

# Generalizing the Baker–Beynon duality, from Max to Spec

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# What we will talk about

- Preliminaries on the structures involved;
- Baker-Beynon duality and a general approach to 'affine dualities';
- Our results.

$\ell$ -groups and Riesz spaces

A general approach

Beyond Baker-Beynon duality

The topological side of the duality

Irreducible closed in finite dimension

# $\ell$ -groups and vector lattices

## An $\ell$ -group

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## A Riesz space

is an  $\ell$ -group  $V$  equipped with a structure of  $\mathbb{R}$ -vector space such that  $0 \leq r$  and  $0 \leq v$  imply  $rv \geq 0$  for each  $r \in \mathbb{R}$  and  $v \in V$ .

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$\ell$ -groups and Riesz spaces form **varieties**.

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A proper  $\ell$ -ideal is called **maximal** if it is maximal wrt inclusion.

A nontrivial  $\ell$ -group/Riesz space  $A$  is **simple** if  $\{0\}$  and  $A$  are the only  $\ell$ -ideals of  $A$ .

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Archimedean  $\Rightarrow$  semisimple (when it's finitely generated)

- $A/I$  is simple iff  $I$  is maximal.
- $A/I$  is semisimple iff  $I$  is intersection of maximal  $\ell$ -ideals.

## Piecewise linear functions

A continuous function  $f : \mathbb{R}^k \rightarrow \mathbb{R}$  is **piecewise linear** if there exist  $g_1, \dots, g_n$  linear **homogeneous** polynomials such that for each  $x \in \mathbb{R}^k$  we have  $f(x) = g_i(x)$  for some  $i = 1, \dots, n$ . Notation:



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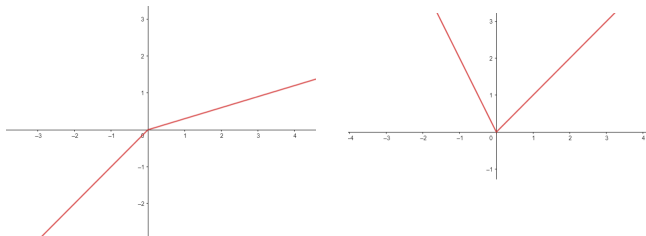
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## Theorem (Baker 1968)

- *Every finitely generated Archimedean Riesz space is isomorphic to  $\text{PWL}_{\mathbb{R}}(C)$  where  $C$  is a cone that is closed in  $\mathbb{R}^n$ .*
- *Every finitely generated Archimedean  $\ell$ -group is isomorphic to  $\text{PWL}_{\mathbb{Z}}(C)$  where  $C$  is a cone that is closed in  $\mathbb{R}^n$ .*

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A **cone** a subset of  $\mathbb{R}^\kappa$  closed under multiplication by nonnegative scalars.

## Theorem (Baker 1968)

- $\text{PWL}_{\mathbb{R}}(\mathbb{R}^\kappa)$  is isomorphic to the *free Riesz space* on  $\kappa$  generators.
- $\text{PWL}_{\mathbb{Z}}(\mathbb{R}^\kappa)$  is isomorphic to the *free  $\ell$ -group* on  $\kappa$  generators.

# Baker-Beynon duality, revisited

## Theorem (Beynon 1974)

*The category of **semisimple Riesz spaces** (resp. **semisimple  $\ell$ -groups**) is dually equivalent to the category of **closed cones** in some  $\mathbb{R}^\kappa$  and piecewise linear maps with real coefficients (resp. with integer coefficients).*



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Every *semisimple  $\ell$ -group/Riesz space* is *subdirect product of subalgebras of  $\mathbb{R}$* .

In particular,

$$A \hookrightarrow \prod_{M \in \text{Max}(A)} A/M.$$

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BB-duality can be framed in a general setting developed by Caramello, Marra, and Spada.

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Let  $\mathcal{V}$  be the variety of  $\ell$ -groups or the variety of Riesz spaces. Let  $A \in \mathcal{V}$ ,  $\kappa$  a cardinal, and  $\mathcal{F}_\kappa$  be the free algebra in  $\mathcal{V}$  over  $\kappa$  generators.

