## Semantic and Syntactic Consequence



## to Roberto Cignoli in memoriam

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## syntactic tautologies in boolean logic

**DEFINITION** A boolean *syntactic tautology* is a formula that can be derived from the following *basic tautologies* via substitution and modus ponens:



## syntactic tautologies in wide generality



**DEFINITION** A formula f is a *syntactic tautology* if it is obtainable (typically from some *basic tautologies*) by some mechanical procedure.

## semantic tautologies in boolean logic

**DEFINITION** A boolean *semantic tautology* is a formula f such that every **valuation** into the two element boolean algebra  $\{0,1\}$  (the *matrix* of boolean logic) evaluates f to 1.

## semantic tautologies in wide generality



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**DESIDERATUM** Syntactic and semantic tautologies must coincide.

## completeness theorem for boolean tautologies

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Next, taking inspiration from Algebra, let us consider the main theme of this talk, namely **CONSEQUENCE** 

## ALGEBRA: two ways to generate an ideal



**VIA RULES**: the ideal generated by a set *F* of elements of an algebra *A* is the set of elements obtainable from *F* via finitely many applications of certain operations and relations of *A*. (*Depends on the definition of these operations and relations*)



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**VIA SPECIAL IDEALS**: an element *g* belongs to the ideal generated by a set *F* of elements of an algebra *A* if for every "irreducible" ideal *I* such that *f/I*=0 for all *f* in *F*, we also have *g/I*=0. (*Depends on the definition of "irreducible ideal", or "irreducible congruence"*)

## LOGIC: two definitions of "deductive closure"



**VIA RULES**:  $\psi$  belongs to the deductive closure of a set of formulas if it is obtainable from a subset of *F* via finitely many "mechanical" manipulations

(typically, involving **time-honored "rules"** such as "modus ponens", [if each matrix has an operation  $\rightarrow$ obeying the following *minimum requirement for an implication*:  $(x \rightarrow (y \rightarrow z)) = (y \rightarrow (x \rightarrow z))$ ]



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rules are 2500 years older than valuations

The sentence X follows logically from the sentences of the class K if and only if every model of the class K is also a model of the sentence  $X.^{\dagger}$ 

\* "After the original of this paper had appeared in print, H. Scholz in his article
\* 'Die Wissenschaftslehre Bolzanos, Eine Jahrhundert-Betrachtung', Abhandlungen der Fries'schen Schule, new series, vol. 6, pp. 399-472 (see in particular p. 472, footnote 58) pointed out a far-reaching analogy between this definition of consequence and the one suggested by B. Bolzano about a hundred years earlier."

[Note added by Tarski in English in A. Tarski, "Logic, Semantics, Metamathematics", Oxford (1956) p. 417]

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**REFORMULATION** (for logics given by matrices) A formula  $\psi$ is a *semantic consequence* of a set  $\Theta$  of formulas if every valuation that gives value 1 to all formulas of  $\Theta$  also gives value 1 to  $\psi$ .

## The boolean case

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**THEOREM** In boolean logic syntactic consequence coincides with Bolzano-Tarski semantic sequence.



#### This theorem is misldeading

This completeness theorem depends on the following facts:

—"valuations" are quotients by (dual) maximal ideals (filters) of the free boolean algebra

—maximal ideals = meet irreducible ideals = prime ideals.

In algebraic logic, as well as in ring theory, these identities are exceptional.

Thus e.g., in Łukasiewicz logic, adoption of the Bolzano-Tarski paradigm leads to a gap between syntactic and semantic consequence.

## Łukasiewicz logic (presented as in D.M., 2018)

**THEOREM** Any algebra  $([0,1], 0, \neg, \rightarrow)$  with a **continuous** binary operation  $\rightarrow$  having the properties  $x \rightarrow (y \rightarrow z) = x \rightarrow (y \rightarrow z)$  and  $y \rightarrow z = 1$  iff  $y \le z$ , necessarily satisfies the following equations:



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## the Łukasiewicz axiom $((x \rightarrow y) \rightarrow y) = ((y \rightarrow x) \rightarrow x)$

the intriguing basic tautology

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 $((x \rightarrow y) \rightarrow y) \rightarrow ((y \rightarrow x) \rightarrow x)$ 

in boolean logic says xVy=yVx

in Łukasiewicz logic this has a deeper meaning: it says that implication is continuous



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For very recent computational applications, see the paper D.M. "WORD PROBLEMS IN ELLIOTT MONOIDS", *Advances in Mathematics*, 335 (2018) 343-371. DOI 10.1016/j.aim.2018.07.015

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**FACT** Syntactic consequences differ from (Bolzano-Tarski) semantic consequences.

We will explain the reasons for this failure. By refining the **Bolzano-Tarski** paradigm, we will fix the problem

## The role of prime ideals

My failure to prove the completeness in 1958 using MV-algebras was a disappointment to me at that time. I tried that year and even after I left Cornell, but with no success. My mistake was in trying to pound the thing out by sticking to maximal ideals.

•••

But a lucky break occurred when Dana Scott realized, with farreaching insight, that there is a notion of prime ideals in MValgebras (a notion I had not considered until then).

C. C. CHANG, *The writing of the MV-algebras*, Studia Logica, 61 (1998) 3-6.

# free MV-algebras and their prime spectral spaces
# The free one-generator MV-algebra $F_1$

F<sub>1</sub> consists of all piecewise linear continuous [0,1]-valued functions with integer coefficients, defined over [0,1]. (One-variable McNaughton functions)





### The free two-generator M

F<sub>2</sub> consists of all piecewise linear continueu functions with integer coefficients, defined over a



0.75° 0.5

0.25

0.6

0.2

0.6

Piecewise linearity ensures that all directional derivatives exist

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(This innocent looking fact is to the effect the quotient operation  $f \rightarrow f/P$  for prime ideals fully controls the deductive closure operation, and foreshadows a complete semantic consequence.)

