

Cube Term Blockers Without Finiteness

Keith Kearnes & Ágnes Szendrei

Department of Mathematics
University of Colorado

AMS Fall Western Sectional Meeting
University of Denver
October 8, 2016

Strong Maltsev Conditions

Strong Maltsev Conditions

A **strong Maltsev condition** is a p.p. sentence in the language of clones.

Strong Maltsev Conditions

A **strong Maltsev condition** is a p.p. sentence in the language of clones. That is, it is an assertion, satisfied by an algebra or class of algebras, that there exists a finite set of term operations satisfying a finite set of identities.

Strong Maltsev Conditions

A **strong Maltsev condition** is a p.p. sentence in the language of clones. That is, it is an assertion, satisfied by an algebra or class of algebras, that there exists a finite set of term operations satisfying a finite set of identities.

For example, “ \mathbb{A} has underlying group structure”

Strong Maltsev Conditions

A **strong Maltsev condition** is a p.p. sentence in the language of clones. That is, it is an assertion, satisfied by an algebra or class of algebras, that there exists a finite set of term operations satisfying a finite set of identities.

For example, “ \mathbb{A} has underlying group structure”, or “algebras in \mathcal{V} have underlying lattice structure”.

Strong Maltsev Conditions

A **strong Maltsev condition** is a p.p. sentence in the language of clones. That is, it is an assertion, satisfied by an algebra or class of algebras, that there exists a finite set of term operations satisfying a finite set of identities.

For example, “ \mathbb{A} has underlying group structure”, or “algebras in \mathcal{V} have underlying lattice structure”.

Or \mathbb{A} has a Maltsev term: $m \begin{pmatrix} x & y & y \\ y & y & x \end{pmatrix} = \begin{pmatrix} x \\ x \end{pmatrix}$.

Strong Maltsev Conditions

A **strong Maltsev condition** is a p.p. sentence in the language of clones. That is, it is an assertion, satisfied by an algebra or class of algebras, that there exists a finite set of term operations satisfying a finite set of identities.

For example, “ \mathbb{A} has underlying group structure”, or “algebras in \mathcal{V} have underlying lattice structure”.

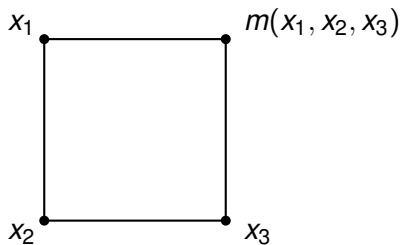
Or \mathbb{A} has a Maltsev term: $m \begin{pmatrix} x & y & y \\ y & y & x \end{pmatrix} = \begin{pmatrix} x \\ x \end{pmatrix}$.

Or \mathbb{A} has a near-unanimity term:

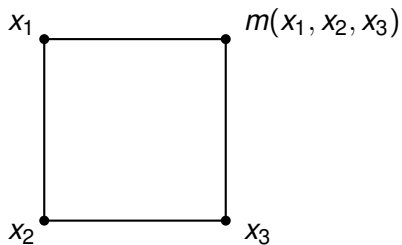
$$M \begin{pmatrix} y & x & x & \cdots & x \\ x & y & x & & x \\ x & x & y & & x \\ \vdots & & & \ddots & \\ x & x & x & & y \end{pmatrix} = \begin{pmatrix} x \\ x \\ x \\ \vdots \\ x \end{pmatrix}.$$

Cube terms generalize Maltsev terms

Cube terms generalize Maltsev terms

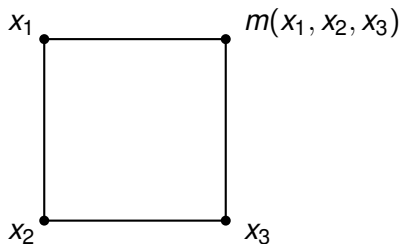


Cube terms generalize Maltsev terms

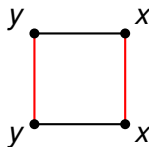
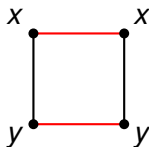


Identities:

Cube terms generalize Maltsev terms



Identities:



Cube terms generalize NU terms

Cube terms generalize NU terms

$c(x_1, \dots, x_n)$ is a cube term for \mathbb{A} iff, for every $i \in [1, n]$, c is **weakly independent** of its i th variable for each i :

Cube terms generalize NU terms

$c(x_1, \dots, x_n)$ is a cube term for \mathbb{A} iff, for every $i \in [1, n]$, c is **weakly independent** of its i th variable for each i :

$$\mathbb{A} \models c(\dots, \underbrace{y}_i, \dots) = x.$$

Cube terms generalize NU terms

$c(x_1, \dots, x_n)$ is a cube term for \mathbb{A} iff, for every $i \in [1, n]$, c is **weakly independent** of its i th variable for each i :

$$\mathbb{A} \models c(\dots, \underbrace{y}_i, \dots) = x.$$

c is a **d -dimensional** cube term if its weak independence can be expressed with d identities.

