

# Choice-Free de Vries Duality

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- Stone's representation theorem for Boolean algebras (and therefore also Stone duality) relies on the Boolean Prime Ideal Theorem (**BPI**), a fragment of the Axiom of Choice.
- There exists a constructive, **pointfree** analogue of Stone duality: the  $\Omega$ -*pt* adjunction between topological spaces and frames restricts to a duality between Stone spaces and **compact zero-dimensional frames**...

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- More recently, Bezhanishvili and Holliday (2020) have developed a fully constructive duality between Boolean algebras and **UV-spaces**.
- Their approach has strong ties with **possibility semantics** for classical and modal logic, and the **Vietoris** hyperspace construction.
- Intuitively, it is a **semi-pointfree** approach, in which classical points are replaced by a partially ordered set of approximations.

- De Vries (1962) generalized Stone duality to a duality between compact Hausdorff spaces and **de Vries algebras**, which are complete Boolean algebras endowed with a subordination relation. He also assumed BPI.
- Isbell (1972) showed that the  $\Omega$ -pt adjunction restricts to a duality between compact Hausdorff spaces and **compact regular frames**...

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- Isbell (1972) showed that the  $\Omega$ -pt adjunction restricts to a duality between compact Hausdorff spaces and **compact regular frames**... under BPI again.
- But the choice-free duality of Bezhanishvili and Holliday can be generalized to a duality between de Vries algebras and a category of **de Vries spaces**.
- This work extends the semi-pointfree approach, and is part of a larger research program in **semi-constructive** mathematics ( $ZF + DC$ ).

Algebra	Point-set Topology	Pointfree Topology	Semi-Pointfree Topology
<b>BA</b>	<b>Stone</b>	<b>KZFrm</b>	<b>UV</b>
<b>deV</b>	<b>KHaus</b>	<b>KRFRm</b>	<b>dVS</b>

- ① Background
- ② Choice-Free Representation of de Vries Algebras
- ③  $dV$  Spaces
- ④ Compact Regular Frames and the Vietoris Functor
- ⑤ Some Applications



## Definition

A **compingent algebra** is a pair  $(B, \prec)$  such that  $B$  is a Boolean algebra with induced order  $\leq$ , and  $\prec$  is a relation on  $B \times B$  satisfying the following set of axioms:

(A1)  $1 \prec 1$ ;

(A2)  $a \prec b$  implies  $a \leq b$ ;

(A3)  $a \leq b \prec c \leq d$  implies  $a \prec d$ ;

(A4)  $a \prec b$  and  $a \prec c$  together imply  $a \prec b \wedge c$ ;

(A5)  $a \prec b$  implies  $\neg b \prec \neg a$ ;

(A6)  $a \prec c$  implies that there is  $b \in B$  such that  $a \prec b \prec c$ ;

(A7)  $a \neq 0$  implies that there is  $b \neq 0 \in B$  such that  $b \prec a$ .

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$$(A5) \quad a \prec b \text{ implies } \neg b \prec \neg a;$$

$$(A6) \quad a \prec c \text{ implies that there is } b \in B \text{ such that } a \prec b \prec c;$$

$$(A7) \quad a \neq 0 \text{ implies that there is } b \neq 0 \in B \text{ such that } b \prec a.$$

- A **de Vries algebra** is a compingent algebra  $V = (B, \prec)$  such that  $B$  is a complete Boolean algebra.
- It is **zero-dimensional** if for any  $a \prec b \in V$  there is  $c \in V$  such that  $a \prec c \prec c \prec b$ .

- The **regular open sets** of any compact Hausdorff space form a de Vries algebra, with the subordination relation  $\prec$  given by

$$U \prec V \Leftrightarrow \overline{U} \subseteq V.$$

The regular open sets of any **Stone space** form a zero-dimensional de Vries algebra.

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The regular open sets of any **Stone space** form a zero-dimensional de Vries algebra.