#### the prime ideals of the free MV-algebra $F_1$

• irrational

rational



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**THEOREM** The prime ideals of the free MV-algebra  $F_n$  are labelled by points of  $[0,1]^n$  together with orthonormal bases of  $\mathbb{R}^n$ 

### Krull depth 0



#### intersection of the zerosets of all members of the ideal

### Krull depth 1

x point in [0,1]<sup>n</sup> together with a unit vector d in R<sup>n</sup>

all functions f with f(x)=0, and ∂f(x)/∂d =0 the only zeroset and zerodirection common to all functions in the prime ideal

depth 1 prime ideal



### Krull depth 2

point x in [0,1]<sup>n</sup> and a pair of unit vectors  $d \perp d'$  in  $\mathbb{R}^n$ 

all functions f with f(x)=0, ∂(x)/∂d =0, and ∂(y)/∂d' =0 for all y in x+εd the only zeroset, zerodirection and perpendicular zerodirection common to all members of the ideal

depth 2 prime ideal

a McNaughton function *f* belongs to a prime ideal of depth 0 (i.e., a maximal *M*, in correspondence with a point *x*) iff *f* vanishes at *x* 

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*f* belongs to a prime ideal *P*' immediately below *P* iff *f* belongs to *P* and  $\partial f(x+\varepsilon d)/\partial d' = 0$  for all small  $\varepsilon > 0$ , where  $d' \perp d$ , is the direction associated to *P*'....

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this shows that the quotient operation  $f \rightarrow f/P$  for prime ideals *P* has a **quantitative** content, generalizing the quotient operation  $f \rightarrow f/M$ for *M* a maximal, which amounts to a classical **evaluation** 

a one-dimensional example explaining the difference between syntactic consequence and Bolzano-Tarski consequence in infinitevalued Łukasiewicz logic













#### beyond the Bolzano-Tarski paradigm

- F={p<sub>1</sub>, p<sub>2</sub>,...} is a set of McNaughton functions p<sub>i</sub>=1 on a right neighbourhood of the origin 0
- s is a stable consequence of F, because
  s=1 over a right neighbourhood of 0.
  Remarkably, s is also a syntactic
  consequence of the p<sub>i</sub>
- p is not a stable consequence of F, because p is not equal to 1 on a neighbourhood of 0.
- However, p is a Bolzano-Tarski consequence of F, because t(0)= 1.



stable



Bolzano-Tarski not stable

#### beyond the Bolzano-Tarski paradigm

- p belongs to all maximal ideals to which each p<sub>i</sub> belongs, but fails to belong to the prime ideal of all McNaughton functions which have zero derivative at the origin. All p<sub>i</sub> have 0 derivative at the origin.
- Valuations induced by maximal ideals are not sufficient to check consequence
- One needs a richer class of valuations, also taking into account the stability properties common to all premises



stable



Bolzano-Tarski not stable refining the Bolzano-Tarski paradigm in infinite-valued Łukasiewicz logic

#### the dynamics of a material point is controlled by position and speed



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the deductive closure of a set *F* in Łukasiewicz logic needs: —pointwise evaluation of f over the modelset of F —evaluation of derivatives along all pairwise orthogonal directions associated to the prime ideals containing *F*.





#### classical consequence (static evaluation)

*f* is a **Bolzano-Tarski consequence** of a set *F* of premises if for every model (= valuation) v,

$$p(v) = 1$$
 for all p in F,  
 $f(v)=1$ 

#### stable consequence (perturbative evaluation)

f is a stable consequence of a set F of premises if for every valuation v and perturbation dv in the valuation space, p(v) = 1 and p(v+dv) = 0 for all p in F f(v+dv) = 1

#### stable consequence (quantitative evaluation)

f is a stable consequence of a set F of premises if for every valuation v and direction d in the valuation space, p(v) = 1 and  $\partial p(v)/\partial d = 0$  for all p in F f(v) = 1 and  $\partial f(v)/\partial d = 0$ 

derivatives arise from the classification of prime ideals
# the completeness theorem

**THEOREM** (D.M. 2013, Outstanding Contributions to Logic, 6, to Petr Hàjek) *The following conditions are equivalent for f:* 

- f is a stable consequence of a set F of premises
- *f* is a syntactic consequence of F, i.e., f is obtainable by finitely many applications of modus ponens from ( $F \cup$  the tautologies)
- *f* belongs to each prime ideal that contains *F*.

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- *f* belongs to each prime ideal that contains *F*.

**COROLLARY** Stable consequence is **finitary**: if f is a stable consequence of F then f is a stable consequence of a finite subset of F

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- Algebraically, *s* is a stable consequence of a set F of premises iff *s* is a member of every **prime** ideal  $P \supseteq F$  of the free algebra.

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- Geometrically, stable consequence states that whevever all premises are true under a **perturbation** of their models, so is the conclusion.
- Algebraically, *s* is a stable consequence of a set F of premises iff *s* is a member of every **prime** ideal  $P \supseteq F$  of the free algebra.
- In the boolean fragment, stable consequence = Bolzano-Tarski consequence, stating that *s* is a member of every **maximal** ideal P containing F. The valuation space  $\{0,1\}^n$  is totally disconnected.

### a recipe for semantics to meet syntax in other logics

one may single out a class  $\varkappa$  of congruences having the following **irreducibility** property: every congruence is an intersection of congruences in the class  $\varkappa$ . In general, maximals won't do.

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**Traditional candidates** for  $\varkappa$ : maximal ideals (in the lucky case of boolean algebras and finite-valued logics), prime ideals, finitely subdirectly irreducible ideals or congruences,...

# THANK YOU

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