Cube terms generalize NU terms

$c(x_1, \dots, x_n)$ is a cube term for \mathbb{A} iff, for every $i \in [1, n]$, c is **weakly independent** of its i th variable for each i :

$$\mathbb{A} \models c(\dots, \underbrace{y}_i, \dots) = x.$$

c is a **d -dimensional** cube term if its weak independence can be expressed with d identities.

$$M \begin{pmatrix} y & x & x & \cdots & x \\ x & y & x & & x \\ x & x & y & & x \\ \vdots & & & \ddots & \\ x & x & x & & y \end{pmatrix} = \begin{pmatrix} x \\ x \\ x \\ \vdots \\ x \end{pmatrix}. \quad (\text{NU})$$

Cube terms are equivalent to parallelogram terms

$$P \left(\begin{array}{ccc|ccc}
 x & y & y & z & x & \cdots & x & x & \cdots & x & x \\
 x & y & y & x & z & & x & x & & x & x \\
 & \vdots & & \vdots & & \ddots & & & & \vdots & \\
 x & y & y & x & x & & z & x & & x & x \\
 \hline
 y & y & x & x & x & & x & z & & x & x \\
 & \vdots & & \vdots & & & & & \ddots & \vdots & \\
 y & y & x & x & x & & x & x & & z & x \\
 y & y & x & x & x & \cdots & x & x & \cdots & x & z
 \end{array} \right) = \begin{pmatrix} x \\ x \\ \vdots \\ x \\ x \\ \vdots \\ x \\ x \end{pmatrix}.$$

The 3-dimensional cube term

The 3-dimensional cube term

The general 3-dimensional cube term, $c(x_1, x_2, x_3, x_4, x_5, x_6, x_7)$, is 7-ary.

The 3-dimensional cube term

The general 3-dimensional cube term, $c(x_1, x_2, x_3, x_4, x_5, x_6, x_7)$, is 7-ary.

$$c \begin{pmatrix} y & y & y & y & x & x & x \\ y & y & x & x & y & y & x \\ y & x & y & x & y & x & y \end{pmatrix} = \begin{pmatrix} x \\ x \\ x \end{pmatrix}.$$

The 3-dimensional cube term

The general 3-dimensional cube term, $c(x_1, x_2, x_3, x_4, x_5, x_6, x_7)$, is 7-ary.

$$c \begin{pmatrix} y & y & y & y & x & x & x \\ y & y & x & x & y & y & x \\ y & x & y & x & y & x & y \end{pmatrix} = \begin{pmatrix} x \\ x \\ x \end{pmatrix}.$$

But it can be shown that any variety/algebra that has one of these 7-ary terms also has one that depends on only four of its variables. It can be defined by:

The 3-dimensional cube term

The general 3-dimensional cube term, $c(x_1, x_2, x_3, x_4, x_5, x_6, x_7)$, is 7-ary.

$$c \begin{pmatrix} y & y & y & y & x & x & x \\ y & y & x & x & y & y & x \\ y & x & y & x & y & x & y \end{pmatrix} = \begin{pmatrix} x \\ x \\ x \end{pmatrix}.$$

But it can be shown that any variety/algebra that has one of these 7-ary terms also has one that depends on only four of its variables. It can be defined by:

$$e \begin{pmatrix} y & y & x & x \\ x & y & y & x \\ x & x & x & y \end{pmatrix} = \begin{pmatrix} x \\ x \\ x \end{pmatrix}.$$

Origination

If \mathbb{A} is an algebra, then a subuniverse $R \leq \mathbb{A}^n$ is a **compatible relation** on \mathbb{A} .

Origination

If \mathbb{A} is an algebra, then a subuniverse $R \leq \mathbb{A}^n$ is a **compatible relation** on \mathbb{A} . The compatible relations of an algebra determine the clone of the algebra.

Origination

If \mathbb{A} is an algebra, then a subuniverse $R \leq \mathbb{A}^n$ is a **compatible relation** on \mathbb{A} . The compatible relations of an algebra determine the clone of the algebra. An algebra is **finitely related**, or of **finite degree**, if its clone is determined by finitely many of its compatible relations.

