

# Residuated frames for substructural logics, Part I

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# Overview

## Part I

- Posets
- Join- and meet-semilattices
- Arbitrary joins and complete semilattices
- Lattices and distributive lattices
- Residuated maps
- Polarity frames and Galois lattices
- Residuated lattices, Heyting algebras and Boolean algebras

## Part II

- Modal logic and Kripke frames
- Residuated frames
- Lattice expansions and LE-frames
- Gentzen frames
- Introduction to algebraic proof theory
- Display calculi
- Finite model property and finite embeddability property

## Some references for this course:

- G. Birkhoff:** Lattice Theory, 3rd ed, AMS Colloq. Publ., Vol 25 (1967)
- R. Wille:** Restructuring lattice theory: an approach based on hierarchies of concepts, In: Rival I. (ed) Ordered Sets., vol 83. Springer (1982)
- P. Jipsen:** Categories of Algebraic Contexts Equivalent to Idempotent Semirings and Domain Semirings, in proceedings RAMiCS 2012, LNCS, Vol. 7560, Springer-Verlag (2012), 195–206
- N. Galatos, P. Jipsen:** Residuated frames with applications to decidability, Trans. of the AMS, 365 (2013), 1219–1249
- G. Greco, P. Jipsen, F. Liang, A. Palmigiano, A. Tzimoulis:** Algebraic proof theory for LE-logics, arXiv 1808.04642, (2018)
- M. A. Moshier:** A relational category of formal contexts, preprint

## Category theory:

**Tom Leinster:** Basic category theory, free pdf, 2014

**Emily Riehl:** Category theory in context, free pdf, 2014

## Quote

The aim of theory really is, to a great extent, that of systematically organizing past experience in such a way that the next generation, our students and their students and so on, will be able to absorb the essential aspects in **as painless a way as possible**, and this is the only way in which you can go on cumulatively building up any kind of scientific activity without eventually coming to a dead end.

M. F. Atiyah, “How research is carried out” [Ati74]

# Posets

All free variables  $x, y, z, \dots$  in formulas are implicitly universally quantified.

A **poset**  $(P, \leq)$  is a set  $P$  with a binary relation  $\leq$  on  $P$  (i.e.,  $\leq \subseteq P \times P = P^2$ ) that satisfies

- **reflexivity**:  $x \leq x$ ,
- **antisymmetry**:  $x \leq y$  and  $y \leq x \implies x = y$  and
- **transitivity**:  $x \leq y$  and  $y \leq z \implies x \leq z$ .

The **dual** of  $(P, \leq)$  is  $(P, \leq)^\partial = (P, \geq)$ , where  $x \leq y \iff y \geq x$ .

Every poset formula has a **dual** obtained by interchanging  $\leq$  and  $\geq$ .

Elements  $x, y$  are **incomparable** if  $x \not\leq y$  and  $y \not\leq x$ .

## Minimal, maximal, bottom and top

An element  $m \in P$  is

**minimal** if  $m \geq x \implies m = x$ , and

**maximal** if  $m \leq x \implies m = x$ .

An element  $c \in P$  is a **bottom** if  $c \leq x$ , and a **top** if  $c \geq x$ .

**Lemma 1:** Bottom and top elements, if they exist, are unique.

**Prove or disprove:** If a poset has a unique minimal element  $c$  then  $c$  is the bottom.

The **bottom** and **top** element of a poset, if they exist, are denoted by  $\perp$  and  $\top$ .

A **bounded** poset is of the form  $(P, \leq, \perp, \top)$  where  $\perp, \top$  are the bottom and top element respectively.

## Atoms, coatoms, covers, Hasse diagrams

If  $P$  has a bottom, then the **set of atoms** of  $P$ , denoted by  $\text{At}(P)$ , is the set of minimal elements of  $P \setminus \{\perp\}$ . The **set of coatoms** is defined dually.

The **principal filter** and **principal ideal** generated by  $x$  in a poset  $P$  are defined by  $\uparrow_P x = \{y \in P : x \leq y\}$  and  $\downarrow_P x = \{y \in P : y \leq x\}$ .

The **interval** from  $x$  to  $y$  is  $[x, y]_P = \{z \in P : x \leq z \leq y\}$ .

An element  $y$  **covers**  $x$  in  $P$ , denoted  $x \prec y$ , if  $x \neq y$  and  $[x, y]_P = \{x, y\}$ . We also say  $x$  is a **co-cover** of  $y$ .

If  $x$  has a **unique cover** and/or a **unique co-cover**, they are denoted  $x^*$ ,  $x_*$  respectively.

