly algorithm** to enumerate all finite lattices and used it to count the number of lattices up to size 18. To explain their algorithm, we give some definitions related to posets and lattices:

- We say that  $b$  is a **cover** of  $a$  if  $a < b$  and there is no element  $c$  such that  $a < c < b$ , and denote this by  $a \prec b$ .

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- An **antichain** is a subset of  $L$  in which any two elements in the subset are incomparable.
- The set of all maximal elements in  $L$  is called the first level of  $L$  ( $Lev_1(L)$ ). The **( $m+1$ )-th level** of  $L$  can be recursively defined by  $lev_{m+1}(L) = Lev_1(L - \bigcup_{i=1}^m Lev_i(L))$ .

## Counting Finite Lattices (continued)

Let  $A$  be an antichain of a lattice  $L$ . If  $A$  satisfies A1, we call it a **lattice-antichain**.

**(A1)** For any  $a, b \in \uparrow A$ ,  $a \wedge b \in \uparrow A \cup \{0\}$ .

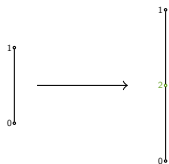
$L^A$  is constructed from  $L$  by adding an atom which is covered by exactly the elements in  $A$ . If  $A$  satisfies (A1), then  $L^A$  is a lattice. (Heitzig and Reinhold, 2000).

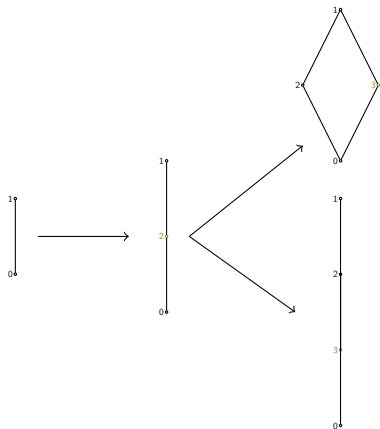
A recursive algorithm can be formulated that generates for a given natural number  $n \geq 2$  exactly all canonical lattices up to  $n$  elements starting with the two element lattice:

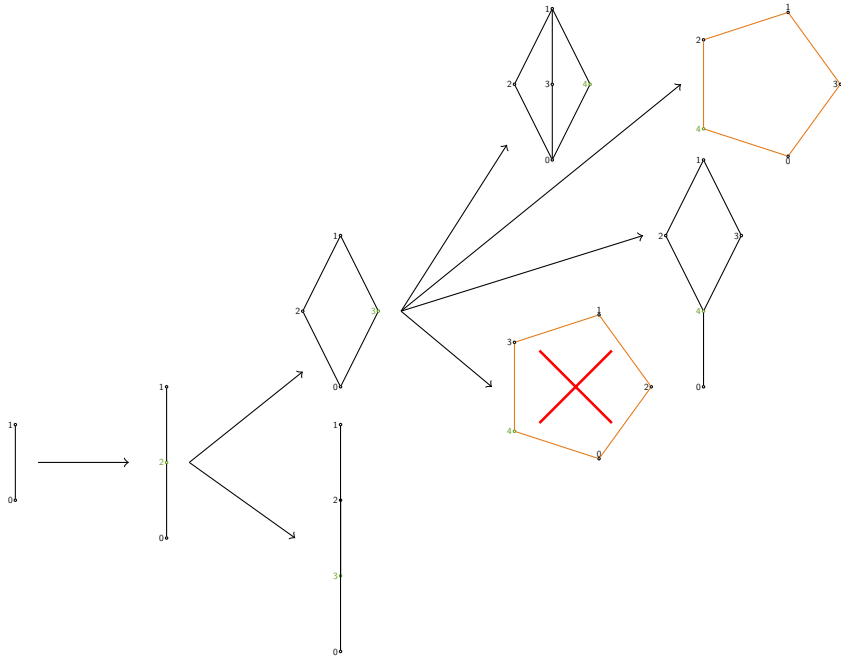
```
next_lattice(integer  $m$ , canonical  $m$ -lattice  $L$ )
begin
  if  $m < n$  then
    for each lattice-antichain  $A$  of  $L$  do
      if  $L^A$  is a canonical lattice then
        next_lattice ( $m + 1$ ,  $L^A$ )
  if  $m = n$  then output  $L$ 
end
```