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For any  $T \subseteq \mathcal{F}_\kappa$  and  $S \subseteq A^\kappa$ , we define the following operators.

$$\mathbb{V}_A(T) = \{x \in A^\kappa \mid t(x) = 0 \text{ for all } t \in T\}$$

$$\mathbb{I}_A(S) = \{t \in \mathcal{F}_\kappa \mid t(x) = 0 \text{ for all } x \in S\}.$$

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## Basic Galois connection

$$T \subseteq \mathbb{I}_A(S) \quad \text{iff} \quad S \subseteq \mathbb{V}_A(T)$$



# From a connection to a duality

The key tool: Algebraic Nullstellensatz

Let  $J$  be an  $\ell$ -ideal of  $\mathcal{F}_\kappa$ . We have  $J = \mathbb{I}_A(x)$  for some  $x \in A^\kappa$  iff  $\mathcal{F}_\kappa / J$  embeds into  $A$ .

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$A = \mathbb{R}$  gives the revised Baker–Beynon duality!

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Of course, it won't be possible to find an algebra that embeds **all** linearly ordered ones. Hence, we impose a bound on the cardinality.

## How to get such an algebra?

Given a cardinal  $\alpha$ ,  $\mathcal{F}$  filter in  $\mathcal{P}(I)$  is  $\alpha$ -regular iff there exists  $E \subseteq \mathcal{F}$  of cardinality  $\alpha$  such that each  $i \in I$  belongs to only finitely many  $e \in E$ .

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if  $G$  is an ordered  $\ell$ -group,

then  $G \equiv_{el} \mathbb{R}$  and

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### Theorem

Let  $\gamma$  be a cardinal. There exists an **ultrapower**  $\mathcal{U}$  of  $\mathbb{R}$  such that every  $\kappa$ -generated linearly ordered  $\ell$ -group/Riesz space with  $\kappa \leq \gamma$  **embeds** into  $\mathcal{U}$ .

When  $A = \mathcal{U}...$

If  $\kappa \leq \gamma$ , then every  $\kappa$ -generated  $\ell$ -group/Riesz space is subdirect product of totally ordered ones, that are subalgebras of  $\mathcal{U}$ !

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### Theorem (Carai, L., and Spada)

Let  $\gamma$  be a cardinal. There exists an ultrapower  $\mathcal{U}$  of  $\mathbb{R}$  such that:

- The category of  $\kappa$ -generated Riesz spaces for some  $\kappa \leq \gamma$  is dually equivalent to the category of subsets of  $\mathcal{U}^\kappa$  of type  $\nabla_{\mathcal{U}}(J)$  for some  $\kappa \leq \gamma$  and  $J \subseteq \mathcal{F}_\kappa$ .



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- The category of  $\kappa$ -generated  $\ell$ -groups for some  $\kappa \leq \gamma$  is dually equivalent to the category of subsets of  $\mathcal{U}^\kappa$  of type  $\nabla_{\mathcal{U}}(J)$  for some  $\kappa \leq \gamma$  and  $J \subseteq \mathcal{F}_\kappa$ .

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## Some remarks:

- All of this can be done with a generic  $\ell$ -group that embeds all ordered ones (up to a cardinality). When  $A$  is an ultrapower,  $J = \mathbb{I}_{\mathcal{U}}(x)$  for some  $x \in \mathcal{U}^\kappa$  iff  $J$  is prime or  $J = \mathcal{F}_\kappa$ .

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- If you take ultrapowers, for a big enough cardinal, you can pick **the same  $\mathcal{U}$**  for both  $\ell$ -groups and Riesz spaces.
- This family of functors is "compatible" in a sense that I won't make precise here.

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- This family of functors is "compatible" in a sense that I won't make precise here.
- If you add a **strong unit** everything works. Via Mundici's equivalence, you can work with the equivalent categories of MV-algebras and Riesz MV-algebras, (that are varieties!) and  $\mathbf{A} = [0, 1]$ .

# Non standard tools



## Non standard tools

If  $\mathcal{U} = \prod \mathbb{R} / \mathcal{F}$  for some ultrafilter  $\mathcal{F}$ , every subset  $X \subseteq \mathbb{R}^n$  can be associated with a subset  ${}^*X$  of  $\mathcal{U}^n$  defined as

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and called the **enlargement** of  $X$ . Similarly, every predicate  $P \subseteq \mathbb{R}^n$  and function  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  can be enlarged to  ${}^*P \subseteq \mathcal{U}^n$  and  ${}^*f : \mathcal{U}^n \rightarrow \mathcal{U}$ .