If \mathbb{A} is an algebra, then a subuniverse $R \leq \mathbb{A}^n$ is a **compatible relation** on \mathbb{A} . The compatible relations of an algebra determine the clone of the algebra. An algebra is **finitely related**, or of **finite degree**, if its clone is determined by finitely many of its compatible relations.

In 2005, Berman, Idziak, Markovic, McKenzie, Valeriote and Willard proved that a finite algebra \mathbb{A} has “few compatible relations” in the sense that the number of compatible n -ary relations of \mathbb{A} is $2^{O(n^d)}$ iff it has a d -dimensional **cube term**.

Origination

If \mathbb{A} is an algebra, then a subuniverse $R \leq \mathbb{A}^n$ is a **compatible relation** on \mathbb{A} . The compatible relations of an algebra determine the clone of the algebra. An algebra is **finitely related**, or of **finite degree**, if its clone is determined by finitely many of its compatible relations.

In 2005, Berman, Idziak, Markovic, McKenzie, Valeriote and Willard proved that a finite algebra \mathbb{A} has “few compatible relations” in the sense that the number of compatible n -ary relations of \mathbb{A} is $2^{O(n^d)}$ iff it has a d -dimensional **cube term**. **Purpose:** to establish, in some circumstances, the tractability of the problem of deciding if a p.p. sentence is true in a finite relational structure.

If \mathbb{A} is an algebra, then a subuniverse $R \leq \mathbb{A}^n$ is a **compatible relation** on \mathbb{A} . The compatible relations of an algebra determine the clone of the algebra. An algebra is **finitely related**, or of **finite degree**, if its clone is determined by finitely many of its compatible relations.

In 2005, Berman, Idziak, Markovic, McKenzie, Valeriote and Willard proved that a finite algebra \mathbb{A} has “few compatible relations” in the sense that the number of compatible n -ary relations of \mathbb{A} is $2^{O(n^d)}$ iff it has a d -dimensional **cube term**.

Purpose: to establish, in some circumstances, the tractability of the problem of deciding if a p.p. sentence is true in a finite relational structure.

At the same time, Ági and I proved a structure theorem for critical relations of a finite algebra having a **parallelogram term**.

Origination

If \mathbb{A} is an algebra, then a subuniverse $R \leq \mathbb{A}^n$ is a **compatible relation** on \mathbb{A} . The compatible relations of an algebra determine the clone of the algebra. An algebra is **finitely related**, or of **finite degree**, if its clone is determined by finitely many of its compatible relations.

In 2005, Berman, Idziak, Markovic, McKenzie, Valeriote and Willard proved that a finite algebra \mathbb{A} has “few compatible relations” in the sense that the number of compatible n -ary relations of \mathbb{A} is $2^{O(n^d)}$ iff it has a d -dimensional **cube term**.

Purpose: to establish, in some circumstances, the tractability of the problem of deciding if a p.p. sentence is true in a finite relational structure.

At the same time, Ági and I proved a structure theorem for critical relations of a finite algebra having a **parallelogram term**.

Purpose: to prove theorems about finiteness of degree, e.g. to count clones or prove duality theorems.

Cube terms control compatible relations

Cube terms control compatible relations

Some recent results.

Cube terms control compatible relations

Some recent results.

- (Idz-Mar-McK-Val-Wil) A finite relational structure Γ has a tractable constraint satisfaction problem if the polymorphism clone of Γ has a cube term.

Cube terms control compatible relations

Some recent results.

- (Idz-Mar-McK-Val-Wil) A finite relational structure Γ has a tractable constraint satisfaction problem if the polymorphism clone of Γ has a cube term.
- (K-Sz) A finite algebra with a d -dimensional cube term has a finitely related clone if it generates an RS variety. There are finitely many such clones on a finite set for each d .

Cube terms control compatible relations

Some recent results.

- (Idz-Mar-McK-Val-Wil) A finite relational structure Γ has a tractable constraint satisfaction problem if the polymorphism clone of Γ has a cube term.
- (K-Sz) A finite algebra with a d -dimensional cube term has a finitely related clone if it generates an RS variety. There are finitely many such clones on a finite set for each d .
- (Aich-Mayr-McK) A finite algebra with a cube term has a finitely related clone. There are countably many such clones on a finite set.

Cube terms control compatible relations

Some recent results.