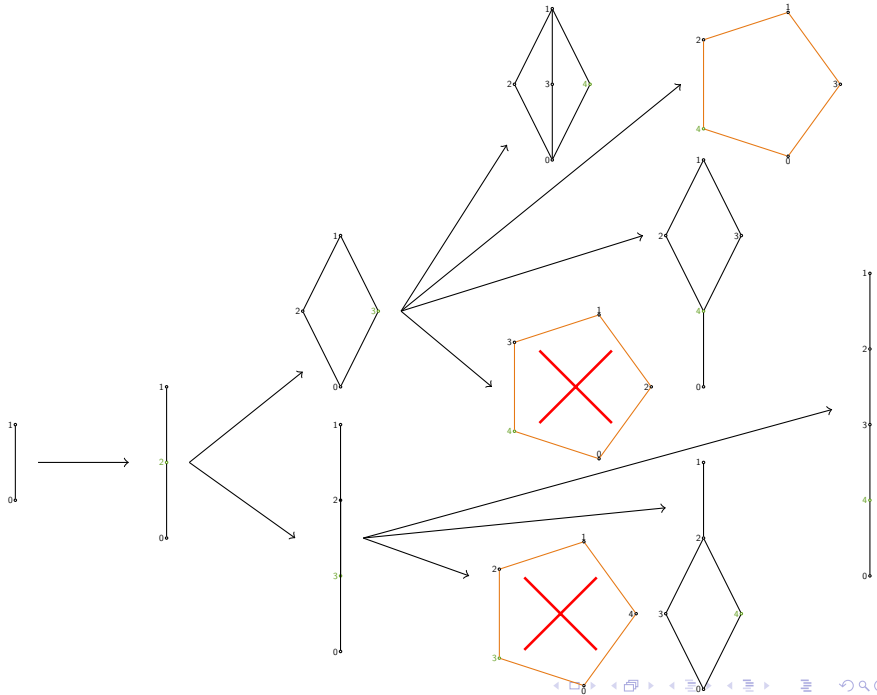


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# Dealing with Isomorphisms

- In order to select one isomorphic copy, a weight is defined for each lattice. If a lattice has the lowest weight among all its permutations, it is considered canonical.
- However, this is an expensive check since it requires checking all permutations for each lattice (with some restrictions).
- The algorithm runtime can be improved by introducing a *canonical path extension*, introduced by McKay (1998):
  - We only use one arbitrary representative of each orbit in the lattice antichains of  $L$ .
  - When  $L^A$  is generated, we perform a “canonical deletion”. If  $L$  is automorphic to the generated lattice, we consider  $L^A$  canonical.

# Counting Finite Lattices: Semimodular Lattices

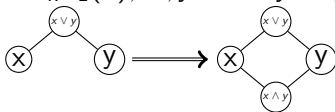
This algorithm can be modified such that when a lattice of size  $n$  is generated, the algorithm checks if it is (semi)modular. Since semimodular and modular lattices are a very small fraction of all lattices, we present some results to reduce the search space of the algorithm. Here,  $Lev_k(L)$  and  $Lev_{k-1}(L)$  denote the bottom and second bottom levels of  $L$  respectively.

- **Semimodular Lattices Theorem:** When generating semimodular lattices, for a lattice  $L$ , we only consider antichains  $A$  which satisfy **(A1)** and all of the following conditions:
  - (A2)**  $A \subseteq Lev_{k-1}(L)$  or  $A \subseteq Lev_k(L)$ .
  - (A3)** If  $A \subseteq Lev_k(L)$ , there are no atoms in  $Lev_{k-1}(L)$ .
  - (A4)** For all  $x, y \in A$ ,  $x$  and  $y$  have a common cover.