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### Transfer principle (Łoś Theorem)

Let  $\varphi$  be a first order formula and  ${}^*\varphi$  the formula obtained by replacing every predicate symbol  $P$  and every function symbol  $f$  with  ${}^*P$  and  ${}^*f$ . Then  $\varphi$  is true in  $\mathbb{R}$  iff  ${}^*\varphi$  is true in  $\mathcal{U}$ .

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When  $\mathcal{C} = \mathbb{V}(J)$  for some  $J$ ,

- $\mathcal{F}_\kappa / \mathbb{I}(\mathcal{C}) \cong {}^*\text{PWL}_{\mathbb{R}}(\mathcal{C})$  (Riesz spaces).
- $\mathcal{F}_\kappa / \mathbb{I}(\mathcal{C}) \cong {}^*\text{PWL}_{\mathbb{Z}}(\mathcal{C})$  ( $\ell$ -groups).

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Up to cardinality  $\gamma$ , both  $\ell$ -groups and Riesz spaces are dual to a category whose objects are subsets of  $\mathcal{U}^\kappa$  of type  $\mathbb{V}(J)$  for some  $\kappa \leq \gamma$  and  $J \subseteq \mathcal{F}_\kappa$ .

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$\mathbb{V}\mathbb{I}$  is (almost) topological

The operator  $\mathbb{V}\mathbb{I}$  is a closure operator and commutes with binary unions. However, it does not commute with empty unions, because every homogeneous polynomial vanishes on the origin  $\mathcal{O}$ :  $\mathbb{V}\mathbb{I}(\emptyset) = \{\mathcal{O}\}$ .

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So we need to consider  $\mathcal{U}_\circ^\kappa := \mathcal{U}^\kappa \setminus \{\mathbf{O}\}$  and modify  $\mathbb{V}$  accordingly:  
 $\mathbb{V}_\circ(S) := \mathbb{V}(S) \setminus \{\mathbf{O}\}$ .

## Some remarks

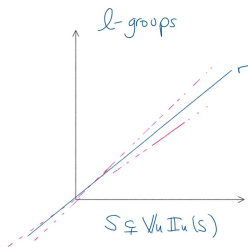
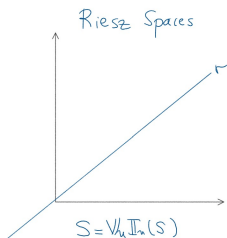
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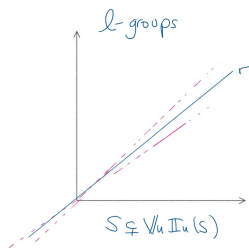
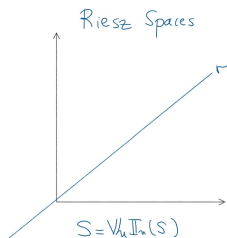
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The topology on  $\mathcal{U}_0^\kappa$  depends on whether we work with  $\ell$ -groups or Riesz spaces.



The Zariski topology on  $\mathcal{U}_0^\kappa$  is not even  $T_0$ . Indeed,  $t(x) = 0$  implies  $t(x + x) = t(x) + t(x)$ . Whence  **$x$  and  $2x$  cannot be separated** by an open set.



## The topology on the quotient

Therefore, we will consider the  $T_0$ -reflection of  $\mathcal{U}_o^\kappa$ . This is equivalently obtained by taking a quotient over the relation

$$x \sim y \text{ if and only if } \mathbb{V}\mathbb{I}(x) = \mathbb{V}\mathbb{I}(y).$$

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### Remark

The frames of open sets of  $\mathcal{U}_o^\kappa$  and  $\mathcal{U}_o^\kappa/\sim$  are **isomorphic**.

This is because all closed subsets of  $\mathcal{U}_o^\kappa$  are **saturated** w.r.t. the relation  $\sim$ .

# Compact open

## Lemma

The compact opens of  $\mathcal{U}_0^k$  are exactly the *complements of the basic closed sets*  $\mathbb{V}(t)$ .

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One direction comes from the fact that  $\mathbf{t}$  belongs to an arbitrary  $\ell$ -ideal  $J$  if and only if there are  $\mathbf{t}_1, \dots, \mathbf{t}_n \in J$  such that  $\mathbf{t} \leq \mathbf{t}_1 + \dots + \mathbf{t}_n$ .

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The other direction is a consequence of the fact that *finitely generated  $\ell$ -ideals are principal* in both cases.