### Theorem (de Vries 1962)

*Every de Vries algebra is isomorphic to the regular open sets of a compact Hausdorff space.*

### Definition

Let  $V = (B, \prec)$  be a de Vries algebra. For any filter  $F$  on  $B$ , let  $\uparrow F = \{a \in B \mid \exists b \in F : b \prec a\}$ . A **concordant filter** on  $V$  is a filter  $F$  such that  $\uparrow F = F$ . An **end** is a maximal concordant filter.

- The dual Stone space of a Boolean algebra  $B$  is constructed by endowing the set  $X_B$  of all **ultrafilters** on  $B$  with the topology generated by the sets  $\hat{a} = \{p \in X_B \mid a \in p\}$  for any  $a \in B$ .
- De Vries constructed the dual compact Hausdorff space of a de Vries algebra  $V$  by taking the set of **ends** of  $V$ , which are its **maximal** concordant filters.

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- By contrast, the dual  $UV$  space of  $B$  is obtained by taking the set of all **filters** on  $B$  endowed with the same Stone-like topology.
- So it is natural to expect our choice-free duals of a de Vries algebra  $V$  to be given by the set of all **concordant filters** on  $V$ .

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- So it is natural to expect our choice-free duals of a de Vries algebra  $V$  to be given by the set of all **concordant filters** on  $V$ .
- Intuition: “Points” in a semi-pointfree context are coarse **approximations** of classical points.

## Definition

Let  $V = (B, \prec)$  be a de Vries algebra. The **dual filter space** of  $V$  is the topological space  $(S_V, \sigma)$ , where:

- $S_V$  is the set of all concordant filters on  $V$ ;
  - $\sigma$  is the Stone-like topology generated by  $\{\hat{a} = \{F \in S_V \mid a \in F\} \mid a \in V\}$ .
- 
- The specialization order  $\leq$  induced by  $\sigma$  coincides with the inclusion ordering on  $S_V$ . For any  $U \subseteq S_V$ , let  $\downarrow U = \{F \in S_V \mid \exists G \supseteq F : G \in U\}$  and define:

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## Theorem (M.)

*For any de Vries algebra  $V = (B, \prec)$ , the Stone map  $a \mapsto \hat{a}$  is an isomorphism between  $V$  and  $\text{RO}(S_V, \ll)$ .*

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- Given a topological space  $(X, \tau)$  with specialization order  $\leq$ , let  $\downarrow$  and  $\uparrow$  be the closure and interior operator in the upset topology induced by  $\leq$ , and let  $\mathcal{RO}(X) = \{U \subseteq X \mid U = \uparrow\downarrow U\}$ .

### Definition

A topological space  $(X, \tau)$  is a **UV-space** if it satisfies the following conditions:

- $(X, \tau)$  is compact and  $T_0$ ;
- $\mathcal{CORO}(X)$  is closed under  $\cap$  and  $-\downarrow$  and forms a basis for  $\tau$ ;
- Any filter on  $\mathcal{CORO}(X)$  is  $\mathcal{CORO}(x) = \{U \in \mathcal{CORO}(X) \mid x \in U\}$  for some  $x \in X$ .

- Given a topological space  $(X, \tau)$  with specialization order  $\leq$ , let  $\downarrow$  and  $\uparrow$  be the closure and interior operator in the upset topology induced by  $\leq$ , and let  $\mathcal{RO}(X) = \{U \subseteq X \mid U = \uparrow\downarrow U\}$ .

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### Theorem (Bezhanishvili and Holliday 2020)

*For any UV space  $(X, \tau)$  the compact open order-regular opens  $\mathcal{CORO}(X)$  form a Boolean algebra. Conversely, the filter space of any Boolean algebra is a UV space.*

## Definition

A topological space  $(X, \tau)$  is **order-normal** if for any closed set  $A$  and any regular closed set  $B$  such that  $A$  is disjoint from  $\uparrow B$ , there are disjoint open sets  $U$  and  $V$  such that  $A \subseteq \downarrow U$  and  $\uparrow B \subseteq V$ .