- (Idz-Mar-McK-Val-Wil) A finite relational structure Γ has a tractable constraint satisfaction problem if the polymorphism clone of Γ has a cube term.
- (K-Sz) A finite algebra with a d -dimensional cube term has a finitely related clone if it generates an RS variety. There are finitely many such clones on a finite set for each d .
- (Aich-Mayr-McK) A finite algebra with a cube term has a finitely related clone. There are countably many such clones on a finite set.
- (Barto) If a finite algebra \mathbb{A} generates a congruence modular variety and has a finitely related clone, then \mathbb{A} must have a cube term.

Cube terms control compatible relations

Cube terms control compatible relations

- (Aich-Mayr) A subvariety of a finitely generated variety with a cube term is also finitely generated.

Cube terms control compatible relations

- (Aich-Mayr) A subvariety of a finitely generated variety with a cube term is also finitely generated.
- (Moore) If \mathbb{A} is a finite dualizable algebra, and $SP(\mathbb{A})$ omits types **1** and **5**, then \mathbb{A} must have a cube term.

Cube terms control compatible relations

- (Aich-Mayr) A subvariety of a finitely generated variety with a cube term is also finitely generated.
- (Moore) If \mathbb{A} is a finite dualizable algebra, and $SP(\mathbb{A})$ omits types **1** and **5**, then \mathbb{A} must have a cube term.
- (K-Sz) If finite \mathbb{A} has a cube term (+ 2 other hypotheses), then \mathbb{A} is dualizable.

Cube terms control compatible relations

- (Aich-Mayr) A subvariety of a finitely generated variety with a cube term is also finitely generated.
- (Moore) If \mathbb{A} is a finite dualizable algebra, and $SP(\mathbb{A})$ omits types **1** and **5**, then \mathbb{A} must have a cube term.
- (K-Sz) If finite \mathbb{A} has a cube term (+ 2 other hypotheses), then \mathbb{A} is dualizable.
- (K-Kiss-Sz) If \mathbb{A} has a d -dimensional cube term and \mathbb{A}^d is finitely generated, then \mathbb{A}^n is finitely generated for all n , and the minimal number of generators for \mathbb{A}^n is $O(n)$.

Crosses

Crosses

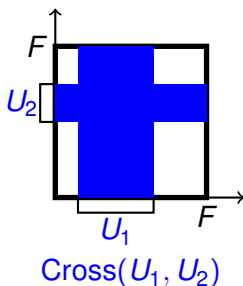
The **d -ary cross** on F with bases U_1, \dots, U_d ($\emptyset \subsetneq U_i \subsetneq F$) is

$$\begin{aligned} \text{Cross}(U_1, \dots, U_d) &= U_1 \times F \times \dots \times F \\ &\cup F \times U_2 \times \dots \times F \\ &\quad \vdots \\ &\cup F \times F \times \dots \times U_d \end{aligned}$$

Crosses

The d -ary cross on F with bases U_1, \dots, U_d ($\emptyset \subsetneq U_i \subsetneq F$) is

$$\begin{aligned} \text{Cross}(U_1, \dots, U_d) &= U_1 \times F \times \dots \times F \\ &\cup F \times U_2 \times \dots \times F \\ &\vdots \\ &\cup F \times F \times \dots \times U_d \end{aligned}$$



Cube terms avoid crosses

Theorem

- 1 *If \mathbb{A} has a compatible d -ary cross, then \mathbb{A} has no d -dimensional cube term.*
- 2 *If \mathcal{V} is idempotent and has no d -dimensional cube term, then $\mathbb{F} = \mathbb{F}_{\mathcal{V}}(x, y)$ has a compatible d -ary cross.*

Cube terms avoid crosses

Theorem

- 1 *If \mathbb{A} has a compatible d -ary cross, then \mathbb{A} has no d -dimensional cube term.*
- 2 *If \mathcal{V} is idempotent and has no d -dimensional cube term, then $\mathbb{F} = \mathbb{F}_{\mathcal{V}}(x, y)$ has a compatible d -ary cross.*

Idea for the easy direction: Assume $m(x, y, y) = x = m(y, y, x)$, so m is a 2-dimensional cube term.

Cube terms avoid crosses

Theorem

- 1 If \mathbb{A} has a compatible d -ary cross, then \mathbb{A} has no d -dimensional cube term.
- 2 If \mathcal{V} is idempotent and has no d -dimensional cube term, then $\mathbb{F} = \mathbb{F}_{\mathcal{V}}(x, y)$ has a compatible d -ary cross.