# Counting Finite Lattices: Modular Lattices

- **Modular Lattices Theorem:** When generating modular lattices, for a lattice  $L$ , we only consider antichains  $A$  which satisfy **(A1-4)** and

**(A5)** If  $A \subseteq Lev_k(L)$ ,  $Lev_{k-1}(L)$  satisfies lower semimodularity (ie: for all  $x, y \in Lev_{k-1}(L)$ ,  $x, y \prec x \vee y$  implies  $x \wedge y \prec x, y$ )





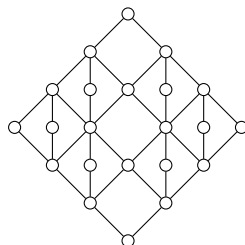
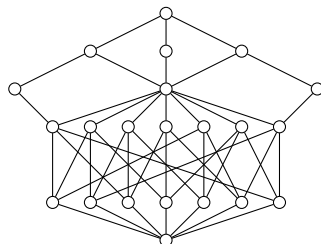
- Calculation of modular lattices of size  $n$  takes approximately 5.5 times the time used to generate all modular lattices of size  $n - 1$ .
- In order to reach higher numbers, the algorithm was parallelized using the Message Passing Interface (MPI).
- Approximately **50 hours** were required to calculate all modular lattices of size 22 running the algorithm in parallel on 64 CPUs. It is estimated it would have taken **1 month** with the serial version.

# Results

n	Lattices	Semimod. Latt.	Mod. Latt.
1	1	1	1
2	1	1	1
3	1	1	1
4	2	2	2
5	5	4	4
6	15	8	8
7	53	17	16
8	222	38	34
9	1,078	88	72
10	5,994	212	157
11	37,622	530	343
12	262,776	1376	766

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12	262,776	1376	766
13	2,018,305	3,693	1,718
14	16,873,364	10,232	3,899
15	152,233,518	29,231	8,898
16	1,471,613,387	85,906	20,475
17	15,150,569,446	259,291	47,321
18	165,269,824,761	802,308	110,024
19	–	2,540,635	256,791
20	–	8,220,218	601,991
21	–	27,134,483	1,415,768
22	–	91,258,141	3,340,847



# Lower Bound on Modular Lattices

- **Theorem:** The number of modular lattices of size  $n$  up to isomorphism is greater or equal to  $2^{n-3}$ .
- **Outline of proof:** Let  $L_3$  be the three element lattice with 0 and 1 as bottom and top respectively, and let  $n - 1$  the last element added. Consider the following two extensions of an  $n$ -lattice  $L$ :

$$L_\alpha = L^A \text{ where } A = \{x \in L \mid x \succ 0\}$$

$$L_\beta = L^{\{a\}} \text{ for an arbitrary } a \text{ such that } a \succ n - 1$$

Idea: Each modular lattice  $L$  will generate two unique modular lattices  $L_\alpha$  and  $L_\beta$ .

# Open Question: Upper Bound on Modular Lattices?

- Current upper bound for the number of all lattices up to isomorphism is approximately

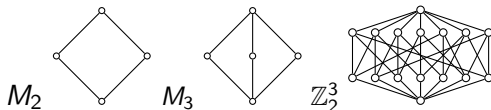
$$6.111344^{[n^{3/2} + o(n^{3/2})]} \quad (\text{Kleitman, 1980})$$

- Upper bound for the number of all distributive lattices is

$$2.39^n \quad (\text{Erné, Heitzig and Reinhold, 2002}).$$

# Future Work: Another Approach?

- Is it possible to generate modular lattices more efficiently with a different approach?
- Distributive lattices have been counted up to size 49 (Erné, Heitzig and Reinhold, 2002).
- Since the difference between distributive and modular lattices is that any lattice containing  $M_3$  is non-distributive and is modular, we tried to generate all modular lattices by inserting points in the  $M_2$  (and other  $M_k$ ) sublattices.
- This worked up to size 15, but failed to generate the projective geometry lattice. When introducing it as a construction block, it worked up to size 20.
- Difficult to prove the algorithm would generate all modular lattices.



# Future Work: Generating Other Lattices

- The algorithm for generating all lattices along with the implementation of the canonical path construction provides a tool to generate any type of lattice up to size 17 or 18.
- The algorithm can be adapted to other types of lattices.
- Some lattices of interest are:
  - 1 Semidistributive lattices.
  - 2 Almost distributive lattices.
  - 3 Two distributive lattices.
  - 4 Selfdual lattices.
- Numbers will be added to the On-Line Encyclopedia of Integer Sequences (OEIS).

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- 5 D. J. Kleitman and K. J. Winston, *Combinatorial mathematics, optimal designs and their applications*, Ann. Discrete Math. 6 (1980), 243–249.
- 6 B. D. McKay, *Isomorph-free exhaustive generation*, J. Algorithms 26 (1998) 306–324.