- Order-normality is a straightforward generalization of the usual **normality** axiom stating that any two disjoint closed sets can be separated by disjoint open neighborhoods.

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- Order-normality is a straightforward generalization of the usual **normality** axiom stating that any two disjoint closed sets can be separated by disjoint open neighborhoods.

## Lemma

*Let  $(X, \tau)$  be an order-normal space such that  $\text{RO}(X) \subseteq \mathcal{RO}(X)$ . For any  $U, V \in \text{RO}(X)$ , let  $U \ll V$  iff  $\overline{U} \subseteq \downarrow V$ . Then  $(\text{RO}(X), \ll)$  is a de Vries algebra.*

## Definition

A **de Vries space** (*dV* space for short) is a topological space  $(X, \tau)$  satisfying the following conditions:

- 1  $(X, \tau)$  is  $T_0$ , compact and order-normal;
- 2  $\text{RO}(X)$  is a basis for  $\tau$  and  $\text{RO}(X) \subseteq \mathcal{RO}(X)$ ;
- 3 For every  $x \in X$ ,  $\text{RO}(x) = \{U \in \text{RO}(X) \mid x \in U\}$  is a concordant filter on  $\text{RO}(X)$ , and for every filter  $F$  on  $\text{RO}(X)$ , there is  $x \in X$  such that  $\uparrow F = \text{RO}(x)$ .

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## Lemma

*For any de Vries algebra  $V$ , its dual space  $(S_V, \tau)$  is a dV space. Moreover, any dV space is homeomorphic to the dual space of its de Vries algebra of regular open sets.*



## Definition

Let  $V_1 = (B_1, \prec_1)$  and  $V_2 = (B_2, \prec_2)$  be de Vries algebras. A de Vries morphism from  $V_1$  to  $V_2$  is a function  $h : B_1 \rightarrow B_2$  satisfying the following set of conditions:

$$(V1) \quad h(0) = 0;$$

$$(V2) \quad h(a \wedge b) = h(a) \wedge h(b);$$

$$(V3) \quad a \prec_1 b \text{ implies } \neg h(\neg a) \prec_2 h(b);$$

$$(V4) \quad h(a) = \bigvee \{h(b) \mid b \prec_1 a\}.$$

Given two de Vries morphisms  $h : V_1 \rightarrow V_2$  and  $k : V_2 \rightarrow V_3$ , their composition  $k \star h : V_1 \rightarrow V_3$  is defined as the map  $a \mapsto \bigvee \{kh(b) : b \prec_1 a\}$ .

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## Definition

Let  $(X, \tau_1)$  and  $(Y, \tau_2)$  be  $dV$  spaces, and let  $\leq_1$  and  $\leq_2$  be the specialization orders induced by  $\tau_1$  and  $\tau_2$  respectively. A **de Vries map** ( $dV$  map for short)  $f : X \rightarrow Y$  is a **continuous** function that is also **weakly dense**, i.e., is such that for any  $x \in X$ , if  $f(x) \leq_2 y$  for some  $y \in Y$ , then there is  $x' \geq_1 x$  such that  $y \leq_2 f(x')$ .

## Lemma

- For any de Vries morphism  $h : V_1 \rightarrow V_2$ , the map  $\Lambda(h) : S_{V_2} \rightarrow S_{V_1}$  given by  $F \mapsto \uparrow h^{-1}[F]$  is a  $dV$  map.
  - Conversely, given a  $dV$  map  $f : (X, \tau_1) \rightarrow (Y, \tau_2)$ , the map  $U \mapsto (f^{-1}[U])^{\perp\perp}$  induces a de Vries morphism  $\Phi(f) : \text{RO}(Y) \rightarrow \text{RO}(X)$ .
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- We can therefore define contravariant functors  $\Lambda : \mathbf{deV} \rightarrow \mathbf{dVS}$  and  $\Phi : \mathbf{dVS} \rightarrow \mathbf{deV}$ .

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## Theorem (M.)

The functors  $\Phi$  and  $\Lambda$  establish a dual equivalence between the categories  $\mathbf{deV}$  and  $\mathbf{dVS}$ .