# Irreducible

Recall that a closed subset of a topological space is said to be **irreducible** if it is **not** the union of two proper closed subsets.

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## Proposition

The nonempty **irreducible closed** subsets of  $\mathcal{U}_o^{\kappa}$  are exactly the **closure of points**, that is  $\mathbb{V}\mathbb{I}(x)$ .

Indeed, notice that being irreducible means to be join-prime in the lattice of closed sets. The latter is order-dual to the lattice of  $\ell$ -ideals, in which prime  $\ell$ -ideals (they are  $\mathbb{I}(x)$ !) are exactly the meet-prime elements.

# The Zariski topology is generalized spectral

## Proposition

$\mathcal{U}_o^k / \sim$  is a **generalized spectral space**, i.e.,  $T_0$ , sober, and with a basis of compact open sets stable under binary intersections.

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## Theorem

The map  $e: \mathcal{U}_o^\kappa / \sim \rightarrow \text{Spec}(\mathcal{F}_\kappa)$  that sends  $x / \sim \mapsto \mathbb{I}(x)$  is a **homeomorphism**. Moreover,  $\text{Spec}(\mathcal{F}_\kappa)$  embeds into  $\mathcal{U}_o^\kappa$  as a **dense subset**.

# The duality is induced by $\text{Spec}$

## Corollary

*For any  $\kappa$ -generated  $\ell$ -groups  $A$  there exists an embedding of  $\text{Spec}(A)$  into  $\mathcal{U}_0^\kappa$  such that  $A \cong {}^*\text{PWL}_{\mathbb{Z}}(\text{Spec}(A))$ .*

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The Corollary requires the Axiom of Choice! How to get rid of it?

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# Indices and irreducible closed

## Orthogonal decomposition theorem (Goze 1995)

Any  $x \in \mathcal{U}_o^n$  can be written in a unique way as

$$x = \alpha_1 v_1 + \cdots + \alpha_k v_k$$

where

1.  $v_1, \dots, v_k$  are orthonormal vectors of  $\mathbb{R}^n$ ,
2.  $0 < \alpha_1, \dots, \alpha_k \in \mathcal{U}$ , and
3.  $\alpha_{i+1}/\alpha_i$  is infinitesimal for every  $i < k$ .

## Cones and indices

Thus, each  $x \in \mathcal{U}_0^n$  gets associated with a sequence  $\mathbf{v} = (v_1, \dots, v_k)$  of orthonormal vectors, which we call **index of  $x$** ,  $\iota(x)$ .

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### Example

For  $\mathbf{v} = ((1, 0), (0, 1))$ , if  $b/a$  is infinitesimal, then any  $a(1, 0) + b(0, 1) \in \text{Hcone}(\mathbf{v})$ .

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E.g.  $(\epsilon, 0), (a, 0), (a, \epsilon), (\epsilon, \epsilon^2) \in \text{Hcone}(\mathbf{v})$  for any  $a \in \mathbb{R}$  and any  $\epsilon \in \text{hal}(0)$ .

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The vector  $\mathbf{w} = ((1, 0))$  is the **truncation** of  $\mathbf{v}$  and

$$\text{Hcone}(\mathbf{w}) = \{(x, 0) \in \mathcal{U}_o^2 \mid x \in \mathcal{U}\} \subseteq \text{Hcone}(\mathbf{v}).$$



## Theorem (Carai, L., Spada)

*In the Zariski topology of  $\mathcal{U}_0^n$  relative to Riesz spaces each irreducible closed of  $\mathcal{U}_0^n$  is  $\text{Hcone}(\mathbf{v})$  for some index  $\mathbf{v}$ . More precisely,*

$$\forall I(x) = \text{Hcone}(\iota(x)).$$

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Using a sort of Gram-Schmidt process, we can associate to each index  $v$  a unique  $\mathbb{Z}$ -reduced index  **$\text{red}(v)$** .

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## Theorem

$\mathcal{U}_o^\kappa / \sim$  is homeomorphic to  $\mathbf{Spec}(\mathcal{F}_\kappa)$ . Moreover,  $\mathbf{Spec}(\mathcal{F}_\kappa)$  can be *canonically embedded* into  $\mathcal{U}_o^\kappa$  as a dense subset.



