

On partially ordered algebras and preclones

Peter Jipsen

joint work with Erkki Lehtonen and Reinhard Pöschel

Chapman University, University of Helsinki, University of Dresden

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Outline

- Partially ordered algebras
- Clones and preclones
- S -preclones
- A Pol-Inv Galois connection
- The lattice of S -preclones
- Maximal and minimal Boolean \pm preclones

Partially ordered algebras

Unsorted universal algebra has been developed over the last century

Central concepts: signature, algebras, homomorphisms, congruences, subalgebras, products, HSP, varieties, free algebras, clones, ...

Every algebra $\mathbf{A} = (A, (f^{\mathbf{A}} \mid f \in \mathcal{F}))$ has an underlying **set** A as its universe

A **partially ordered algebra** $\mathbf{A} = (A, \leq^{\mathbf{A}}, (f^{\mathbf{A}} \mid f \in \mathcal{F}))$ has an underlying **poset** $A = (A, \leq^{\mathbf{A}})$, as its universe; the **dual poset** A^{∂} is $(A, \geq^{\mathbf{A}})$

Each **fundamental operation symbol** $f \in \mathcal{F}$ corresponds to an operation $f^{\mathbf{A}}$ of \mathbf{A} that is order-preserving **or order-reversing** in each argument

This information is part of the **signature** f^{λ} where $\lambda \in \bigcup_{n \geq 1} \{+, -\}^n$

E.g. $f^{(+,-)}$ means f is binary and $f^{\mathbf{A}}: A \times A^{\partial} \rightarrow A$ is order-preserving

Partially ordered algebras

In standard universal algebra the base category is the category of **sets**

For **partially ordered algebras (po-algebras)** the base category is **Pos** = the category of posets with order-preserving maps as morphisms

But term-operations on **A** are not necessarily morphisms in **Pos**

For $f^{(+,-)}$, $f^{\mathbf{A}}(x, x)$ may **not** be order-preserving or order-reversing

Varieties of algebras with order-preserving operations have been studied by [Bloom 1976], [Bloom and Wright 1983], [Kurz and Velebil 2017], ...

However for algebraic logic, **negation** and **residuation** are important operations, and they are **not** order-preserving

The study of (nonorder-preserving) po-algebras is due to [Pigozzi 2004]

Operations with signa from a monoid S

The set of term-operations on an algebra form a **clone**

What is a good notion of **clone for partially ordered algebras**?

For a set A , let $\text{Op}(A) = \bigcup_{n \geq 1} A^{A^n}$ = the set of all finitary operations on A

For a (fixed) **monoid** (S, \cdot, e) , let ${}^S\text{Op}(A) = \bigcup_{n \geq 1} A^{A^n} \times S^n$

A pair $(f, \lambda) \in {}^S\text{Op}(A)$ is an **S -operation** with **signum** λ , also written f^λ

E.g. $S = \{+, -\}$ with $e = +$ and $- \cdot - = +$

$f^{(+,-)}$ is a binary S -operation with $+$ -signum and $-$ -signum as arguments

S-preclones

A set $F \subseteq {}^S\text{Op}(A)$ is an **S-preclone** if

- F contains the **identity S-operation** $(\text{id}_A, (e))$ and
- F is closed under $\zeta, \tau, \nabla^s, \Delta, \circ$ where $s \in S$ and
 - ζ **cycles** arguments:
 $(\zeta f^\lambda)(x_1, \dots, x_n) = f(x_2, \dots, x_n, x_1)$ has signum $(\lambda_n, \lambda_1, \dots, \lambda_{n-1})$
 - τ **permutes** the first two arguments:
 $(\tau f^\lambda)(x_1, \dots, x_n) = f(x_2, x_1, x_3, \dots, x_n)$ has signum $(\lambda_2, \lambda_1, \lambda_3, \dots, \lambda_n)$
 - ∇^s **adds a fictitious** argument with signum s at first place:
 $(\nabla^s f^\lambda)(x_1, \dots, x_{n+1}) = f(x_2, \dots, x_{n+1})$ has signum $(s, \lambda_1, \dots, \lambda_n)$
 - Δ **identifies first two arguments if they have equal signa**:
 $(\Delta f^\lambda)(x_1, \dots, x_{n-1}) = f(x_1, x_1, x_2, \dots, x_{n-1})$ has signum $(\lambda_2, \dots, \lambda_n)$ if $\lambda_1 = \lambda_2$, otherwise $\Delta f^\lambda = f^\lambda$
 - \circ **composes** f^λ with g^μ and uses the monoid to get the signum:
 $(f^\lambda \circ g^\mu)(x_1, \dots, x_{m+n-1}) = f(g(x_1, \dots, x_m), x_{m+1}, \dots, x_{m+n-1})$ with **signum** $(\mu_1 \lambda_1, \dots, \mu_m \lambda_1, \lambda_2, \dots, \lambda_n)$

