#### Combinatorial properties of singular cardinals

#### Dima Sinapova University of Illinois at Chicago

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Singular cardinals

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- Consistency results

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- Large cardinals

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- Conbinatorial principles

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  - $\kappa \cdot \lambda$  is size of Cartesian product;
  - $\kappa^{\lambda}$  is size of the set of functions from  $\lambda$  to  $\kappa$ .
- Fact: if κ, λ are infinite, then κ + λ = κ ⋅ λ = max(κ, λ).

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# Cardinal arithmetic

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• For example:  $cf(\aleph_n) = \aleph_n$  for all  $n < \omega$ ;  $cf(\aleph_\omega) = \omega$ .

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- A cardinal  $\kappa$  is **singular** if  $cf(\kappa) < \kappa$ .

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- A cardinal  $\kappa$  is **regular** if  $cf(\kappa) = \kappa$ .
- A cardinal  $\kappa$  is **singular** if  $cf(\kappa) < \kappa$ .
- ▶ For example,  $\aleph_n$  is regular for every *n*, and  $\aleph_\omega$  is singular.

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- GCH implies SCH.
- Addressing these questions gave rise to **consistency results**.

**A** consistency result is a theorem that asserts that a given statement is consistent with the usual axioms of set theory i.e the Zermelo-Fraenkel Set Theory with the Axiom of Choice (ZFC).

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- Paul Cohen: The negation of CH is consistent with ZFC. He used the groundbreaking method of forcing.
- ► Easton: Any reasonable behavior of κ → 2<sup>κ</sup> for regular κ is consistent with ZFC. The only constraints:
  - $\kappa < \lambda$  implies  $2^{\kappa} \leq 2^{\lambda}$ ,
  - Kőnig's lemma.

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  e.g. (Silver) if SCH fails anywhere, it must fail at a cardinal of countable cofinality.

**The Singular Cardinal Problem:** Describe a complete set of rules for the behavior of the exponential function  $\kappa \mapsto 2^{\kappa}$  for singular cardinals  $\kappa$ .

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- ▶ For each *P*-name  $\tau$  in *V*, set  $\tau^{G} = \{\sigma^{G} \mid (\exists p \in G) \langle \sigma, p \rangle \in \tau\}$

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This G is called a *generic filter* of P, and  $G \notin V$ . Then obtain the model V[G] of ZFC as follows:

- A *P*-name  $\tau$  in *V* is a set of the form  $\{\langle \sigma, p \rangle \mid \sigma \text{ is a P-name and } p \in P\}.$
- ▶ For each *P*-name  $\tau$  in *V*, set  $\tau^{G} = \{\sigma^{G} \mid (\exists p \in G) \langle \sigma, p \rangle \in \tau\}$

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$$V[G] = \{\tau^G \mid \tau \text{ is a P-name}\}.$$

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Obtaining consistency results about  $\kappa\mapsto 2^\kappa$  is done by forcing to add new subsets of  $\kappa.$ 

**Forcing**: Adjoin a new object to the set-theoretic universe, *V*. Start with *a ground model V* of ZFC and a partially ordered set  $(P, \leq) \in V$ . Pick an object  $G \subset P$  where:

- G is a filter.
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• Set 
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Information about V[G] can be obtained while working in V via a relation definable in V, called the *forcing relation*, " $p \Vdash \phi$ ".

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Forcing to add one new subset of  $\kappa$ :

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Let G be  $Add(\kappa, 1)$ -generic over V, and set  $f^* = \bigcup_{f \in G} f$ . Then  $f^* : \kappa \to \{0, 1\}$  is a total function and

$$a =_{def} \{ \alpha < \kappa \mid f^*(\alpha) = 1 \}$$

is a new subset of  $\kappa$ . I.e.  $a \in V[G] \setminus V$ .

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## Using forcing to add new subsets of a cardinal $\boldsymbol{\kappa}$

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- Prikry forcing: changes cofinality without collapsing cardinals; requires large cardinals.

Large cardinal axioms assert the existence of certain "large" cardinals that have strong reflection properties.

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#### Remark

An alternative way to define these large cardinals is via elementary embeddings of the set theoretic universe.

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# **Classical Prikry forcing:** Let $\kappa$ be a measurable cardinal and U be a normal measure on $\kappa$ .

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**Classical Prikry forcing:** Let  $\kappa$  be a measurable cardinal and U be a normal measure on  $\kappa$ . The forcing conditions are pairs  $\langle s, A \rangle$ , where s is a finite sequence of ordinals in  $\kappa$  and  $A \in U$ .

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- ▶ s<sub>0</sub> is an initial segment of s<sub>1</sub>.
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Let G be  $\mathbb{P}$ -generic over V. Set  $s^* = \bigcup \{s \mid (\exists A) \langle s, A \rangle \in G\}$ ;  $s^*$  is an  $\omega$ -sequence cofinal in  $\kappa$ . And so, in V[G]:

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▶ *V* and *V*[*G*] have the same cardinals.

# Prikry type forcing

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  - $\blacktriangleright$  Then force with Prikry forcing to make  $\kappa$  have cofinality  $\omega.$

In the final model cardinals are preserved,  $\kappa$  remains strong limit, and  $2^\kappa>\kappa^+.$  I.e. SCH fails at  $\kappa.$ 

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The strategy: add subsets to a large cardinal, then singularize it.

Alternative way: start with a singular  $\kappa$ ; say  $\kappa = \sup_n \kappa_n$ ; and blow up its powerset to some regular  $\lambda$  in a Prikry fashion via **extender based forcing**.

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- In particular, this forcing uses *extenders*; an extender is a system of ultrafilters.
- No need to add subsets of κ in advance, so can keep GCH below κ, as opposed to the above forcings.

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Advantage of the first strategy:

Can singularize/collapse an interval of cardinals above  $\kappa$ , that gives more freedom in obtaining consistency results about combinatorial properties such as scales.

But lose GCH below  $\kappa$ .

#### The hybrid Prikry

Dima Sinapova University of Illinois at Chicago Combinatorial properties of singular cardinals

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Question: can we combine the advantages of the first strategy with the method of the second strategy, in order to maintain *GCH* below  $\kappa$ ?

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Motivation: obtaining consistency results about combinatorial principles like square and failure of SCH, but keeping GCH below  $\kappa$ .

#### Theorem

(S.) Starting from a supercompact cardinal  $\kappa$ , there is a forcing which simultaneously singularizes  $\kappa$  and increases its powerset, while maintaining GCH below  $\kappa$ .

#### The hybrid Prikry

Dima Sinapova University of Illinois at Chicago Combinatorial properties of singular cardinals

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- In the final model, GCH holds below κ, and 2<sup>κ</sup> > κ<sup>+</sup>. So SCH fails at κ.
- Collapses κ<sup>+</sup> and actually an interval of cardinals (unlike the classical extender based forcing).

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- $\Box_{\kappa}^*$  is a weakening which allows up to  $\kappa$  guesses for each club.
- $\kappa^{<\kappa} = \kappa \to \square_{\kappa}^*$ ; so we focus on the case  $\kappa$  singular.

#### Lemma

In the Hybrid Prikry model, we have  $\Box_{\kappa}^*$ .

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#### Lemma

When forcing with Hybrid Prikry, scales in  $\prod_n \kappa^{+n+1}$  from V generate scales  $\prod_n \kappa$  in the generic extension.

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#### Theorem

(S.) It is consistent to have  $\kappa$  strong limit,  $2^{\kappa} = \kappa^{++}$ , and so  $\neg SCH_{\kappa}$  and no very good scale at  $\kappa$ 

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