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$\mathcal{U}_o^\kappa / \sim$  is homeomorphic to  $\text{Spec}(\mathcal{F}_\kappa)$ . Moreover,  $\text{Spec}(\mathcal{F}_\kappa)$  can be *canonically embedded* into  $\mathcal{U}_o^\kappa$  as a dense subset.

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The *canonical coordinatization* of  $\text{Spec}(\mathcal{F}_n^\vee)$  is function  $\mathcal{E} : \text{Spec}(\mathcal{F}_n^\vee) \rightarrow \mathcal{U}_o^n$  given by

$$P \mapsto v_1 + \epsilon v_2 + \cdots + \epsilon^{k-1} v_k,$$

where  $(v_1, \dots, v_k)$  is the *index* of all points in  $\mathcal{U}_o^n$  such that  $\mathbb{I}(x) = P$ .

Similarly, the canonical coordinatization of  $\text{Spec}(\mathcal{F}_n^\ell)$  is the function  $\mathcal{E} : \text{Spec}(\mathcal{F}_n^\ell) \rightarrow \mathcal{U}_o^n$  given by

$$P \mapsto v_1 + \epsilon v_2 + \cdots + \epsilon^{k-1} v_k,$$

where  $(v_1, \dots, v_k)$  is the *reduced index* of all points in  $\mathcal{U}_o^n$  such that  $\mathbb{I}(x) = P$ .

## Indices and cones

If  $v$  is an index, we say that a closed cone  $C \subseteq \mathbb{R}^n$  is a  $v$ -cone if there exist real numbers  $r_2, \dots, r_k > 0$  such that  $C$  is generated by  $\{v_1, v_1 + r_2 v_2, \dots, v_1 + r_2 v_2 + \dots + r_k v_k\}$ .

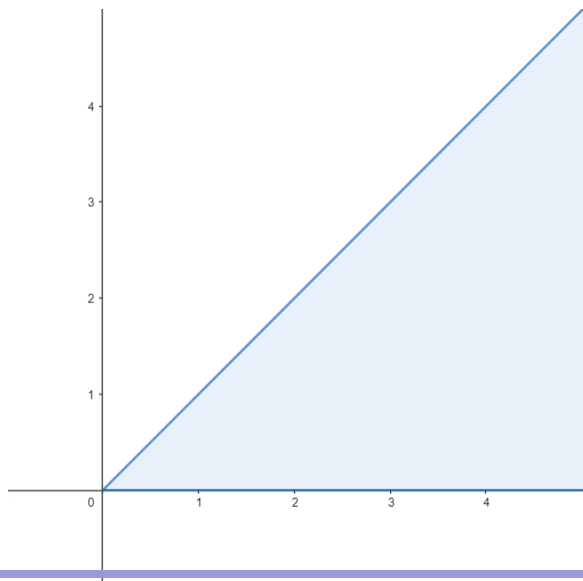
# Indices and cones

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## Proposition

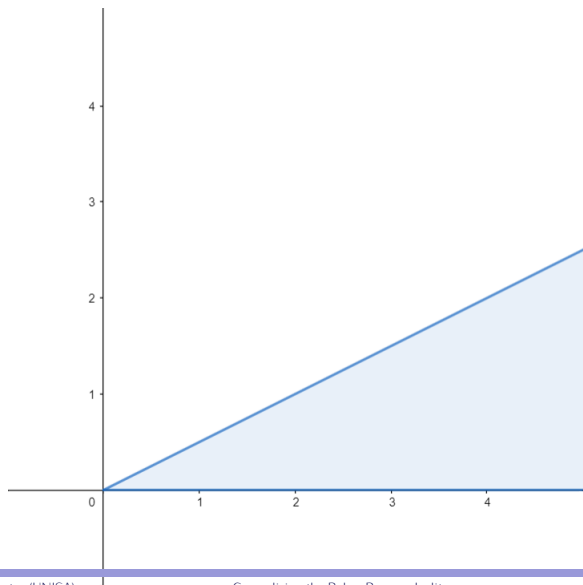
$\text{Hcone}(\mathbf{v})$  is the intersection of the enlargements of all the  $\mathbf{v}$ -cones.