Examples of S -preclones $F \subseteq {}^S\text{Op}(A)$

If $S = \{e\}$ then F is an S -preclone **iff** $\{f \mid f^\lambda \in F\}$ is a clone

If $S = \{+, -\}$ with $e = +$, $- \cdot - = +$ and if F is all operations that are order-preserving in arguments with $+$ signum and order-reversing otherwise, then F is an S -preclone, also called a **\pm preclone**

${}^S\text{Op}(A)$ is the **largest** S -preclone on a set A

Let $p_i^n(x_1, \dots, x_n) = x_i$. Then $\{(p_i^n, \lambda) \mid \lambda_i = e, 1 \leq i \leq n < \omega\}$ is the **smallest** S -preclone of **trivial S -operations** or **projections**

${}^S\langle F \rangle$ is the S -preclone **generated by** F (= least one containing F)

Relations, polymorphisms and invariant relations

For $f : A^n \rightarrow A$, $\rho \subseteq A^m$ and $\mathbf{a}_1, \dots, \mathbf{a}_n \in \rho$, consider the column vector

$$f(\mathbf{a}_1, \dots, \mathbf{a}_n) = (f(a_{11}, a_{21}, \dots, a_{n1}), \dots, f(a_{m1}, a_{m2}, \dots, a_{mn}))^t.$$

f **preserves** ρ , written $f \triangleright \rho$, if $\mathbf{a}_1, \dots, \mathbf{a}_n \in \rho \implies f(\mathbf{a}_1, \dots, \mathbf{a}_n) \in \rho$.

In this case f is a **polymorphism** of ρ , and ρ is an **invariant relation** of f .

For $F \subseteq \text{Op}(A)$ and $R \subseteq \text{Rel}(A) = \bigcup_{m \geq 1} \mathcal{P}(A^m)$, define

$\text{Pol}(R) = \{f \in \text{Op}(A) \mid \forall \rho \in R, f \triangleright \rho\}$ = all **polymorphisms of R** and

$\text{Inv}(F) = \{\rho \in \text{Rel}(A) \mid \forall f \in F, f \triangleright \rho\}$ = all **invariant relations of F**

E.g. $\text{Pol}(\{\leq\})$ = all **order-preserving operations** on a poset (A, \leq)

S-relations, S-polymorphisms and S-invariant relations

An m -ary **S-relation** is of the form $\rho = (\rho_s)_{s \in S}$ where $\rho_s \subseteq A^m$

The set of **all S-relations** is ${}^S\text{Rel}(A) = \bigcup_{m \geq 1} (P(A^m))^S$

Define $f^\lambda \triangleright \rho$ if $\forall s \in S (\mathbf{a}_1 \in \rho_{\lambda_1 s}, \dots, \mathbf{a}_n \in \rho_{\lambda_n s} \implies f(\mathbf{a}_1, \dots, \mathbf{a}_n) \in \rho_s)$

Abbreviated as: $\forall s \in S, f(\rho_{\lambda_1 s}, \dots, \rho_{\lambda_n s}) \subseteq \rho_s$

E.g. $S = \{+, -\}$: $f^\lambda \triangleright \rho$ if $f(\rho_{\lambda_1 +}, \dots, \rho_{\lambda_n +}) \subseteq \rho_+$ and $f(\rho_{\lambda_1 -}, \dots, \rho_{\lambda_n -}) \subseteq \rho_-$

$f^\lambda \triangleright (\leq, \geq)$ **iff** f is order-preserving in $+$ args and order-reversing in $-$ args

For $F \subseteq {}^S\text{Op}(A)$ and $R \subseteq {}^S\text{Rel}(A)$ define

${}^S\text{Pol}(R) = \{f^\lambda \in {}^S\text{Op}(A) \mid \forall \rho \in R, f^\lambda \triangleright \rho\}$ = all **S-polymorphisms of R**

${}^S\text{Inv}(F) = \{\rho \in {}^S\text{Rel}(A) \mid \forall f^\lambda \in F, f^\lambda \triangleright \rho\}$ = all **S-invariant relations of F**