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## Definition

- A frame  $L$  is **compact** if  $1_L = \bigvee B$  for some  $B \subseteq L$  implies  $1 = \bigvee B'$  for some finite  $B' \subseteq B$ .
- For any  $a, b \in L$ ,  $a$  is **way below**  $b$ , ( $a \prec b$ ), if  $b \vee \neg a = 1_L$ . A **compact regular frame** is a compact frame  $L$  such that for any  $a \in L$ ,  $a = \bigvee \{b \in L \mid b \prec a\}$ .

## Theorem (Isbell 1972)

*The  $\Omega$ -pt adjunction between frames and topological spaces restricts to a duality between compact Hausdorff spaces and compact regular frames.*

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## Theorem (Isbell 1972)

*The  $\Omega$ -pt adjunction between frames and topological spaces restricts to a duality between compact Hausdorff spaces and compact regular frames.*

- Isbell's duality relies on BPI.
- One can show however purely constructively that the category **KRFrm** of compact regular frames is equivalent to **deV**.

## Definition

- Let  $V = (B, \prec)$  be a de Vries algebra. A set  $I \subseteq B$  is a **round ideal** iff  $I^\delta = \{\neg a \mid a \in I\}$  is a concordant filter. The frame of round ideals of  $V$  is denoted  $\mathfrak{R}(V)$ .
- Let  $L$  be a compact regular frame. An element  $a$  of  $L$  is **regular** if  $a \neg\neg a$ . The **Booleanization** of  $L$ , denoted  $B(L)$ , is the subframe  $\{a \in L \mid \neg\neg a = a\}$ .



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## Lemma (Banaschewski, Bezhanishvili)

- *For any de Vries algebra  $V$ ,  $\mathfrak{R}(V)$  is a compact regular frame, and  $V \simeq B(\mathfrak{R}(V))$ .*
- *For any compact regular frame  $L$ ,  $(B(L), \prec)$  is a de Vries algebra, and  $L \simeq \mathfrak{R}(B(L))$ .*

## Definition

An open set  $U$  in a  $dV$  space  $(X, \tau)$  is **well-rounded** if order-normality holds for  $-U$ , i.e., for any closed set  $A \subseteq \downarrow U$ , there are disjoint open sets  $V_1$  and  $V_2$  such that  $A \subseteq \downarrow V_1$  and  $X = V_2 \cup \downarrow U$ .

## Lemma

*The lattice  $w\text{ORO}(X)$  of well-rounded order-regular open subsets of a  $dV$  space  $X$  is isomorphic to  $\mathfrak{R}(\text{RO}(X), \ll)$ .*

- This induces a functor  $w\text{ORO} : \mathbf{dVS} \rightarrow \mathbf{KR Frm}$ .
- What about in the other direction?

## Definition

Let  $L$  be a compact regular frame. The **upper Vietoris space** of  $L$  is the topological space  $\Xi(L) = (L^-, \tau_{\square})$ , where  $\tau_{\square}$  is the topology generated by the sets  $\square a = \{b \in L^- \mid a \vee b = 1_L\}$  for any  $a \in L$ .

## Lemma

*For any compact regular frame  $L$ ,  $\Xi(L)$  is a  $dV$  space, and  $(\text{RO}(\Xi(L)), \ll) \simeq (B(L), \prec)$ .*

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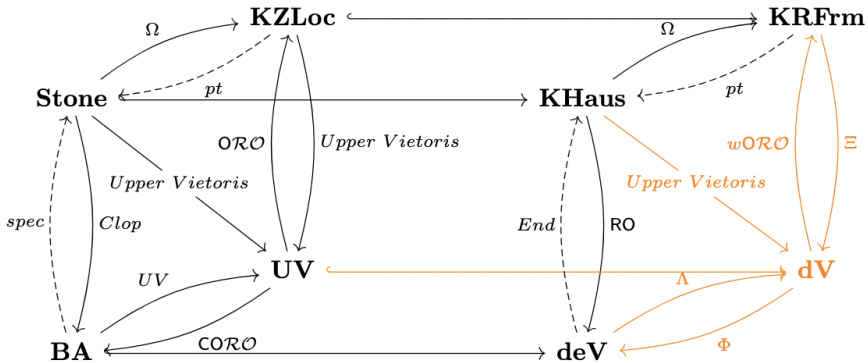
## Lemma