The **Hasse diagram** for  $P$  is the directed graph  $(P, \prec)$ , drawn so that  $x$  is lower than  $y$  if  $x \prec y$ . Arrowheads are usually omitted.

## Maps between posets, isomorphisms

A map  $f : P \rightarrow Q$  is

- **order-preserving** if  $x \leq_P y \implies f(x) \leq_Q f(y)$ ,
- **order-reversing** if  $x \leq_P y \implies f(x) \geq_Q f(y)$ ,
- **order-reflecting** if  $f(x) \leq_Q f(y) \implies x \leq_P y$  and
- an **isomorphism** if  $f$  is an order-preserving and order-reflecting bijection.

Posets  $P, Q$  are **isomorphic** if there exists an isomorphism from  $P$  to  $Q$ .

**Lemma 2:** There are 16 posets with 4 elements (up to isomorphism).

**Problem:** How many posets are there with a top and exactly 5 elements?

Now formulate and prove a general result.



## Interval-finite and atomic posets

A poset  $P$  is **interval-finite** if every interval has finite cardinality.

The **transitive closure** of a binary relation is the intersection of all transitive binary relations that contain it.

**Prove or disprove 3:** A poset is interval-finite if and only if the covering relation  $\prec$  is the smallest binary relation such that the transitive closure is  $\leq$ .

A poset with bottom is **atomic** if  $x \neq \perp \implies \exists y \in \text{At}(P), y \leq x$ .

**Prove or disprove 4:** every finite poset with bottom is atomic.

## Join-semilattices, meet-semilattices, varieties and HSP

A **join-semilattice**  $(A, \leq, \vee)$  is a poset  $(A, \leq)$  with a binary operation  $\vee$  called **join** on  $A$  that satisfies  $x, y \leq x \vee y$  and

$$x, y \leq z \implies x \vee y \leq z.$$

A **meet-semilattice** is defined dually, and the meet operation is written  $\wedge$ .

**Lemma 5:** There are 15 join-semilattices with 5 elements (up to isom.)

An **identity** is a universally quantified atomic formula in a theory with equality as the only relational symbol.

A **variety** is a class of algebras axiomatized by a set of identities.

By Birkhoff's 1935 **HSP theorem** (as formulated by Tarski 1946) a class  $\mathcal{V}$  is a variety if and only if  $\mathcal{V} = \text{HSP}\mathcal{K}$  for some class  $\mathcal{K} \subseteq \mathcal{V}$ , where

$\text{H}\mathcal{K} = \{\text{all homomorphic images of members of } \mathcal{K}\},$

$\text{S}\mathcal{K} = \{\text{all subalgebras of members of } \mathcal{K}\}$  and

$\text{P}\mathcal{K} = \{\text{all direct products of members of } \mathcal{K}\}.$

## The variety of join-semilattices; arbitrary meets

**Lemma 6:** Join-semilattices form a variety, i.e., the operation  $\vee$  is

**associative:**  $(x \vee y) \vee z = x \vee (y \vee z)$ ,

**commutative:**  $x \vee y = y \vee x$  and

**idempotent:**  $x \vee x = x$ .

Conversely, if  $(A, \vee)$  is an algebra with an associative, commutative, idempotent operation  $\vee$  and  $x \leq y$  is defined by  $x \vee y = y$  then  $(A, \leq, \vee)$  is a join-semilattice.

The dual statement holds for meet-semilattices.

For a subset  $S$  of a poset  $P$  the **arbitrary join**  $\bigvee S$  exists and equals  $s$ , written  $\bigvee S = s$ , if  $x \in S \implies x \leq s$  and  $(\forall x \in S, x \leq y) \implies s \leq y$ .

**Arbitrary meets**  $\bigwedge$  are defined dually.

## Join-irreducibles and completely join-irreducibles

An element  $x$  in a join-semilattice  $A$  is

**join-irreducible** if  $x = y \vee z \implies x = y$  or  $x = z$  and

**completely join-irreducible (cji)** if  $\forall S \subseteq A, x = \bigvee S \implies x \in S$ .

$J(A)$  is the set of all join-irreducibles and  $J^\infty(A)$  is the set of all cjis.

**Meet-irreducible, cmi**,  $M(A)$  and  $M^\infty(A)$  are defined dually.

**Lemma 7:** In a meet-semilattice (a)  $\bigvee(\downarrow_P x \setminus \{x\})$  **always** exists and is either  $x$  or  $x_*$ .