Relational clones and the Pol–Inv Galois connection

A set $R \subseteq \text{Rel}(A)$ is a **relational clone** if

- R contains the **identity relation** $\delta_A = \{(x, x) \mid x \in A\}$ and
- R is **closed under** $\zeta, \tau, \text{pr}, \times, \wedge$ where for $\rho, \rho' \in R$

$\zeta\rho = \{(a_2, a_3, \dots, a_m, a_1) \mid (a_1, a_2, \dots, a_m) \in \rho\}$ **cyclic shift**

$\tau\rho = \{(a_2, a_1, a_3, \dots, a_m) \mid (a_1, a_2, \dots, a_m) \in \rho\}$ **transposition**

$\text{pr}\rho = \{(a_2, \dots, a_m) \mid (a_1, a_2, \dots, a_m) \in \rho\}$ **deletion of 1st row**

$\rho \times \rho' = \{(a_1, \dots, a_m, b_1, \dots, b_{m'}) \mid (a_1, \dots, a_m) \in \rho, (b_1, \dots, b_{m'}) \in \rho'\}$

$\rho \wedge \rho' = \rho \cap \rho'$ **intersection**

Cartesian product

$[R]$ is the relational clone **generated by** $R \subseteq \text{Rel}(A)$ (least one $\supseteq R$)

Theorem (Pol–Inv Galois connection for clones)

$\text{Pol}(R)$ is a **clone** and $\text{Inv}(F)$ is a **relational clone**.

If A is **finite**, $\langle F \rangle = \text{Pol}(\text{Inv}(F))$ and $[R] = \text{Inv}(\text{Pol}(R))$.

S -relational clones and the ${}^S\text{Pol}$ - ${}^S\text{Inv}$ Galois connection

A set $R \subseteq {}^S\text{Rel}(A)$ is a **S -relational clone** if

- R contains the S -relation $(\delta_A)_{s \in S} = (\delta_A, \dots, \delta_A)$ and
- R is **closed under** $\zeta, \tau, \text{pr}, \times, \wedge, \wedge_{St}, \mu_t$ where for $\rho, \rho' \in R$ and $t \in S$

$$\zeta(\rho) = (\zeta \rho_s)_{s \in S} \quad \text{cyclic shift}$$

$$\tau(\rho) = (\tau \rho_s)_{s \in S} \quad \text{transposition}$$

$$\text{pr}(\rho) = (\text{pr} \rho_s)_{s \in S} \quad \text{deletion of 1}^{\text{st}} \text{ row}$$

$$\rho \times \rho' = (\rho_s \times \rho'_s)_{s \in S} \quad \text{Cartesian product}$$

$$\rho \wedge_{St} \rho' = (\rho_s \cap \rho'_s \text{ if } s \in St \text{ else } \rho_s)_{s \in S} \quad \text{St-intersection, } St = \{s \cdot t \mid s \in S\}$$

$$\mu_t(\rho) = (\rho_{st})_{s \in S} \quad \text{index translation by } t$$

${}^S[R]$ is the S -relational clone **generated by** $R \subseteq {}^S\text{Rel}(A)$ (least one $\supseteq R$)

Lemma (For $F \subseteq {}^S\text{Op}(A)$ and $R \subseteq {}^S\text{Rel}(A)$)

${}^S\text{Pol}(R)$ is an S -preclone and ${}^S\text{Inv}(F)$ is an S -relational clone.

Characterizing S -preclones and S -relational clones

An m -ary **diagonal relation** $\delta_\epsilon^m = \{a \in A^m \mid a_i = a_j \text{ for all } (i, j) \in \epsilon\}$ where ϵ is an equivalence relation on $\{1, \dots, m\}$

The set of all diagonal relations on A is the smallest relational clone $[\delta_A]$

$(\delta_{\epsilon_s}^m)_{s \in S}$ is an **S -diagonal relation** if $\forall s, t \in S, Ss \subseteq St \implies \delta_{\epsilon_s}^m \subseteq \delta_{\epsilon_t}^m$

Let ${}^S D_A$ be the set of all S -diagonal relations on A (all $m \geq 1$)

Lemma

${}^S[(\delta_A)_{s \in S}] = {}^S D_A$, ${}^S \text{Pol}(R) = {}^S \text{Pol}({}^S[R])$ and ${}^S \text{Inv}(F) = {}^S \text{Inv}({}^S\langle F \rangle)$.

Theorem

Let $F \subseteq {}^S \text{Op}(A)$. Then $\langle \{f \mid f^\lambda \in F\} \rangle = \text{Pol}\{\rho \mid (\rho)_{s \in S} \in {}^S \text{Inv}(F)\}$.