$$v = ((1, 0), (0, 1)).$$

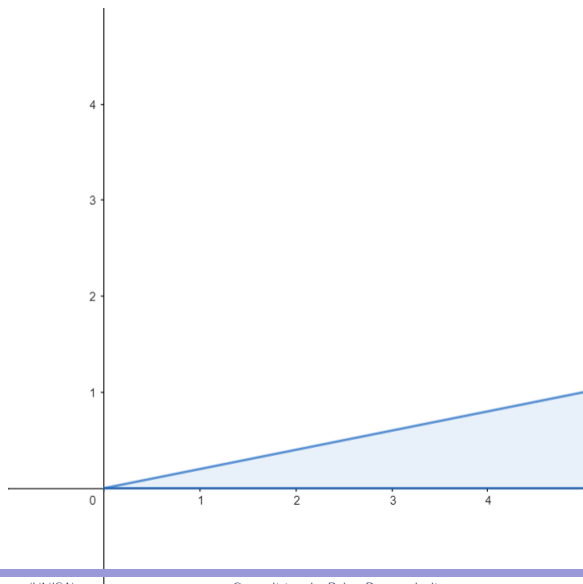




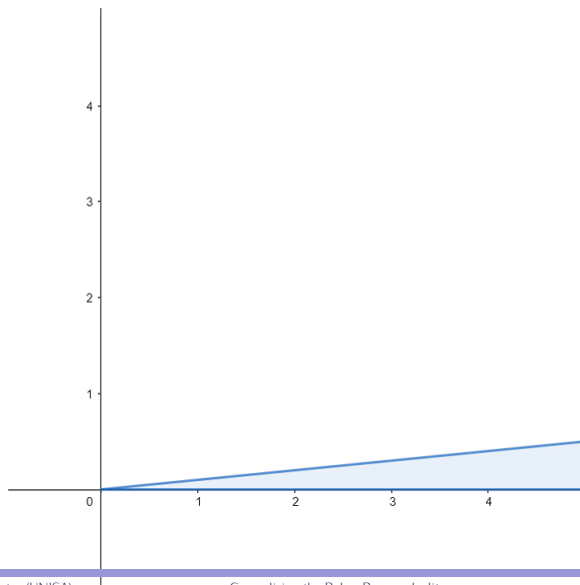
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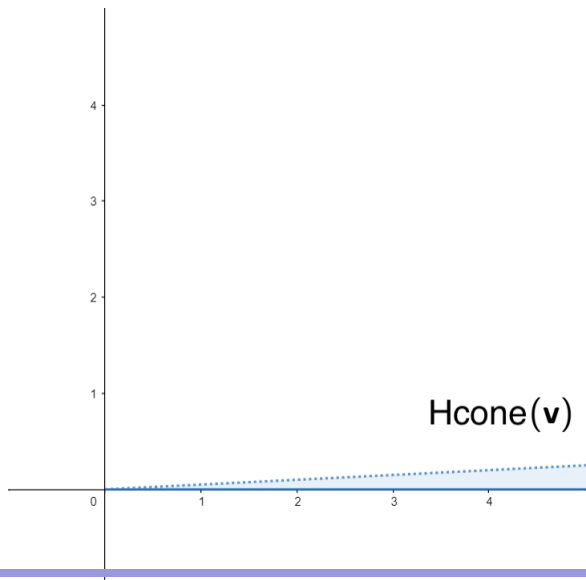
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$$\mathbf{v} = ((1, 0), (0, 1)).$$



# Primes and indices

Theorem (Carai, L., Spada)

For any  $f \in \text{PWL}_{\mathbb{R}}(\mathbb{R}^n)$ ,

\* $f$  vanishes on  $\text{Hcone}(\mathbf{v})$  iff  $f$  vanishes on *some*  $\mathbf{v}$ -cone.

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If  $f \in \text{PWL}_{\mathbb{Z}}(\mathbb{R}^n)$  and  $\mathbf{v}$  is  $\mathbb{Z}$ -reduced, then

$*f$  vanishes on  $\bigcup\{\text{Hcone}(\mathbf{w}) \mid \text{red}(\mathbf{w}) = \mathbf{v}\}$  iff  $f$  vanishes on some  $\mathbf{v}$ -cone.

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As a corollary, we obtain the description of prime  $\ell$ -ideals in finitely generated Riesz spaces and  $\ell$ -groups due to Panti.

## Theorem (Panti 1999)

Each prime  $\ell$ -ideal of  $\mathcal{F}_n$  is of the form

$\{f \in \text{PWL}(\mathbb{R}^n) \mid f \text{ vanishes on a } \mathbf{v}\text{-cone}\}$  for a uniquely determined (reduced) index  $\mathbf{v}$ .

Thank you!