(b)  $x$  is completely join-irreducible if and only if  $\bigvee(\downarrow_P x \setminus \{x\}) = x_*$ .

## Join-generating sets and atomistic

A subset  $C$  of a join-semilattice  $A$  is **join-generating** if  $A = \{\bigvee S : S \subseteq C \text{ and } \bigvee S \text{ exists}\}$ . **Meet-generating** is defined dually.

**Lemma 8:** If  $C$  is join-generating then  $J^\infty(A) \subseteq C$ .

**Prove or disprove:** If  $C$  is join-generating then  $J(A) \subseteq C$ .

A join-semilattice  $A$  is **perfect** if  $J^\infty(A)$  is join-generating.

If  $A$  has a bottom, it is **atomistic** if  $\text{At}(A)$  is join-generating.

**Prove or disprove 8.5:** A join-semilattice with bottom is atomistic if and only if it is atomic.

## Lattices and 4-crowns

A **4-crown** is a subset  $\{a, b, c, d\}$  of 4 distinct elements in a poset such that  $a, c$  are incomparable,  $b, d$  are incomparable and  $a, c \leq b, d$ .

**Prove or disprove 9:** If an interval-finite poset has a top element and no 4-crown then it is a complete join-semilattice.

A **lattice**  $(A, \leq, \wedge, \vee)$  is defined as a join-semilattice  $(A, \leq, \vee)$  and a meet-semilattice  $(A, \leq, \wedge)$  with respect to the **same** order  $\leq$ .

**Lemma 10:** Lattices form a variety, axiomatized by:  $\vee, \wedge$  are associative and commutative operations that satisfy the **absorption laws**  
 $x \vee (x \wedge y) = x = x \wedge (x \vee y)$ .

## Complete join- and meet-semilattices; distributivity

A **complete join-semilattice**  $(A, \leq, \vee)$  is a poset  $(A, \leq)$  where arbitrary joins exist for all subsets of  $A$ .

A **complete meet-semilattice**  $(A, \leq, \wedge)$  is defined dually.

A **complete lattice**  $(A, \leq, \vee, \wedge)$  is a complete join- and complete meet-semilattice with respect to the same order.

**Lemma 11:** Every complete join-semilattice  $A$  is a bounded join-semilattice and a complete lattice. For  $S \subseteq A$  the arbitrary meet  $\bigwedge S = \bigvee \{x \in A : \forall y \in S, x \leq y\}$ .

A lattice is **distributive** if it satisfies  $x \wedge (y \vee z) = (x \wedge y) \vee (x \wedge z)$ .

**Lemma 12:** A lattice is distributive if and only if it satisfies one of the following equivalent formulas:

(a)  $x \vee (y \wedge z) = (x \vee y) \wedge (x \vee z)$ ,

(b)  $(x \wedge y) \vee (x \wedge z) \vee (y \wedge z) = (x \vee y) \wedge (x \vee z) \wedge (y \vee z)$

(c)  $x \wedge y = x \wedge z$  and  $x \vee y = x \vee z \implies y = z$ ,

(d)  $x \wedge y \leq x \wedge z$  and  $x \vee y \leq x \vee z \implies y \leq z$ .

# Semidistributivity and finite lattices

A lattice is

**meet-semidistributive**  $SD_{\wedge}$  if  $x \wedge y = x \wedge z \implies x \wedge (y \vee z) = x \wedge y$ ,

**join-semidistributive**  $SD_{\vee}$  if  $x \vee y = x \vee z \implies x \vee (y \wedge z) = x \vee y$ .

**Lemma 13:** There are 15 lattices with 6 elements (up to isomorphism).  
Formulate a general result relating (interval-)finite join-semilattices and (interval-)finite lattices.

**Problem:** How many of them are distributive? How many satisfy  $SD_{\vee}$ ?  
Find a (possibly bigger) lattice that shows  $SD_{\vee}$  is not equivalent to  $SD_{\wedge}$ .



## Residuated maps and Galois connections

A **residuated pair**  $f \dashv g$  is a pair of maps  $f : P \rightarrow Q$ ,  $g : Q \rightarrow P$  between two posets that satisfy  $f(x) \leq y \iff x \leq g(y)$ . The map  $f$  is the **left** (or **lower**) **residual** of  $g$ , and  $g$  is the **right** (or **upper**) **residual** of  $f$ .

A **Galois connection**  $h \dashv' k$  is a pair of maps  $h : P \rightarrow Q$ ,  $k : Q \rightarrow P$  that satisfy  $y \leq h(x) \iff x \leq k(y)$ .