Characterizing S -preclone generation

For $F \subseteq {}^S\text{Op}(A)$ and $\rho \in (\mathcal{P}(A^m))^S$, define

$$\Gamma_F(\rho) = \bigcap \{ \sigma \in (\mathcal{P}(A^m))^S \mid \rho \subseteq \sigma \in {}^S\text{Inv}(F) \}$$

where \bigcup, \subseteq are applied coordinatewise.

For $|A| = k < \omega$, let $(\varkappa_1, \dots, \varkappa_n)$ be the $k^n \times n$ -matrix with columns \varkappa_i such that the rows are **all** n -tuples in A^n .

For a signum $\lambda \in S^n$ define $\chi^\lambda = (\{\varkappa_i \mid \lambda_i = s, i \in \{1, \dots, n\}\})_{s \in S}$

Theorem

- For finite A , $F \subseteq {}^S\text{Op}(A)$, $\lambda \in S^n$ and $g^\lambda \in {}^S\text{Op}(A)$,

$$g^\lambda \in {}^S\langle F \rangle \iff g^\lambda \triangleright \Gamma_F(\chi^\lambda).$$

- For finite A and $F \subseteq {}^S\text{Op}(A)$, ${}^S\langle F \rangle = {}^S\text{Pol}({}^S\text{Inv}(F))$.

Characterizing S -relational clone generation

So far we have assumed that (S, \cdot, e) is a (finite) **monoid** of signa.

From now on we assume S is a **group** $(S, \cdot, {}^{-1}, e)$.

Note that for po-algebras, $S = \{+, -\} \cong \mathbb{Z}_2$ is a group since $- \cdot - = +$

Theorem

For S a group, finite A and $R \subseteq {}^S\text{Rel}(A)$, ${}^S[R] = {}^S\text{Inv}({}^S\text{Pol}(R))$.

The set ${}^S\mathcal{L}_A$ of all S -preclones on A is a **complete lattice** with intersection as meet and S -preclone generated by union as join.

Corollary

For a **group** S of signa, the lattice ${}^S\mathcal{L}_A$ of S -preclones on a **finite** set A and the lattice of S -relational clones on A are **dually isomorphic**.

The lattice of S -preclones for a group S and finite A

Theorem

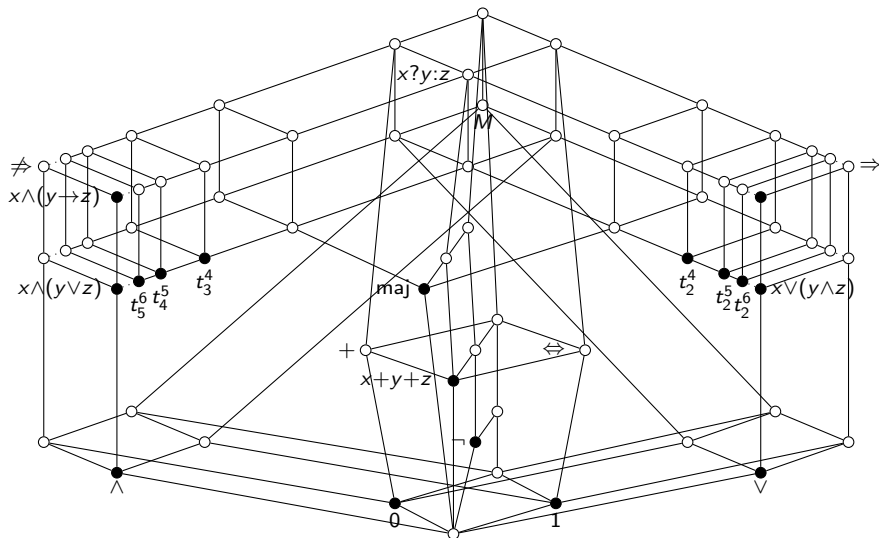
- The S -preclone ${}^S\text{Op}(A)$ is finitely generated.
- The S -relational clone ${}^S\text{Rel}(A)$ is finitely generated.
- The lattice ${}^S\mathcal{L}_A$ is atomic and coatomic.
- There are finitely many maximal and finitely many minimal S -preclones in ${}^S\mathcal{L}_A$.

If $A = \{0, 1\}$ then clones on A are called **Boolean clones**

The lattice $\mathcal{L}_{\{0,1\}}$ of Boolean clones is called the **Post lattice** since it was first described by **Emil Post [1941]**.

The Post lattice has 5 maximal clones and 7 minimal clones.