*For any compact regular frame  $L$ ,  $\Xi(L)$  is a  $dV$  space, and  $(\text{RO}(\Xi(L)), \ll) \simeq (B(L), \prec)$ .*

## Corollary

*The functors  $w\text{ORO}$  and  $\Xi$  establish an equivalence between **dVS** and **KRFrm**.*

- Moreover, assuming BPI, the dual  $dV$  space of a de Vries algebra is homeomorphic to the Upper Vietoris hyperspace of its dual compact Hausdorff space.



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- It is well known that **Tychonoff's Theorem** relies on the BPI. In fact, Tychonoff's Theorem for compact Hausdorff spaces is equivalent to BPI.
- By contrast, the coproduct of the frames of opens of a family of compact Hausdorff spaces is always compact...

## The Semi-Pointfree Product of Compact Hausdorff Spaces

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- ...but it may fail to be spatial in the absence of BPI.



# The Semi-Pointfree Product of Compact Hausdorff Spaces

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- By contrast, the coproduct of the frames of opens of a family of compact Hausdorff spaces is always compact...
- ...but it may fail to be spatial in the absence of BPI.

## Definition

Let  $\{(X_i, \tau_i)\}_{i \in I}$  be a family of compact Hausdorff spaces. The **semi-pointfree product** of this family is the  $dV$ -space  $\Xi(\bigoplus_{i \in I} \Omega(X_i))$ .

## Theorem

*The semi-pointfree product of a family of compact Hausdorff spaces  $\{(X_i, \tau_i)\}_{i \in I}$  is compact. Moreover, under (BPI), it is homeomorphic to the upper-Vietoris space of  $\prod_{i \in I} (X_i, \tau_i)$ .*

- Bezhaniashvili et al. (2019) have used de Vries duality to show that the **Symmetric Strong Implication Calculus**  $S^2IC$  is sound and complete with respect to the class of compact Hausdorff spaces.
- This calculus is obtained by adding a **strong implication** connective  $\rightsquigarrow$ , which can be interpreted on any contact algebra  $(B, \prec)$  by  $a \rightsquigarrow b = 1$  if  $a \prec b$  and  $a \rightsquigarrow b = 0$  otherwise.

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- We can use  $dV$  spaces to provide an alternative, choice-free semantics for  $S^2IC$ .

## Definition

A **de Vries topological model** is a triple  $(X, \tau, V)$  such that  $(X, \tau)$  is a  $dV$ -space, and  $V$  is a valuation such that for any formulas  $\varphi, \psi$  of  $S^2IC$ :

- If  $\varphi$  is propositional letter  $p$ , then  $V(\varphi) \in RO(X)$ ;
- $V(\neg\varphi) = V(\varphi)^\perp$  and  $V(\varphi \wedge \psi) = V(\varphi) \cap V(\psi)$ ;
- $V(\varphi \rightsquigarrow \psi) = X$  if  $\overline{V(\varphi)} \subseteq \downarrow V(\psi)$  and  $V(\varphi \rightsquigarrow \psi) = \emptyset$  otherwise.

A formula  $\varphi$  is **valid** on a  $dV$ -space  $(X, \tau)$  iff  $V(\varphi) = X$  for any de Vries topological model  $(X, \tau, V)$ .

## Theorem (ZF)

*The system  $S^2IC$  is sound and complete with respect to the class of all  $dV$ -spaces.*

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- We can think of our choice-free de Vries duality as providing a **possibility semantics** for logics of region-based theories of space, just like choice free Stone duality serves as a categorical foundation for possibility semantics for classical and modal logic.

Thank you!