A map  $\gamma : P \rightarrow P$  is a **closure operation** if it is **extensive**:  $x \leq \gamma(x)$ , **order-preserving** and **idempotent**:  $\gamma(\gamma(x)) = \gamma(x)$ . The set of **closed elements** of  $\gamma$  is the **image**  $\gamma[P] = \{\gamma(x) : x \in P\} = \{x \in P : \gamma(x) = x\}$ .

A **basis** for  $\gamma$  is a set  $D \subseteq \gamma[P]$  such that  $\gamma[P] = \{\bigwedge S : S \subseteq D\}$ .

**Lem. 14:** (a)  $f \dashv g \iff f, g$  are order-preserving,  $f(g(y)) \leq y$ ,  $x \leq g(f(x))$

(b)  $h \dashv' k \iff h, k$  are order-reversing,  $x \leq k(h(x))$  and  $y \leq h(k(y))$

(c)  $f$  preserves all existing joins,  $g$  preserves all existing meets,  $h, k$  map existing meets to joins.

(d)  $f(x) = \bigwedge \{y : x \leq g(y)\}$ ,  $g(y) = \bigvee \{x : f(x) \leq y\}$ ,  $h(x) = \bigvee \{y : x \leq k(y)\}$

(e)  $f \circ g$  is an interior operation,  $g \circ f$ ,  $h \circ k$ ,  $k \circ h$  are closure operations.

## Polarity frames and their Galois lattices

A **(polarity) frame** is a triple of sets  $\mathbf{W} = (W, W', N)$  s.t.  $N \subseteq W \times W'$ .

The **powerset**  $\mathcal{P}(W) = \{X : X \subseteq W\}$  is a complete lattice with  $\subseteq$ .

The notation  $xNY$  is short for  $\forall y \in Y, xNy$ , and similarly for  $XNy$ ,  $XNY$ .

Define maps  $N^\uparrow : \mathcal{P}(W) \rightarrow \mathcal{P}(W')$  by  $N^\uparrow X = \{y \in W' : XNy\}$ ,

$N^\downarrow : \mathcal{P}(W') \rightarrow \mathcal{P}(W)$  by  $N^\downarrow Y = \{x \in W : xNY\}$ ,

$\gamma_N : \mathcal{P}(W) \rightarrow \mathcal{P}(W)$  by  $\gamma_N(X) = N^\downarrow N^\uparrow X$  and  $\gamma'_N(Y) = N^\uparrow N^\downarrow Y$ .

The **Galois lattice** of  $\mathbf{W}$  is  $\mathbf{W}^+ = (\gamma_N[\mathcal{P}(W)], \cap, \vee, W, \gamma_N(\emptyset))$  where  $\bigvee_{i \in I} X_i = \gamma(N \cup_{i \in I} X_i)$ .

**Lemma 15:** (a) The maps  $N^\uparrow$  and  $N^\downarrow$  form a Galois connection from  $\mathcal{P}(W)$  to  $\mathcal{P}(W')$ , and  $\gamma_N, \gamma'_N$  are closure operations on  $\mathcal{P}(W)$ ,  $\mathcal{P}(W')$ .

(b) The Galois lattice of a frame is a complete lattice.

(c) If  $\gamma$  is a closure op. on  $\mathcal{P}(W)$ , then  $\exists W', \exists N \subseteq W \times W'$  s.t.  $\gamma = \gamma_N$ .

(d)  $D = \{N^\downarrow\{y\} : y \in W'\}$  is a basis for  $\gamma_N$  and meet-generating for  $\mathbf{W}^+$ .

(e) The set  $C = \{\gamma_N(\{x\}) : x \in W\}$  is a join-generating set for  $\mathbf{W}^+$ .

## Examples of frames

For a poset  $(P, \leq)$  the **Dedekind-MacNeille frame**  $\mathbf{W}_P$  is the frame  $(P, P, \leq)$ .

A **Boolean frame** is a frame where  $W = W'$  and  $N = \neq$ .

For a set  $S$ , the **partition frame** of  $S$  is  $\Pi_S = (W, W', N)$  where  $W = \{\{x, y\} : x \neq y \in S\}$ ,  $W' = \{\{X, S \setminus X\} : \emptyset \neq X \subsetneq S\}$  and  $\{x, y\}N\{X, Y\} \iff \{x, y\} \subseteq X \text{ or } \{x, y\} \subseteq Y$ .