The Post lattice



$$t_k^n(x_1, \dots, x_n) = \begin{cases} 1 & \text{if } |\{i \mid x_i = 1\}| \geq k \\ 0 & \text{otherwise.} \end{cases}$$

Boolean $\{+, -\}$ -preclones

For $S = \{+, -\}$ with $- \cdot - = +$, S -preclones are also called **\pm preclones**

For a poset (A, \leq) , ${}^{\pm}\text{Pol}\{(\leq, \geq)\}$ is the \pm preclone of all \pm operations that are order-preserving in $+$ arguments and order-reversing in $-$ arguments.

The subpreclones of ${}^{\pm}\text{Pol}\{(\leq, \geq)\}$ abstract from **choosing specific sets of fundamental operations** for varieties of po-algebras.

For $A = \{0, 1\}$ we have found all maximal Boolean \pm preclones.

All nine maximal Boolean \pm preclones

Theorem

There are **nine** maximal Boolean \pm preclones listed below. Each such preclone is of the form $F = {}^{\pm}\text{Pol } \rho$ for some \pm -relation $\rho = (\rho_+, \rho_-)$:

- (a) ${}^{\pm}\text{Pol}(\sigma, \sigma)$ with $\sigma \in \{\sigma_0, \sigma_1, \sigma_2, \sigma_3, \sigma_4\}$ where $\text{Pol } \sigma_i$ is maximal in \mathcal{L}_2 (0-preserving, 1-preserving, monotone, self-dual, linear operations)
 $\sigma_0 = \{0\}$, $\sigma_1 = \{1\}$, $\sigma_2 = \leq = \{(0, 0), (0, 1), (1, 1)\}$,
 $\sigma_3 = \{(0, 1), (1, 0)\}$, $\sigma_4 = \{(x, y, z, u) \in A^4 \mid x + y + z + u = 0\}$.
- (b) ${}^{\pm}\text{Pol}(\leq, \geq) =$ all functions where each $+$ argument is order-preserving and each $-$ argument is order-reversing.
- (c) ${}^{\pm}\text{Pol}(A, \emptyset) =$ all functions with positive or mixed signum.
- (d) ${}^{\pm}\text{Pol}(A^2, \delta_A) =$ all Boolean \pm functions, where each negative argument is fictitious (including all negative constants).
- (e) ${}^{\pm}\text{Pol}(\{0\}, \{1\})$.

There are 23 minimal Boolean \pm preclones

Theorem

There are twenty three minimal Boolean \pm preclones.

- (A) $\pm\langle h_0^\lambda \rangle, \pm\langle h_1^\lambda \rangle, \pm\langle h_y^\lambda \rangle$ where $h_i(x, y, z, u) = \begin{cases} x & \text{if } x = y \text{ or } z = u, \\ i & \text{otherwise,} \end{cases}$
and $\lambda = (+, +, -, -)$.
- (B) $\pm\langle (x \wedge y) \vee (y \wedge z) \vee (z \wedge x) \rangle, \pm\langle x + y + z \rangle$
where the generators have signum $\lambda = (+, +, +, -)$.
- (C) $\pm\langle x \wedge y \rangle, \pm\langle x \vee y \rangle, \pm\langle x \vee (y \wedge z) \rangle, \pm\langle x \wedge (y \vee z) \rangle,$
 $\pm\langle x \vee (y \wedge \neg z) \rangle, \pm\langle x \wedge (y \vee \neg z) \rangle, \pm\langle (x \wedge \neg z) \vee (y \wedge z) \rangle$
 $\pm\langle (x \wedge y) \vee (y \wedge z) \vee (z \wedge x) \rangle, \pm\langle (x \wedge y) \vee (y \wedge \neg z) \vee (\neg z \wedge x) \rangle,$
where the generators have signum $\lambda = (+, +, -)$
- (D) $\pm\langle 0 \rangle, \pm\langle 1 \rangle, \pm\langle y \rangle, \pm\langle \neg y \rangle, \pm\langle \neg x \rangle, \pm\langle x \wedge y \rangle, \pm\langle x \vee y \rangle, \pm\langle x \wedge \neg y \rangle, \pm\langle x \vee \neg y \rangle$
where the generators have signum $\lambda = (+, -)$






Some open problems that we hope to solve in the future

Is the lattice of Boolean \pm preclones countable?

Classify the maximal S -preclones for $|S| > 2$ and $|A| > 2$.

For finite A and a monoid S that is not a group, is ${}^S[R] = {}^S\text{Inv}({}^S\text{Pol}(R))$?

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