Idea for the easy direction: Assume $m(x, y, y) = x = m(y, y, x)$, so m is a 2-dimensional cube term.

Claim: $\text{Cross}(U_1, U_2) \subseteq F^2$ is not compatible with m .

Cube terms avoid crosses

Theorem

- 1 If \mathbb{A} has a compatible d -ary cross, then \mathbb{A} has no d -dimensional cube term.
- 2 If \mathcal{V} is idempotent and has no d -dimensional cube term, then $\mathbb{F} = \mathbb{F}_{\mathcal{V}}(x, y)$ has a compatible d -ary cross.

Idea for the easy direction: Assume $m(x, y, y) = x = m(y, y, x)$, so m is a 2-dimensional cube term.

Claim: $\text{Cross}(U_1, U_2) \subseteq F^2$ is not compatible with m .

Choose $\begin{bmatrix} a \\ b \end{bmatrix} \in F^2 - \text{Cross}(U_1, U_2)$. Write

Cube terms avoid crosses

Theorem

- 1 If \mathbb{A} has a compatible d -ary cross, then \mathbb{A} has no d -dimensional cube term.
- 2 If \mathcal{V} is idempotent and has no d -dimensional cube term, then $\mathbb{F} = \mathbb{F}_{\mathcal{V}}(x, y)$ has a compatible d -ary cross.

Idea for the easy direction: Assume $m(x, y, y) = x = m(y, y, x)$, so m is a 2-dimensional cube term.

Claim: $\text{Cross}(U_1, U_2) \subseteq F^2$ is not compatible with m .

Choose $\begin{bmatrix} a \\ b \end{bmatrix} \in F^2 - \text{Cross}(U_1, U_2)$. Write

$$\begin{bmatrix} a \\ b \end{bmatrix} = m\left(\begin{bmatrix} u_1 \\ b \end{bmatrix}, \begin{bmatrix} u_1 \\ u_2 \end{bmatrix} \begin{bmatrix} a \\ u_2 \end{bmatrix}\right).$$

Arity of a cube term

Arity of a cube term

Suppose that \mathcal{V} is an idempotent variety defined in a finite signature σ that has finitely many operations of arities n_1, \dots, n_k . Define $\|\sigma\| = 1 + \sum(n_i - 1)$.

Arity of a cube term

Suppose that \mathcal{V} is an idempotent variety defined in a finite signature σ that has finitely many operations of arities n_1, \dots, n_k . Define $\|\sigma\| = 1 + \sum(n_i - 1)$.

Theorem

If \mathcal{V} has a cube term, then it has a d -dimensional cube term for some $d \leq \|\sigma\|$. Moreover, this bound is sharp.

Arity of a cube term

Suppose that \mathcal{V} is an idempotent variety defined in a finite signature σ that has finitely many operations of arities n_1, \dots, n_k . Define $\|\sigma\| = 1 + \sum(n_i - 1)$.

Theorem

If \mathcal{V} has a cube term, then it has a d -dimensional cube term for some $d \leq \|\sigma\|$. Moreover, this bound is sharp.

Corollary

If \mathcal{V} is an idempotent variety defined with a single binary operation, then \mathcal{V} has a Maltsev term or no cube term at all.

Arity of a cube term

Suppose that \mathcal{V} is an idempotent variety defined in a finite signature σ that has finitely many operations of arities n_1, \dots, n_k . Define $\|\sigma\| = 1 + \sum(n_i - 1)$.

Theorem

If \mathcal{V} has a cube term, then it has a d -dimensional cube term for some $d \leq \|\sigma\|$. Moreover, this bound is sharp.

Corollary

If \mathcal{V} is an idempotent variety defined with a single binary operation, then \mathcal{V} has a Maltsev term or no cube term at all.

The results are proved by employing Hall's Marriage Theorem.

Join primeness

Theorem

Let Γ and Δ be sets of identities in disjoint languages, both of which entail that all operations involved are idempotent. If every model of $\Gamma \cup \Delta$ has a d -cube term, then either (i) every model of Γ already has a d -cube term or (ii) every model of Δ already has a d -cube term.

Theorem

Let Γ and Δ be sets of identities in disjoint languages, both of which entail that all operations involved are idempotent. If every model of $\Gamma \cup \Delta$ has a d -cube term, then either (i) every model of Γ already has a d -cube term or (ii) every model of Δ already has a d -cube term.

Proving this involves showing that if an algebra in a variety has a compatible d -ary cross, then some infinite algebra in the variety has a compatible d -ary “generic” cross.