**Lemma 16:** (a)  $\mathbf{W}_P^+$  is the Dedekind-MacNeille completion of  $P$ , i.e., the smallest complete lattice in which  $P$  is embedded.

(b) The Galois algebra of a Boolean frame  $(W, W, \neq)$  is  $\mathcal{P}(W)$ .

(c) The Galois algebra of a partition frame  $\Pi_S$  is the partition lattice on  $S$ .

## Residuated lattices

$\mathbf{A} = (A, \wedge, \vee, \cdot, 1, \backslash, /)$  is a **residuated lattice** if  $(A, \wedge, \vee)$  is a lattice,  $(A, \cdot, 1)$  is a monoid (i.e.,  $\cdot$  is associative and  $1x = x = x1$ ) and the **residuation property holds**:

$$xy \leq z \iff x \leq z/y \iff y \leq x \backslash z.$$

The operation  $\cdot$  binds stronger than the **residuals**  $\backslash, /$  and they bind stronger than  $\wedge, \vee$ . Residuated lattices are algebraic models of substructural logics, with  $\cdot$  as *fusion* (noncommutative resource conscious dynamic conjunction) and implications  $\backslash, /$ .

**Lemma 17:** In a residuated lattice  $1 \leq x \backslash x$ ,  $x(x \backslash y) \leq y$ ,  $x \leq y \backslash yx$ ,  $xy \backslash z = y \backslash (x \backslash z)$ ,  $x(y \vee z) = xy \vee xz$ ,  $(x \vee y)z = xz \vee yz$ ,  $(x \vee y) \backslash z = x \backslash z \wedge y \backslash z$ ,  $x \backslash (y \wedge z) = x \backslash y \wedge x \backslash z$  and  $(x \backslash y) / z = x \backslash (y / z)$ .

## The variety RL and bounded integral RLs

**Lemma 18:** The residuation property can be expressed by 4 identities hence the class RL of residuated lattices is a variety.

A residuated lattice is **integral** if  $1$  is the top element (i.e.,  $x \leq 1$  holds).

**Lemma 19:**  $xy \leq x \wedge y$  and  $x \setminus x = 1$  hold in every integral residuated lattice.

$\mathbf{A} = (A, \wedge, \vee, \cdot, 1, \perp, \setminus, /)$  is a **bounded residuated lattice** if  $(A, \wedge, \vee, \cdot, 1, \setminus, /)$  is a residuated lattice and  $\perp$  is the bottom element.

**Lemma 20:**  $\perp x = \perp = x \perp$  and  $x \leq \perp \setminus \perp$ , hence  $\perp \setminus \perp$  is the top element  $\top$ .

## Heyting algebras and Boolean algebras as RLs

A residuated lattice is **commutative** if it satisfies the identity  $xy = yx$ .

**Lemma 21:** Commutativity is equivalent to  $x \setminus y = y / x$ . In this case we define  $x \rightarrow y = x \setminus y$ .

A **Heyting algebra** is a bounded residuated lattice that satisfies  $xy = x \wedge y$ .

**Lemma 22:** For a residuated lattice,  $xy = x \wedge y$  is equivalent to  $xx = x \leq 1$ .

$\mathbf{A} = (A, \wedge, \vee, \neg, 0, 1)$  is a **Boolean algebra** (BA) if  $(A, \wedge, \vee)$  is a bounded distributive lattice and  $x \wedge \neg x = 0$  and  $x \vee \neg x = 1$  for all  $x \in A$ . Define  $x \rightarrow y = \neg x \vee y$ .

**Lemma 23:** If  $(A, \wedge, \vee, \neg, 0, 1)$  is a BA then  $(A, \wedge, \vee, \wedge, 1, 0, \rightarrow)$  is a Heyting algebra.

## Homework

- Prove **ALL** the lemmas for which you don't already know the proof.
- Go to <http://math.chapman.edu/~jipsen/js/>, select the **Random matrix** program and use it to generate  $4 \times 4$ ,  $4 \times 5$  and  $5 \times 5$  01-matrices (use `random_matrix(4,4,0,1)` etc.).

Find some matrices with no repeated rows or columns and use them as  $N$  relation, then construct the Galois lattice  $L = \mathbf{W}^+$  for such matrices.

- Extract the reduced frame  $L_+ = (J(L), M(L), \leq)$ . Note that  $L_+^+ \cong L$  even though  $N$  and  $L^+$  may be different.
- Go to <http://www1.chapman.edu/~jipsen/FCA/>, figure out how to enter your frame and let your browser draw the lattice.

Thanks! **There will be a test on the last day...**